1. Applicant's Name:

a. Application Date: 26 April 2021

b. Date Received: 26 April 2021

c. Counsel: None

### 2. REQUEST, ISSUES, BOARD TYPE, AND DECISION:

**a. Applicant's Requests and Issues:** The current characterization of service for the period under review is general (under honorable conditions). The applicant requests an upgrade to honorable.

The applicant seeks relief, contending, in effect, their discharge characterization was inequitable due to the impact of Post-Traumatic Stress Disorder (PTSD) experienced after returning from Iraq. The applicant asserts undiagnosed PTSD contributed to poor judgment and the misuse of drugs and alcohol as coping mechanisms. They contend they have since sought treatment through the VA and learned healthier ways to manage their challenges. The applicant requests an upgrade to pursue further education and establish a career to better support their family.

**b. Board Type and Decision:** In a records review conducted on 10 April 2025, and by a 5-0 vote, the Board determined the discharge is inequitable based on the applicant's Anxiety Disorder and Unspecified Trauma and Stressor Related Disorder outweighing the applicant's illegal substance abuse. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN, and the reentry code to RE-3.

Please see **Board Discussion and Determination** section for more detail regarding the Board's decision.

(Board member names available upon request)

#### 3. DISCHARGE DETAILS:

- a. Reason / Authority / Codes / Characterization: Misconduct (Drug Abuse) / AR 635-200, Chapter 14-12c (2) / JKK / RE-4 / General (Under Honorable Conditions)
  - b. Date of Discharge: 20 July 2007
  - c. Separation Facts:
    - (1) Date of Notification of Intent to Separate: 9 July 2007
- **(2) Basis for Separation:** The applicant was informed of the following reasons: On 25 April 2007 and 16 May 2007 the applicant provided a urine specimen that later tested positive for cocaine.
  - (3) Recommended Characterization: General (Under Honorable Conditions)
  - (4) Legal Consultation Date: On 9 July 2007, the applicant waived legal counsel.

- **(5) Administrative Separation Board:** On 9 July 2007, the applicant unconditionally waived consideration of the case before an administrative separation board.
- **(6) Separation Decision Date / Characterization:** 11 July 2007 / General (Under Honorable Conditions)

#### 4. SERVICE DETAILS:

- a. Date / Period of Enlistment: 9 September 2009 / 3 years
- b. Age at Enlistment / Education / GT Score: 24 / High School Graduate / 107
- **c. Highest Grade Achieved / MOS / Total Service:** E-5 / 21E10, Heavy Construction Equipment Operator / 3 years, 11 months, 22 weeks
  - d. Prior Service / Characterizations: AD, 29 July 2003 / HD
- e. Overseas Service / Combat Service: Korea, SWA / Iraq (15 November 2005 5 November 2006)
- **f.** Awards and Decorations: ARCOM, AAM-5, AGCM, NDSM, GWOTSM, KDSM, ICM, ASR, OSR-2, CAB
  - g. Performance Ratings: 1 November 2005 31 October 2006 / Among the Best 1 November 2006 1 May 2007 / Fully Capable
- h. Disciplinary Action(s) / Evidentiary Record: FG Article 15, 26 June 2007, for wrongfully using cocaine (on 16 May and 25 April 2007) and marijuana (on 16 May 2007). The punishment consisted of a reduction to E-4; forfeiture of \$941 pay per month for two months; and extra duty and restriction for 45 days.

Electronic Copy of DD Form 2624, 10 May 2007, reflects the applicant tested positive for COC 366 (cocaine), during an Inspection Unit (IU) urinalysis testing, conducted on 25 April 2007.

Electronic Copy of DD Form 2624, 29 May 2007, reflects the applicant tested positive for THC 120 (marijuana) and COC 25743 (cocaine), 25743, during an Inspection Unit (IU) urinalysis testing, conducted on 16 May 2007.

Army Substance Abuse Program (ASAP) Enrollment form, 15 May 2007, reflects the applicant command-referred in the ASAP.

- i. Lost Time / Mode of Return: None
- j. Behavioral Health Condition(s):
- (1) Applicant provided: Certificate of Completetion for completion of ten hours of instruction in advantage substance abuse education.

VA rating decision reflects 30 percent for anxiety disorder with panic disorder and insomnia claimed as posttraumatic stress disorder

**(2) AMHRR Listed:** Report of Mental Status Evaluation, 3 July 2007, reflects the applicant was evaluated pursuant for a Chapter 14 Separation, in accordance with; AR635-200.

The applicant had no serious disease or mental defect. The applicant had a normal mental status exam. The applicant understood the chapter process and their rights. The applicant was fit for pay purposes and could participate in the proceedings. The applicant was cleared for administrative separation.

The ARBA's medical advisor reviewed DoD and VA medical records and not solely those documents listed in 4j(1) and (2) above.

- **5. APPLICANT-PROVIDED EVIDENCE:** Application for the Review of Discharge; NCO Evaluation Report; Army Good Conduct Medal order; Combat Action Badge orders; VA Summary of Benefits Letter; Redwood Toxicology Report; Addiction Services Provider Outpatient Provider Certificate; Alcohol and Drug Abuse Counselor Certificate; Advantage Counselor and Education Services Certificate of Completion; VA Rating Decision; Certificate of Release or Discharge from Active Duty.
- **6. Post Service Accomplishments:** Completion of ten hours of advantage substance abuse education.

### 7. STATUTORY, REGULATORY AND POLICY REFERENCE(S):

- **a.** Section 1553, Title 10, United States Code (Review of Discharge or Dismissal) provides for the creation, composition, and scope of review conducted by a Discharge Review Board(s) within established governing standards. As amended by Sections 521 and 525 of the National Defense Authorization Act for Fiscal Year 2020, 10 USC 1553 provides specific guidance to the Military Boards for Correction of Military/Naval Records and Discharge Review Boards when considering discharge upgrade requests by Veterans claiming Post Traumatic Stress Disorder (PTSD), Traumatic Brain Injury (TBI), sexual trauma, intimate partner violence (IPV), or spousal abuse, as a basis for discharge review. The amended guidance provides that Boards will include, as a voting board member, a physician trained in mental health disorders, a clinical psychologist, or a psychiatrist when the discharge upgrade claim asserts a mental health condition, including PTSD, TBI, sexual trauma, IPV, or spousal abuse, as a basis for the discharge. Further, the guidance provides that Military Boards for Correction of Military/Naval Records and Discharge Review Boards will develop and provide specialized training specific to sexual trauma, IPV, spousal abuse, as well as the various responses of individuals to trauma.
- **b.** Multiple Department of Defense Policy Guidance Memoranda published between 2014 and 2018. The documents are commonly referred to by the signatory authorities' last names (2014 Secretary of Defense Guidance [Hagel memo], 2016 Acting Principal Deputy Under Secretary of Defense for Personnel and Readiness [Carson memo], 2017 Official Performing the Duties of the Under Secretary of Defense for Personnel and Readiness [Kurta memo], and 2018 Under Secretary of Defense for Personnel and Readiness [Wilkie memo].
- (1) Individually and collectively, these documents provide further clarification to the Military Discharge Review Boards and Boards for Correction of Military/Naval Records when considering requests by Veterans for modification of their discharge due to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Liberal consideration will be given to Veterans petitioning for discharge relief when the application for relief is based in whole or in part on matters relating to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Special consideration will be given to Department of Veterans Affairs (VA) determinations that document a mental health condition, including PTSD; TBI; or sexual assault/harassment potentially contributed to the circumstances resulting in a less than honorable discharge characterization. Special consideration will also be given in cases where a civilian provider confers diagnoses of a mental health condition, including PTSD; TBI; or sexual

assault/harassment if the case records contain narratives supporting symptomatology at the time of service or when any other evidence which may reasonably indicate that a mental health condition, including PTSD; TBI; or sexual assault/harassment existed at the time of discharge might have mitigated the misconduct that caused a discharge of lesser characterization.

- (2) Conditions documented in the service record that can reasonably be determined to have existed at the time of discharge will be considered to have existed at the time of discharge. In cases in which a mental health condition, including PTSD; TBI; or sexual assault/harassment may be reasonably determined to have existed at the time of discharge, those conditions will be considered potential mitigating factors in the misconduct that caused the characterization of service in question. All Boards will exercise caution in weighing evidence of mitigation in cases in which serious misconduct precipitated a discharge with a less than Honorable characterization of service. Potentially mitigating evidence of the existence of undiagnosed combat related PTSD, PTSD-related conditions due to TBI or sexual assault/harassment as causative factors in the misconduct resulting in discharge will be carefully weighed against the severity of the misconduct. PTSD is not a likely cause of premeditated misconduct. Caution shall be exercised in weighing evidence of mitigation in all cases of misconduct by carefully considering the likely causal relationship of symptoms to the misconduct.
- **c.** Army Regulation 15-180 (Army Discharge Review Board), sets forth the policies and procedures under which the Army Discharge Review Board is authorized to review the character, reason, and authority of any Servicemember discharged from active military service within 15 years of the Servicemember's date of discharge. Additionally, it prescribes actions and composition of the Army Discharge Review Board under Public Law 95-126; Section 1553, Title 10 United States Code; and Department of Defense Directive 1332.41 and Instruction 1332.28.
- **d.** Army Regulation 635-200 (Active Duty Enlisted Administrative Separations), provides the basic authority for the separation of enlisted personnel.
- (1) Chapter 3, Section II provides the authorized types of characterization of service or description of separation.
- (2) Paragraph 3-7a states an Honorable discharge is a separation with honor and is appropriate when the quality of the Soldier's service generally has met the standards of acceptable conduct and performance of duty for Army personnel or is otherwise so meritorious that any other characterization would be clearly inappropriate.
- (3) Paragraph 3-7b states a General discharge is a separation from the Army under honorable conditions and is issued to a Soldier whose military record is satisfactory but not sufficiently meritorious to warrant an honorable discharge.
- (4) Chapter 14 establishes policy and prescribes procedures for separating members for misconduct. Specific categories include minor disciplinary infractions, a pattern of misconduct, and commission of a serious offense, to include abuse of illegal drugs, convictions by civil authorities and desertion or being absent without leave. Action will be taken to separate a member for misconduct when it is clearly established that rehabilitation is impractical or unlikely to succeed.
- (5) Paragraph 14-3 prescribes a discharge under other than honorable conditions is normally appropriate for a Soldier discharged under this chapter. However, the separation authority may direct a general discharge if such is merited by the Soldier's overall record.

- **(6)** Paragraph 14-12c(2) terms abuse of illegal drugs as serious misconduct. It continues; however, by recognizing relevant facts may mitigate the nature of the offense. Therefore, a single drug abuse offense may be combined with one or more minor disciplinary infractions or incidents of other misconduct and processed for separation under paragraph 14-12a or 14-12b as appropriate.
- **e.** Army Regulation 635-5-1 (Separation Program Designator (SPD) Codes), provides the specific authorities (regulatory or directive), reasons for separating Soldiers from active duty, and the SPD codes to be entered on the DD Form 214. It identifies the SPD code of "JKK" as the appropriate code to assign enlisted Soldiers who are discharged under the provisions of Army Regulation 635-200, Chapter 14, misconduct (drug abuse).
- **f.** Army Regulation 601-210, (Regular Army and Reserve Components Enlistment Program), governs eligibility criteria, policies, and procedures for enlistment and processing of persons into the Regular Army, the U.S. Army Reserve, and Army National Guard for enlistment per DODI 1304.26. It also prescribes the appointment, reassignment, management, and mobilization of Reserve Officers' Training Corps cadets under the Simultaneous Membership Program. Chapter 4 provides the criteria and procedures for waiverable and nonwaiverable separations. Table 3-1, defines reentry eligibility (RE) codes: RE-4 Applies to: Person separated from last period of service with a nonwaiverable disqualification. This includes anyone with a DA imposed bar to reenlistment in effect at time of separation or separated for any reason (except length of service retirement) with 18 or more years active Federal service. Eligibility: Ineligible for enlistment.
- **8. SUMMARY OF FACT(S):** The Army Discharge Review Board considers applications for upgrade as instructed by Department of Defense Instruction 1332.28.

The applicant requests an upgrade to honorable. The applicant's Army Military Human Resources Record (AMHRR), the issues, and documents submitted with the application were carefully reviewed.

The applicant contends their discharge characterization was inequitable due to the impact of Post-Traumatic Stress Disorder (PTSD) experienced after returning from Iraq. The applicant asserts undiagnosed PTSD contributed to poor judgment and the misuse of drugs and alcohol as coping mechanisms. The applicant provided VA rating decision which reflects 30 percent for anxiety disorder with panic disorder and insomnia claimed as posttraumatic stress disorder. The applicant's AMHRR includes no documentation of PTSD diagnosis. The AMHRR shows the applicant underwent a mental status evaluation (MSE) on 3 July 2007, which indicates the applicant was mentally responsible and recognized right from wrong. The MSE does not indicate any diagnosis. The MSE was considered by the separation authority.

The applicant contends they have since sought treatment through the VA and learned healthier ways to manage their challenges. The applicant requests an upgrade to pursue further education and establish a career to better support their family. Eligibility for veteran's benefits to include educational benefits under the Post-9/11 or Montgomery GI Bill does not fall within the purview of the Army Discharge Review Board. Accordingly, the applicant should contact a local office of the Department of Veterans Affairs for further assistance.

#### 9. BOARD DISCUSSION AND DETERMINATION:

**a.** As directed by the 2017 memo signed by A.M. Kurta, the board considered the following factors:

- (1) Did the applicant have a condition or experience that may excuse or mitigate the discharge? **Yes.** The Board reviewed the applicant's DOD and VA health records, applicant's statement, and/or civilian provider documentation and found that the applicant has the following potentially-mitigating diagnoses/experiences: Adjustment Disorder, Anxiety Disorder NOS, Unspecified Trauma and Stressor Related Disorder.
- (2) Did the condition exist or experience occur during military service? **Yes.** The Board found that the applicant was diagnosed in service with an Adjustment Disorder and Anxiety Disorder NOS and is service connected by the VA for Unspecified Trauma and Stressor Related Disorder. Service connection establishes that the Unspecified Trauma and Stressor Related Disorder also existed during military service.
- (3) Does the condition or experience actually excuse or mitigate the discharge? **Yes.** The Board determined, based on the BMA's opine, that the applicant's behavioral health conditions mitigate the discharge. Given the nexus between Anxiety Disorder NOS, Unspecified Trauma and Stressor Related Disorder, and self-medicating with substances, the positive UAs for cocaine are mitigated.
- (4) Does the condition or experience outweigh the discharge? **Yes.** After applying liberal consideration to the evidence, including the Board Medical Advisor opine, the Board determined that the applicant's Anxiety Disorder and Unspecified Trauma and Stressor Related Disorder outweighed the applicant's illegal substance abuse.

### **b.** Response to Contention(s):

- (1) The applicant contends their discharge characterization was inequitable due to the impact of Post-Traumatic Stress Disorder (PTSD) experienced after returning from Iraq. The Board liberally considered this contention and determined that the applicant's Anxiety Disorder and Unspecified Trauma and Stressor Related Disorder outweighed the applicant's illegal substance abuse.
- (2) The applicant contends an upgrade will help further their education and establish a career to better support their family. The Board considered this contention but does not grant relief to gain employment or enhance employment opportunities.
- **c.** The Board determined the discharge is inequitable based on the applicant's Anxiety Disorder and Unspecified Trauma and Stressor Related Disorder outweighing the applicant's illegal substance abuse. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN, and the reentry code to RE-3.

#### **d.** Rationale for Decision:

- (1) The Board voted to change the applicant's characterization of service to Honorable because the applicant's Anxiety Disorder and Unspecified Trauma and Stressor Related Disorder outweighed the applicant's illegal substance abuse. Thus, the prior characterization is no longer appropriate.
- (2) The Board voted to change the reason for discharge to Misconduct (Minor Infractions) under the same pretexts, thus the reason for discharge is no longer appropriate. The SPD code associated with the new reason for discharge is JKN.

(3) The RE code will change to RE-3.

### 11. BOARD ACTION DIRECTED:

a. Issue a New DD-214: Yes

b. Change Characterization to: Honorable

c. Change Reason / SPD Code to: Misconduct (Minor Infractions)/JKN

d. Change RE Code to: RE-3

e. Change Authority to: AR 635-200

### **Authenticating Official:**

5/16/2025

AWOL – Absent Without Leave AMHRR – Army Military Human Resource Record BCD – Bad Conduct Discharge BH – Behavioral Health CG – Company Grade Article 15 CID – Criminal Investigation Division

ELS – Entry Level Status FG – Field Grade Article 15 GD – General Discharge HS – High School HD – Honorable Discharge IAOT – Initial Active Duty Training MP – Military Police MST – Military Sexual Trauma N/A – Not applicable NCO – Noncommissioned Officer

NOS - Not Otherwise Specified

OAD – Ordered to Active Duty OBH (I) – Other Behavioral Health (Issues) OMPF – Official Military Personnel File PTSD – Post-Traumatic Stress Disorder RE – Re-entry SCM – Summary Court Martial SPCM – Special Court Martial SPD – Separation Program Designator TBI – Traumatic Brain Injury UNC – Uncharacterized Discharge UOTHC – Under Other Than Honorable Conditions VA – Department of Veterans