1. Applicant's Name:

a. Application Date: 26 April 2021

b. Date Received: 26 April 2021

c. Counsel: None

2. REQUEST, ISSUES, BOARD TYPE, AND DECISION:

a. Applicant's Requests and Issues: The current characterization of service for the period under review is general (under honorable conditions). The applicant requests an upgrade to honorable.

The applicant seeks relief contending, in effect, suffering from an undiagnosed bipolar condition; presently, is a recognized diagnosis of post-traumatic stress disorder (PTSD). After joining the Army in July 2006, the applicant deployed in April 2007. The applicant currently suffers from haunting flashbacks daily, stemming from an indirect fire attack on their first deployment. The applicant states it was a very traumatizing moment and negatively changed their life. The applicant went through a second indirect fire attack on their second deployment, which led them to severe depression. The applicant cannot do everyday things such, as going out in crowded areas, without having a panic attack and feeling like they might pass out. After returning from their 2010 deployment, the applicant reached out to their chain of command for help but did not receive any. The applicant made a permanent change of station (PCS) to their next duty station with severe depression, flashbacks, and non-social behavior and was always on guard mentally. The applicant believes their medical issues were the reason for them going absent without leave (AWOL).

b. Board Type and Decision: In a records review conducted on 6 March 2025, and by a 5-0 vote, the Board determined the discharge is inequitable based on the applicant's Post Traumatic Stress Disorder, Bipolar Disorder, and Anxiety outweighing the applicant's AWOL offense. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN. The Board determined the reentry code is proper and equitable and voted not to change it.

Please see **Board Discussion and Determination** section for more detail regarding the Board's decision.

(Board member names available upon request)

3. DISCHARGE DETAILS:

- a. Reason / Authority / Codes / Characterization: In Lieu of Trial by Court-Martial / AR 635-200, Chapter 10 / KFS / RE-4 / General (Under Honorable Conditions)
 - b. Date of Discharge: 24 April 2012
- **c. Separation Facts:** The applicant's Army Military Human Resource Record (AMHRR) is void of the case separation file.
 - (1) Date and Charges Preferred (DD Form 458, Charge Sheet): NIF

(2) Legal Consultation Date: NIF

(3) Basis for Separation: NIF

(4) Recommended Characterization: NIF

(5) Separation Decision Date / Characterization: NIF

4. SERVICE DETAILS:

- a. Date / Period of Enlistment: 17 October 2010 / NIF
- b. Age at Enlistment / Education / GT Score: 25 / some college / 98
- c. Highest Grade Achieved / MOS / Total Service: E-5 / 89B20, Ammunition Specialist / 5 years, 4 months, 12 days
 - d. Prior Service / Characterizations: RA, 17 July 2006 16 October 2010 / HD
- e. Overseas Service / Combat Service: SWA / Iraq (14 April 2007 22 October 2007; 15 November 2009 30 November 2010)
- **f. Awards and Decorations:** ARCOM-2, AGCM, NDSM, GWOTSM, ASR, GWOTEM, ICM-BS; OSR
 - g. Performance Ratings: NIF
- h. Disciplinary Action(s) / Evidentiary Record: The applicant's Enlisted Record Brief (ERB), 12 April 2012, reflects the applicant was flagged for Involuntary Separation or Discharge (Field Initiated) (BA) effective 26 October 2011; Adverse Action (AA) effective 15 December 2011.

Orders 107-0008, 16 April 2012, reflect the applicant was to be reassigned to the U.S. Army Transition Point and discharged on 24 April 2012 from the Regular Army.

The applicant's DD Form 214 (Certificate of Release or Discharge from Active Duty), reflects the applicant had completed the first full term of service. The applicant was discharged under the authority of AR 635-200, paragraph 10, with a narrative reason of In Lieu of trial by Court Martial. The DD Form 214 was not authenticated with the applicant's electronic signature. The applicant had lost time for the period 4 April 2011 to 29 August 2011.

- i. Lost Time / Mode of Return: 4 months, 25 days (NIF, 4 April 2011 29 August 2011) / NIF
 - j. Behavioral Health Condition(s):
- (1) Applicant provided: Winn Army Community Hospital Medical Records, 12 October 2011, reflect the applicant was diagnosed with Axis I: Bipolar I disorder, single episode severe, with psychotic features; Nicotine dependence; Cannabis (marihuana) abuse; Alcohol abuse versus dependence.
 - (2) AMHRR Listed: None

The ARBA's medical advisor reviewed DoD and VA medical records, including documents listed in 4j(1) and (2) above.

- **5. APPLICANT-PROVIDED EVIDENCE:** Two Certificates of Release or Discharge from Active Duty; three Applications for the Review of Discharge; self-authored statement; medical records; Memorandum, dated 24 May 2016; ARBA letter; Email for A. S.; Case Report Directive, AR2012019230; Orders 107-0008; Memorandum for Clinical Psychologist; Request for Medical Advisory Opinion.
- **6. POST SERVICE ACCOMPLISHMENTS:** None submitted with the application.

7. STATUTORY, REGULATORY AND POLICY REFERENCE(S):

- **a.** Section 1553, Title 10, United States Code (Review of Discharge or Dismissal) provides for the creation, composition, and scope of review conducted by a Discharge Review Board(s) within established governing standards. As amended by Sections 521 and 525 of the National Defense Authorization Act for Fiscal Year 2020, 10 USC 1553 provides specific guidance to the Military Boards for Correction of Military/Naval Records and Discharge Review Boards when considering discharge upgrade requests by Veterans claiming Post Traumatic Stress Disorder (PTSD), Traumatic Brain Injury (TBI), sexual trauma, intimate partner violence (IPV), or spousal abuse, as a basis for discharge review. The amended guidance provides that Boards will include, as a voting board member, a physician trained in mental health disorders, a clinical psychologist, or a psychiatrist when the discharge upgrade claim asserts a mental health condition, including PTSD, TBI, sexual trauma, IPV, or spousal abuse, as a basis for the discharge. Further, the guidance provides that Military Boards for Correction of Military/Naval Records and Discharge Review Boards will develop and provide specialized training specific to sexual trauma, IPV, spousal abuse, as well as the various responses of individuals to trauma.
- **b.** Multiple Department of Defense Policy Guidance Memoranda published between 2014 and 2018. The documents are commonly referred to by the signatory authorities' last names (2014 Secretary of Defense Guidance [Hagel memo], 2016 Acting Principal Deputy Under Secretary of Defense for Personnel and Readiness [Carson memo], 2017 Official Performing the Duties of the Under Secretary of Defense for Personnel and Readiness [Kurta memo], and 2018 Under Secretary of Defense for Personnel and Readiness [Wilkie memo].
- (1) Individually and collectively, these documents provide further clarification to the Military Discharge Review Boards and Boards for Correction of Military/Naval Records when considering requests by Veterans for modification of their discharge due to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Liberal consideration will be given to Veterans petitioning for discharge relief when the application for relief is based in whole or in part on matters relating to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Special consideration will be given to Department of Veterans Affairs (VA) determinations that document a mental health condition, including PTSD; TBI; or sexual assault/harassment potentially contributed to the circumstances resulting in a less than honorable discharge characterization. Special consideration will also be given in cases where a civilian provider confers diagnoses of a mental health condition, including PTSD; TBI; or sexual assault/harassment if the case records contain narratives supporting symptomatology at the time of service or when any other evidence which may reasonably indicate that a mental health condition, including PTSD; TBI; or sexual assault/harassment existed at the time of discharge might have mitigated the misconduct that caused a discharge of lesser characterization.

- (2) Conditions documented in the service record that can reasonably be determined to have existed at the time of discharge will be considered to have existed at the time of discharge. In cases in which a mental health condition, including PTSD; TBI; or sexual assault/harassment may be reasonably determined to have existed at the time of discharge, those conditions will be considered potential mitigating factors in the misconduct that caused the characterization of service in question. All Boards will exercise caution in weighing evidence of mitigation in cases in which serious misconduct precipitated a discharge with a less than Honorable characterization of service. Potentially mitigating evidence of the existence of undiagnosed combat related PTSD, PTSD-related conditions due to TBI or sexual assault/harassment as causative factors in the misconduct resulting in discharge will be carefully weighed against the severity of the misconduct. PTSD is not a likely cause of premeditated misconduct. Caution shall be exercised in weighing evidence of mitigation in all cases of misconduct by carefully considering the likely causal relationship of symptoms to the misconduct.
- **c.** Army Regulation 15-180 (Army Discharge Review Board), sets forth the policies and procedures under which the Army Discharge Review Board is authorized to review the character, reason, and authority of any Servicemember discharged from active military service within 15 years of the Servicemember's date of discharge. Additionally, it prescribes actions and composition of the Army Discharge Review Board under Public Law 95-126; Section 1553, Title 10 United States Code; and Department of Defense Directive 1332.41 and Instruction 1332.28.
- **d.** Army Regulation 635-200 (Active Duty Enlisted Administrative Separations), provides the basic authority for the separation of enlisted personnel.
- (1) Chapter 3, Section II provides the authorized types of characterization of service or description of separation.
- (2) Paragraph 3-7a states an Honorable discharge is a separation with honor and is appropriate when the quality of the Soldier's service generally has met the standards of acceptable conduct and performance of duty for Army personnel or is otherwise so meritorious that any other characterization would be clearly inappropriate.
- (3) Paragraph 3-7b states a General discharge is a separation from the Army under honorable conditions and is issued to a Soldier whose military record is satisfactory but not sufficiently meritorious to warrant an honorable discharge.
- (4) Chapter 10 provides, in pertinent part, that a member who has committed an offense or offenses for which the authorized punishment includes a punitive discharge may submit a request for a discharge for the good of the Service in lieu of trial by court-martial. The request may be submitted at any time after charges have been preferred and must include the individual's admission of guilt.
- (5) Paragraph 10-6 stipulates medical and mental examinations are not required but may be requested by the Soldier under AR 40–501, chapter 8.
- (6) Paragraph 10-8a stipulates a discharge under other than honorable conditions normally is appropriate for a Soldier who is discharged in lieu of trial by court-martial. However, the separation authority may direct a general discharge if such is merited by the Soldier's overall record during the current enlistment. (See chap 3, sec II.)
- (7) Paragraph 10b stipulates Soldiers who have completed entry-level status, characterization of service as honorable is not authorized unless the Soldier's record is otherwise so meritorious that any other characterization clearly would be improper.

- **e.** Army Regulation 635-5-1 (Separation Program Designator (SPD) Codes), provides the specific authorities (regulatory or directive), reasons for separating Soldiers from active duty, and the SPD codes to be entered on the DD Form 214. It identifies the SPD code of "KFS" as the appropriate code to assign enlisted Soldiers who are discharged under the provisions of Army Regulation 635-200, Chapter 10, In Lieu of Trial by Court-Martial.
- **f.** Army Regulation 601-210, (Regular Army and Reserve Components Enlistment Program), governs eligibility criteria, policies, and procedures for enlistment and processing of persons into the Regular Army, the U.S. Army Reserve, and Army National Guard for enlistment per DODI 1304.26. It also prescribes the appointment, reassignment, management, and mobilization of Reserve Officers' Training Corps cadets under the Simultaneous Membership Program. Chapter 4 provides the criteria and procedures for waiverable and nonwaiverable separations. Table 3-1, defines reentry eligibility (RE) codes: RE-4 Applies to: Person separated from last period of service with a nonwaiverable disqualification. This includes anyone with a DA imposed bar to reenlistment in effect at time of separation or separated for any reason (except length of service retirement) with 18 or more years active Federal service. Eligibility: Ineligible for enlistment.
- **8. SUMMARY OF FACT(s):** The Army Discharge Review Board considers applications for upgrade as instructed by Department of Defense Instruction 1332.28.

The applicant requests an upgrade to honorable. The applicant's Army Military Human Resources Record (AMHRR), the issues, and documents submitted with the application were carefully reviewed.

The applicant's Army Military Human Resources Record (AMHRR) is void of the specific facts and circumstances concerning the events leading to the discharge from the Army. The applicant's AMHRR does include a properly constituted DD Form 214 (Certificate of Release or Discharge from Active Duty), which was not authenticated by the applicant's electronic signature. The applicant's DD Form 214 indicates the applicant was discharged under the provisions of AR 635-200, Chapter 10, by reason of In Lieu of Trial by Court-Martial, with a characterization of service of general (under honorable conditions).

The applicant contends suffering from PTSD. The applicant provided a Winn Army Community Hospital Medical Records, 12 October 2011, reflecting the applicant was diagnosed with Axis I: Bipolar I disorder, single episode severe, with psychotic features; Nicotine dependence; Cannabis (marihuana) abuse; Alcohol abuse versus dependence. The third-party statement provided with the application reflects that the applicant is always fearful of someone after them and is overly protective of their safety, even if there is or is not a perceived threat. The applicant's sentences became incomplete, and their parent often found them staring off into the unknown. The AMHRR is void of a mental status evaluation.

The applicant contends they reached out to their chain of command for help but did not receive any. The applicant believes their medical issues were the reason for them going AWOL. The applicant did not submit evidence other than the applicant's statement to support the contention. The AMHRR does not include any indication or evidence of arbitrary or capricious actions by the command.

9. BOARD DISCUSSION AND DETERMINATION:

a. As directed by the 2017 memo signed by A.M. Kurta, the board considered the following factors:

- (1) Did the applicant have a condition or experience that may excuse or mitigate the discharge? **Yes.** The Board reviewed the applicant's DOD and VA health records, applicant's statement, and/or civilian provider documentation and found that the applicant has the following potentially-mitigating diagnoses/experiences: Anxiety, Bipolar Disorder, PTSD. Additionally, the applicant asserts Depression, which may be sufficient evidence to establish the existence of a condition that could mitigate or excuse the discharge.
- (2) Did the condition exist or experience occur during military service? **Yes.** The Board found that the applicant was diagnosed in service with Anxiety and Bipolar Disorder and is service connected by the VA for PTSD. Service connection establishes that the applicant's PTSD also existed during military service. The applicant's self-asserted Depression is subsumed under the Bipolar Disorder.
- (3) Does the condition or experience actually excuse or mitigate the discharge? **Yes.** The Board determined, based on the BMA's opine, that the applicant's behavioral health conditions mitigate the discharge. Given the nexus between Anxiety, Bipolar Disorder, PTSD, and avoidance, the AWOL that led to the applicant's separation is mitigated. There is clear evidence in the medical record that the applicant's BH conditions contributed to the AWOL.
- (4) Does the condition or experience outweigh the discharge? **Yes.** After applying liberal consideration to the evidence, including the Board Medical Advisor opine, the Board determined that the applicant's Post Traumatic Stress Disorder, Bipolar Disorder, and Anxiety outweighed the applicant's AWOL offense.

b. Response to Contention(s):

- (1) The applicant contends suffering from PTSD. The Board liberally considered this contention and determined that the applicant's Post Traumatic Stress Disorder, Bipolar Disorder, and Anxiety outweighed the applicant's AWOL offense.
- (2) The applicant contends reaching out to their chain of command for help but did not receive any. The applicant believes their medical issues were the reason for them going AWOL. The Board liberally considered this contention and determined that the applicant's Post Traumatic Stress Disorder, Bipolar Disorder, and Anxiety outweighed the applicant's AWOL offense.
- **c.** The Board determined the discharge is inequitable based on the applicant's Post Traumatic Stress Disorder, Bipolar Disorder, and Anxiety outweighing the applicant's AWOL offense. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN. The Board determined the reentry code is proper and equitable and voted not to change it.

d. Rationale for Decision:

(1) The Board voted to change the applicant's characterization of service to Honorable because the applicant's Post Traumatic Stress Disorder, Bipolar Disorder, and Anxiety outweighed the applicant's AWOL offense. Thus, the prior characterization is no longer appropriate.

- (2) The Board voted to change the reason for discharge to Misconduct (Minor Infractions) under the same pretexts, thus the reason for discharge is no longer appropriate. The SPD code associated with the new reason for discharge is JKN.
- (3) The RE code will not change, as the current code is consistent with the procedural and substantive requirements of the regulation.

10. BOARD ACTION DIRECTED:

a. Issue a New DD-214: Yes

b. Change Characterization to: Honorable

c. Change Reason / SPD Code to: Misconduct (Minor Infractions)/JKN

d. Change RE Code to: No Change

e. Change Authority to: AR 635-200

Authenticating Official:



AWOL – Absent Without Leave AMHRR – Army Military Human Resource Record BCD - Bad Conduct Discharge BH – Behavioral Health CG – Company Grade Article 15

CID - Criminal Investigation ELS - Entry Level Status FG - Field Grade Article 15

HS - High School HD - Honorable Discharge

IADT - Initial Active Duty Training MP – Military Police

MST - Military Sexual Trauma N/A - Not applicable NCO – Noncommissioned Officer

NIF - Not in File

NOS – Not Otherwise Specified

OAD – Ordered to Active Duty OBH (I) – Other Behavioral

Health (Issues) OMPF - Official Military

Personnel File PTSD - Post-Traumatic Stress Disorder

SCM – Summary Court Martial SPCM – Special Court Martial

SPD - Separation Program

Designator TBI – Traumatic Brain Injury UNC – Uncharacterized

Discharge UOTHC – Under Other Than Honorable Conditions

VA – Department of Veterans

Affairs