ARMY BOARD FOR CORRECTION OF MILITARY RECORDS

RECORD OF PROCEEDINGS

IN THE CASE OF:

BOARD DATE: 15 November 2024

DOCKET NUMBER: AR20240004695

<u>APPLICANT REQUESTS:</u> an upgrade of under other than honorable conditions discharge to honorable.

APPLICANT'S SUPPORTING DOCUMENT(S) CONSIDERED BY THE BOARD:

- DD Form 149 (Application for Correction of Military Record)
- DD Form 4 (Enlistment or Reenlistment Agreement Armed Forces of the United States), 15 April 1977
- DA Form 2-1 (Personnel Qualification Record Part II)
- Orders 135-610, 7 July 1981
- DD Form 214 (Certificate of Release or Discharge from Active Duty), for the period ending 16 July 1981

FACTS:

- 1. The applicant did not file within the 3-year time frame provided in Title 10, U.S. Code, section 1552(b); however, the Army Board for Correction of Military Records (ABCMR) conducted a substantive review of this case and determined it is in the interest of justice to excuse the applicant's failure to timely file.
- 2. The applicant states he was diagnosed with post-traumatic stress disorder (PTSD) in 2000. His regional director said he should try due to his PTSD diagnosis. His PTSD is due to being sexually abused and beaten as a child from 8 years old to 11 years old.
- 3. A review of the applicant's service record shows:
 - a. The applicant enlisted in the Regular Army on 15 April 1977.
- b. On 30 March 1978, he accepted nonjudicial punishment (NJP) under Article 15, Uniform Code of Military Justice (UCMJ), for failing to go at the time prescribed to his appointed place of duty on or about 21 March 1978. His punishment included 14 days extra duty and forfeiture of \$103.00 per month for one month (suspended for 60 days).

- c. On 5 September 1978, he accepted NJP under Article 15, UCMJ, for dereliction in the performance of his duties in that he negligently failed to remain awake and alert while posted as guard, on or about 4 August 1978. His punishment included forfeiture of \$100.00 pay per month for 2 months, 30 days restriction, and 30 days extra duty.
- d. On 7 June 1979, he accepted NJP under Article 15, UCMJ, for failing to obey a lawful order on or about 14 May 1979. His punishment included 30 days extra duty and 30 days restriction to the battalion area.
- e. On 13 April 1981, the applicant elected not to undergo a physical examination for the purpose of ensuring his medical records reflected, as accurately as possible, his state of health on separation and to protect his interest and the interest of the government.
- f. Court-martial charges were preferred against the applicant on 20 April 1981. His DD Form 458 (Charge Sheet) shows he was charged with being AWOL from on or about 17 June 1980 to on or about 7 April 1981.
- g. On 20 April 1981, after consulting with legal counsel, the applicant voluntarily requested discharge for the good of the service in lieu of trial by court-martial, under Army Regulation (AR) 635-200 (Personnel Separations Enlisted Personnel), chapter 10. The applicant acknowledged that he made the request of his own free will and was not coerced by any person. In his request for discharge, he acknowledged his understanding that by requesting discharge, he was admitting guilt to the charges against him or of a lesser included offense that also authorized the imposition of a bad conduct or dishonorable discharge. He elected not to submit a statement in his own behalf. He further understood, he could be deprived of many or all Army benefits, he could be ineligible for many, or all benefits administered by the Veteran's Administration, he could be deprived of his rights and benefits as a veteran under both Federal and State law and encounter substantial prejudice in civilian life because of an under other than honorable conditions discharge.
- h. The applicant underwent a mental status evaluation on 21 April 1981. The medical doctor noted the applicant had the mental capacity to understand and participate in the proceedings, and he met the retention requirements AR 40-501 (Standards of Medical Fitness), chapter 3.
- i. On 21 April 1981, the applicant's immediate commander recommended approval of the requested discharge, with characterization of service under other than honorable conditions. The commander noted that the applicant went AWOL because of marriage, drug, and alcohol problems. The service member [applicant] stated if returned to duty he would again go AWOL. He was evaluated by "ADAD" on 27 April 1981 and determined not to be drug/alcohol dependent at the time.

- j. On 26 June 1981, the intermediate commander recommended approval with a discharge certificate under other than honorable conditions.
- k. The separation authority approved the recommended discharge, directed the applicant be reduced to the lowest enlisted grade, and be issued an under other than honorable conditions discharge.
- I. The applicant was discharged on 16 July 1981. His DD Form 214 shows he was discharged under the provisions of AR 635-200, Chapter 10 and his service was characterized as under other than honorable conditions. He completed 3 years, 4 months, and 25 days of active service. His DD Form 214 also shows in:
 - Item 13 (Decorations, Medals, Badges, Citations and Campaign Ribbons Awarded or Authorized): Marksman Marksmanship Qualification Badge (Rifle)
 - Item 26 (Separation Code): JFS
 - Item 27 (Reenlistment Code): 3B
- 4. By regulation, discharges under the provision of Army Regulation 635-200, chapter 10, where voluntary requests from the Soldier to be discharged in lieu of a trial by court-martial.
- 5. There is no indication the applicant applied to the Army Discharge Review Board for review of his discharge processing within that board's 15-year statute of limitations.

6. MEDICAL REVIEW:

- a. Background: The applicant is applying to the ABCMR requesting consideration of an upgrade to his characterization of service from under other than honorable conditions (UOTHC) to honorable. He contends he experienced an undiagnosed mental health condition, including PTSD, that mitigates his misconduct.
- b. The specific facts and circumstances of the case can be found in the ABCMR Record of Proceedings (ROP). Pertinent to this advisory are the following:
 - The applicant enlisted into the Regular Army on 15 April 1977.
 - The applicant accepted NJP for failing to be at his appointed place of duty on 21 March 1978, and again on 5 September 1978 for dereliction of duty by failing to remain awake and alert while posted as guard. He accepted NJP again on 7 June 1979 for failing to obey a lawful order.
 - Court-martial charges were preferred against the applicant on 20 April 1981. His
 Charge Sheet showed he was charged with being AWOL from 17 June 1980 to 7
 April 1981. He voluntarily requested discharge for the good of the service in lieu
 of trial by court-martial.

- The applicant was discharged on 16 July 1981 and completed 3 years, 4 months, and 25 days of net active service.
- c. Review of Available Records: The Army Review Board Agency (ARBA) Behavioral Health Advisor reviewed the supporting documents contained in the applicant's file. The applicant asserts PTSD associated with childhood sexual and physical abuse as a mitigating factor in his discharge. A Report of Mental Status Evaluation dated 12 April 1981 showed that the applicant met retention standards and had the mental capacity to understand the proceedings. A memorandum by the commanding officer with the subject line "Request for Discharge for the Good of the Service" stated that the applicant reported being AWOL because of marriage, drug, and alcohol problems, and that he was evaluated by ADAD on 27 April 1981 and determined not to be drug/alcohol dependent. There was insufficient evidence that the applicant was diagnosed with PTSD or another psychiatric condition while on active service.
- d. The Joint Legacy Viewer (JLV), which includes medical and mental health records from DoD and VA, was also reviewed and showed only a non-VA, continuity of care document that indicated a diagnosis of ADHD and associated stimulant medication treatment in 2022.
- e. Based on the available information, it is the opinion of the Agency Behavioral Health Advisor that there is insufficient evidence to support that the applicant had a condition or experience that mitigates his misconduct.

f. Kurta Questions:

- (1) Did the applicant have a condition or experience that may excuse or mitigate the discharge? Yes. The applicant asserts he had an undiagnosed mental health condition, including PTSD, at the time of the misconduct. A Mental Status Evaluation in April 1981 did not indicate the presence of any mental health symptoms or diagnoses. There were no mental health records provided, and JLV did not show a history of a PTSD diagnosis.
- (2) Did the condition exist or experience occur during military service? Yes, the applicant asserts he was experiencing a mental health condition while on active service.
- (3) Does the condition or experience actually excuse or mitigate the discharge? No. A review of military medical and mental health records revealed no documentation of any mental health condition(s) while on active service. Avoidant behavior, such as going AWOL, can be a natural sequela to mental health conditions associated with exposure to traumatic and stressful events. Yet, the presence of misconduct is not sufficient evidence of a mitigating mental health condition during active service.

g. However, the applicant contends he was experiencing a mental health condition or an experience that mitigates his misconduct, and per Liberal Consideration his contention is sufficient for the board's consideration.

BOARD DISCUSSION:

After reviewing the application, all supporting documents, and the evidence found within the military record, the Board found that relief was not warranted. The Board carefully considered the applicant's request, supporting documents, evidence in the records, and published Department of Defense guidance for liberal consideration of discharge upgrade requests. The Board considered the applicant's statement and record of service, the frequency and nature of the applicant's misconduct and the reason for separation. The applicant was charged with an offense, punishable under the Uniform Code of Military Justice with a punitive discharge. After being charged, he consulted with counsel and voluntarily requested discharge in lieu of trial by court-martial. The Board found no error or injustice in the separation proceedings and designated characterization of service. The Board noted the applicant's contention of post-traumatic stress disorder; however, reviewed and concurred with the medical advisor's review finding no evidence of a behavioral health condition. Based on a preponderance of the evidence, the Board concluded that the characterization of service the applicant received upon separation was not in error or unjust.

BOARD VOTE:

Mbr 1 Mbr 2 Mbr 3

: : GRANT FULL RELIEF

: : GRANT PARTIAL RELIEF

: : GRANT FORMAL HEARING

DENY APPLICATION

BOARD DETERMINATION/RECOMMENDATION:

The evidence presented does not demonstrate the existence of a probable error or injustice. Therefore, the Board determined the overall merits of this case are insufficient as a basis for correction of the records of the individual concerned.



I certify that herein is recorded the true and complete record of the proceedings of the Army Board for Correction of Military Records in this case.

REFERENCES:

- 1. Title 10, U.S. Code, section 1552(b), provides that applications for correction of military records must be filed within 3 years after discovery of the alleged error or injustice. This provision of law also allows the ABCMR to excuse an applicant's failure to timely file within the 3-year statute of limitations if the ABCMR determines it would be in the interest of justice to do so.
- 2. Army Regulation (AR) 635-200 (Personnel Separations Enlisted Personnel), in effect at the time, set forth the basic authority for the separation of enlisted personnel.
- a. Chapter 10 provided that a Soldier who committed an offense or offenses, the punishment for which includes a bad conduct or dishonorable discharge, may submit a request for discharge for the good of the service. The discharge request may be submitted after court-martial charges are preferred against the Soldier, or, where required, after referral, until final action by the court-martial convening authority. Commanders will ensure that a Soldier is not coerced into submitting a request for discharge for the good of the service. The Soldier will be given a reasonable time to consult with consulting counsel and to consider the wisdom of submitting such a request for discharge. After receiving counseling, the Soldier may elect to submit a request for discharge for the good of the service. The Soldier will sign a written request, certifying that they were counseled, understood their rights, may receive a discharge under other than honorable conditions, and understood the adverse nature of such a discharge and the possible consequences. A discharge under other than honorable conditions was normally appropriate for a Soldier who is discharged for the good of the service. However, the separation authority was authorized to direct a general discharge certificate if such was merited by the Soldier's overall record during their current enlistment. For Soldiers who had completed entry level status, characterization of service as honorable was not authorized unless the Soldier's record was otherwise so meritorious that any other characterization clearly would be improper.
- b. An honorable discharge is a separation with honor. The honorable characterization is appropriate when the quality of the Soldier's service generally has met the standards of acceptable conduct and performance of duty for Army personnel or is otherwise so meritorious that any other characterization would be clearly inappropriate.
- c. A general discharge is a separation from the Army under honorable conditions. When authorized, it is used for a Soldier whose military record is satisfactory but not sufficiently meritorious to warrant an honorable discharge.

- d. A discharge under other than honorable conditions is an administrative separation from the service under conditions other than honorable. It may be issued for misconduct or for the good of the service.
- 3. Army Regulation 635-5-1 (Personnel Separations Separation Program Designator (SPD) Codes), in effect at the time, provided that enlisted Soldiers separated under the provisions of AR 635-200, chapter 10, with a narrative reason "Administrative discharge conduct triable by court-martial" would receive a separation code of JFS.
- 4. Army Regulation 601-210 (Active and Reserve Components Enlistment Program) covers eligibility criteria, policies, and procedures for enlistment and processing into the Regular Army, U.S. Army Reserve, and Army National Guard. Table 3-1 provides a list of RE codes.
 - RE code "1" applies to Soldiers completing their term of active service, who are considered qualified for enlistment if all other criteria are met.
 - RE code "2" is no longer in use but applied to Soldiers separated for the convenience of the government, when reenlistment is not contemplated, who are fully qualified for enlistment/reenlistment.
 - RE code "3" applies to Soldiers who are not considered fully qualified for reentry or continuous service at time of separation, whose disqualification is waivable; they are ineligible unless a waiver is granted.
 - RE code "4" applies to Soldiers separated from last period of service with a non-waivable disqualification.
 - RE code "3B" applied to Soldiers who had lost time during their last period of service, who were ineligible for enlistment unless a waiver was granted.
 - RE code "3C" applied to Soldiers who had completed over 4 months of service who did not meet the basic eligibility pay grade requirements or who have been denied reenlistment under the Qualitative Retention Process and were ineligible for enlistment unless a waiver was granted.
- 5. On 25 August 2017, the Office of the Undersecretary of Defense for Personnel and Readiness issued clarifying guidance for the Secretary of Defense Directive to DRBs and BCM/NRs when considering requests by Veterans for modification of their discharges due in whole, or in part, to: mental health conditions, including PTSD; TBI; sexual assault; sexual harassment. Boards were directed to give liberal consideration to Veterans petitioning for discharge relief when the application for relief is based in whole or in part to those conditions or experiences. The guidance further describes evidence sources and criteria and requires Boards to consider the conditions or experiences presented in evidence as potential mitigation for that misconduct which led to the discharge.

- 6. On 25 July 2018, the Under Secretary of Defense for Personnel and Readiness issued guidance to Military Discharge Review Boards and Boards for Correction of Military/Naval Records regarding equity, injustice, or clemency determinations. Clemency generally refers to relief specifically granted from a criminal sentence. Boards for Correction of Military/Naval Records may grant clemency regardless of the court-martial forum. However, the guidance applies to more than clemency from a sentencing in a court-martial; it also applies to any other corrections, including changes in a discharge, which may be warranted on equity or relief from injustice. This guidance does not mandate relief but provides standards and principles to guide Boards in application of their equitable relief authority.
- a. In determining whether to grant relief on the basis of equity, injustice, or clemency grounds, Boards shall consider the prospect for rehabilitation, external evidence, sworn testimony, policy changes, relative severity of misconduct, mental and behavioral health conditions, official governmental acknowledgement that a relevant error or injustice was committed, and uniformity of punishment.
- b. Changes to the narrative reason for discharge and/or an upgraded character of service granted solely on equity, injustice, or clemency grounds normally should not result in separation pay, retroactive promotions, and payment of past medical expenses or similar benefits that might have been received if the original discharge had been for the revised reason or had the upgraded service characterization.
- 7. Section 1556 of Title 10, United States Code, requires the Secretary of the Army to ensure that an applicant seeking corrective action by the Army Review Boards Agency (ARBA) be provided with a copy of any correspondence and communications (including summaries of verbal communications) to or from the Agency with anyone outside the Agency that directly pertains to or has material effect on the applicant's case, except as authorized by statute. ARBA medical advisory opinions and reviews are authored by ARBA civilian and military medical and behavioral health professionals and are therefore internal agency work product. Accordingly, ARBA does not routinely provide copies of ARBA Medical Office recommendations, opinions (including advisory opinions), and reviews to Army Board for Correction of Military Records applicants (and/or their counsel) prior to adjudication.

//NOTHING FOLLOWS//