## 1. Applicant's Name:

- a. Application Date: 26 April 2021
- b. Date Received: 26 April 2021
- c. Counsel: None
- 2. REQUEST, ISSUES, BOARD TYPE, AND DECISION:

**a. Applicant's Requests and Issues:** The current characterization of service for the period under review is general (under honorable conditions). The applicant requests an upgrade to honorable.

The applicant seeks relief contending, in effect, requests an upgrade due to their mental condition.

**b.** Board Type and Decision: In a records review conducted on 11 March 2025, and by a 5-0 vote, the Board determined that the characterization of service was inequitable based on the applicant's behavioral health conditions mitigating the basis of separation misconduct. Accordingly, the Board voted to grant relief in the form of an upgrade to the characterization of service to Honorable. The Board determined the narrative reason/SPD code and RE code were proper and equitable and voted not to change them.

Please see **Board Discussion and Determination** section for more details regarding the Board's decision. Board member names available upon request.

## 3. DISCHARGE DETAILS:

a. Reason / Authority / Codes / Characterization: Misconduct (Serious Offense) / AR 635-200, Chapter 14-12c / JKQ / RE-3 / General (Under Honorable Conditions)

- **b.** Date of Discharge: 30 September 2010
- c. Separation Facts:
  - (1) Date of Notification of Intent to Separate: 27 September 2010

(2) Basis for Separation: The applicant was informed of the following reasons: The applicant was arrested for being drunk and disorderly.

The applicant resisted apprehension, fleeing or attempting to elude police.

The applicant committed battery against a law enforcement officer.

The applicant assaulted a superior Noncommissioned Officer (NCO) and communicated a threat.

- (3) **Recommended Characterization:** General (Under Honorable Conditions)
- (4) Legal Consultation Date: Undated

(5) Administrative Separation Board: In an undated memorandum, the applicant unconditionally waived consideration of the case before an administrative separation board.

(6) Separation Decision Date / Characterization: 29 September 2010 / General (Under Honorable Conditions)

- 4. SERVICE DETAILS:
  - a. Date / Period of Enlistment: 4 March 2009 / 3 years, 21 weeks
  - b. Age at Enlistment / Education / GT Score: 31 / GED / 88

**c.** Highest Grade Achieved / MOS / Total Service: E-4 / 92W10, Water Treatment Specialist / 9 years, 7 months, 1 day

d. Prior Service / Characterizations: ARNG, 15 January 1997 – 18 February 1997 / NA AD, 19 February 1997 – 22 July 1997 / UNC ARNG, 23 July 1997 – 7 March 2001 / GD

- e. Overseas Service / Combat Service: SWA / Iraq (19 March 2010 15 May 2010)
- f. Awards and Decorations: NDSM, GWOTSM, ICM-CS, ASR, OSR
- g. Performance Ratings: NA

**h.** Disciplinary Action(s) / Evidentiary Record: Military Police Report, 13 July 2010, reflects the applicant was apprehended for: Aggravated Assault; Drunk and disorderly; Provoking speech and gestures (on post).

Email from E. C., reflect the applicant was charge with Drunk and disorderly; Resisting apprehension; Battery against a law enforcement officer; Assault on a superior NCO; communication a threat.

Developmental Counseling Forms, for chapter initiation.

- i. Lost Time / Mode of Return: None
- j. Behavioral Health Condition(s):

(1) **Applicant provided:** Progress notes, 6 July 2012, reflect a diagnosis of post-traumatic stress disorder and traumatic brain Injury.

(2) AMHRR Listed: Memorandum for Mental Health Evaluation, 22 September 2010, reflects the applicant does not have a psychiatric condition.

The ARBA's medical advisor reviewed DoD and VA medical records, including documents listed in 4*j*(1) and (2) above.

**5. APPLICANT-PROVIDED EVIDENCE:** Certificate of Release or Discharge from Active Duty; Application for the Review of Discharge; Report of Separation and Record of Service; Progress notes.

6. **POST SERVICE ACCOMPLISHMENTS:** None submitted with the application.

# 7. STATUTORY, REGULATORY AND POLICY REFERENCE(S):

**a.** Section 1553, Title 10, United States Code (Review of Discharge or Dismissal) provides for the creation, composition, and scope of review conducted by a Discharge Review Board(s) within established governing standards. As amended by Sections 521 and 525 of the National Defense Authorization Act for Fiscal Year 2020, 10 USC 1553 provides specific guidance to the Military Boards for Correction of Military/Naval Records and Discharge Review Boards when considering discharge upgrade requests by Veterans claiming Post Traumatic Stress Disorder (PTSD), Traumatic Brain Injury (TBI), sexual trauma, intimate partner violence (IPV), or spousal abuse, as a basis for discharge review. The amended guidance provides that Boards will include, as a voting board member, a physician trained in mental health disorders, a clinical psychologist, or a psychiatrist when the discharge upgrade claim asserts a mental health condition, including PTSD, TBI, sexual trauma, IPV, or spousal abuse, as a basis for the discharge provides that Military Boards for Correction of Military/Naval Records and Discharge Review Boards will develop and provide specialized training specific to sexual trauma, IPV, spousal abuse, as well as the various responses of individuals to trauma.

**b.** Multiple Department of Defense Policy Guidance Memoranda published between 2014 and 2018. The documents are commonly referred to by the signatory authorities' last names (2014 Secretary of Defense Guidance [Hagel memo], 2016 Acting Principal Deputy Under Secretary of Defense for Personnel and Readiness [Carson memo], 2017 Official Performing the Duties of the Under Secretary of Defense for Personnel and Readiness [Kurta memo], and 2018 Under Secretary of Defense for Personnel and Readiness [Wilkie memo].

(1) Individually and collectively, these documents provide further clarification to the Military Discharge Review Boards and Boards for Correction of Military/Naval Records when considering requests by Veterans for modification of their discharge due to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Liberal consideration will be given to Veterans petitioning for discharge relief when the application for relief is based in whole or in part on matters relating to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Special consideration will be given to Department of Veterans Affairs (VA) determinations that document a mental health condition, including PTSD; TBI; or sexual assault/harassment potentially contributed to the circumstances resulting in a less than honorable discharge characterization. Special consideration will also be given in cases where a civilian provider confers diagnoses of a mental health condition, including PTSD; TBI; or sexual assault/harassment if the case records contain narratives supporting symptomatology at the time of service or when any other evidence which may reasonably indicate that a mental health condition, including PTSD; TBI; or sexual assault/harassment existed at the time of discharge might have mitigated the misconduct that caused a discharge of lesser characterization.

(2) Conditions documented in the service record that can reasonably be determined to have existed at the time of discharge will be considered to have existed at the time of discharge. In cases in which a mental health condition, including PTSD; TBI; or sexual assault/harassment may be reasonably determined to have existed at the time of discharge, those conditions will be considered potential mitigating factors in the misconduct that caused the characterization of service in question. All Boards will exercise caution in weighing evidence of mitigation in cases in which serious misconduct precipitated a discharge with a less than Honorable characterization of service. Potentially mitigating evidence of the existence of undiagnosed combat related PTSD, PTSD-related conditions due to TBI or sexual assault/harassment as causative factors in the misconduct resulting in discharge will be carefully weighed against the severity of the misconduct. PTSD is not a likely cause of premeditated misconduct. Caution

shall be exercised in weighing evidence of mitigation in all cases of misconduct by carefully considering the likely causal relationship of symptoms to the misconduct.

**c.** Army Regulation 15-180 (Army Discharge Review Board) sets forth the policies and procedures under which the Army Discharge Review Board is authorized to review the character, reason, and authority of any Servicemember discharged from active military service within 15 years of the Servicemember's date of discharge. Additionally, it prescribes actions and composition of the Army Discharge Review Board under Public Law 95-126; Section 1553, Title 10 United States Code; and Department of Defense Directive 1332.41 and Instruction 1332.28.

**d.** Army Regulation 635-200 (Active Duty Enlisted Administrative Separations) provides the basic authority for the separation of enlisted personnel.

(1) Chapter 3, Section II provides the authorized types of characterization of service or description of separation.

(2) Paragraph 3-7a states an Honorable discharge is a separation with honor and is appropriate when the quality of the Soldier's service generally has met the standards of acceptable conduct and performance of duty for Army personnel or is otherwise so meritorious that any other characterization would be clearly inappropriate.

(3) Paragraph 3-7b states a General discharge is a separation from the Army under honorable conditions and is issued to a Soldier whose military record is satisfactory but not sufficiently meritorious to warrant an honorable discharge.

(4) Chapter 14 establishes policy and prescribes procedures for separating members for misconduct. Specific categories include minor disciplinary infractions, a pattern of misconduct, and commission of a serious offense, to include abuse of illegal drugs, convictions by civil authorities and desertion or being absent without leave. Action will be taken to separate a member for misconduct when it is clearly established that rehabilitation is impractical or unlikely to succeed.

(5) Paragraph 14-3 prescribes a discharge under other than honorable conditions is normally appropriate for a Soldier discharged under this chapter. However, the separation authority may direct a general discharge if such is merited by the Soldier's overall record.

(6) Paragraph 14-12c prescribes a Soldier is subject to action per this section for commission of a serious military or civilian offense, if the specific circumstances of the offense warrant separation and a punitive discharge is, or would be, authorized for the same or a closely related offense under the Manual for Courts-Martial.

**e.** Army Regulation 635-5-1 (Separation Program Designator (SPD) Codes), provides the specific authorities (regulatory or directive), reasons for separating Soldiers from active duty, and the SPD codes to be entered on the DD Form 214. It identifies the SPD code of "JKQ" as the appropriate code to assign enlisted Soldiers who are discharged under the provisions of Army Regulation 635-200, Chapter 14, paragraph 12c, misconduct (serious offense).

**f.** Army Regulation 601-210, (Regular Army and Reserve Components Enlistment Program), governs eligibility criteria, policies, and procedures for enlistment and processing of persons into the Regular Army, the U.S. Army Reserve, and Army National Guard for enlistment per DODI 1304.26. It also prescribes the appointment, reassignment, management, and mobilization of Reserve Officers' Training Corps cadets under the Simultaneous Membership

Program. Chapter 4 provides the criteria and procedures for waiverable and nonwaiverable separations. Table 3-1 defines reentry eligibility (RE) codes: RE-3 Applies to: Person who is not considered fully qualified for reentry or continuous service at time of separation, but disqualification is waiverable. Eligibility: Ineligible unless a waiver is granted.

**8. SUMMARY OF FACT(S):** The Army Discharge Review Board considers applications for upgrade as instructed by Department of Defense Instruction 1332.28.

The applicant requests an upgrade to honorable. The applicant's Army Military Human Resources Record (AMHRR), the issues, and documents submitted with the application were carefully reviewed.

The applicant contends suffering from a mental condition. The applicant provided progress notes reflecting a diagnosis of post-traumatic stress disorder and traumatic brain injury. The AMHRR includes a Memorandum for Mental Health Evaluation, 22 September 2010, reflecting the applicant did not have a psychiatric condition. The separation authority considered the mental health evaluation.

## 9. BOARD DISCUSSION AND DETERMINATION:

**a.** As directed by the 2017 memo signed by A.M. Kurta, the board considered the following factors:

(1) Did the applicant have a condition or experience that may excuse or mitigate the discharge? **Yes.** The Board reviewed the applicant's DOD and VA health records, the applicant's statement, and/or civilian provider documentation and found that the applicant has the following potentially mitigating diagnoses/experiences: PTSD, Bipolar Disorder, Psychotic Disorder, and Depression.

(2) Did the condition exist, or experience occur during military service? **Yes.** The Board found the applicant is 100 percent service connected for PTSD.

(3) Does the condition or experience excuse or mitigate the discharge? **Yes.** The Board determined, based on the BMA's opine, that the applicant's behavioral health conditions mitigate the discharge. Records indicate that in each instance of misconduct outlined in the basis of separation that the applicant was under the influence of either alcohol or a combination of alcohol and prescription medication that resulted in blackout. Given the nexus between PTSD and the use of substances to self-medicate and the fact the applicant was also taking a hypnotic drug (Ambien) that is known to be associated with parasomnia activity (blackout), the applicant's offenses of drunk and disorderly conduct are mitigated. The offenses of assault, resisting apprehension, and battery are summarily mitigated given the misconduct occurred during a blackout state. Additionally, the applicant's diagnoses of Psychosis and Bipolar Disorder are associated problems with reality testing, decision making, impaired judgement, and increased potential for violent or unpredictable behavior. It is more likely than not that these conditions were exacerbated by military service.

(4) Does the condition or experience outweigh the discharge? **Yes.** After applying liberal consideration to the evidence, including the Board Medical Advisor opine, the Board determined that the applicant's Post Traumatic Stress Disorder, Bipolar Disorder, Psychotic Disorder, and Depression outweighed the separating offenses of Drunk and Disorderly, Assault, Resisting Apprehension, Communicating a Threat, and Battery.

**b.** Response to Contention(s): The applicant contends suffering from a mental condition. The Board liberally considered this contention and determined that the applicant's Post Traumatic Stress Disorder, Bipolar Disorder, Psychotic Disorder, and Depression outweighed the separating offenses of Drunk and Disorderly, Assault, Resisting Apprehension, Communicating a Threat, and Battery.

c. The Board determined that the characterization of service was inequitable based on the applicant's behavioral health conditions mitigating the applicant's misconduct. Accordingly, the Board voted to grant relief in the form of an upgrade to the characterization of service to Honorable. The Board determined the narrative reason/SPD code and RE code were proper and equitable and voted not to change them.

d. Rationale for Decision:

(1) The Board voted to change the applicant's characterization of service to Honorable because the applicant's Post Traumatic Stress Disorder, Bipolar Disorder, Psychotic Disorder, and Depression outweighed the separating offenses of Drunk and Disorderly, Assault, Resisting Apprehension, Communicating a Threat, and Battery. Thus, the prior characterization is no longer appropriate.

(2) The Board voted not to change the applicant's reason for discharge or accompanying SPD code, as the reason the applicant was discharged was both proper and equitable. The applicant did in fact commit serious misconduct.

(3) The RE code will not change given the service connected BH diagnoses. The current code is consistent with the procedural and substantive requirements of the regulation.

#### 10. BOARD ACTION DIRECTED:

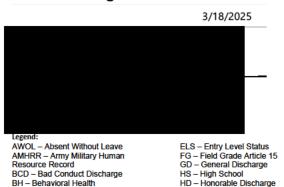
- a. Issue a New DD-214: Yes
- b. Change Characterization to: Honorable
- c. Change Reason / SPD Code to: No Change
- d. Change RE Code to: No Change
- e. Change Authority to: No Change

#### Authenticating Official:

CG - Company Grade Article 15

CID - Criminal Investigation

Division



N/A – Not applicable NCO – Noncommissioned Officer NIF – Not in File NOS – Not Otherwise Specified OAD – Ordered to Active Duty OBH (I) – Other Behavioral Health (Issues) OMPF – Official Military Personnel File PTSD – Post-Traumatic Stress Disorder RE – Re-entry SCM – Summary Court Martial SPCM – Special Court Martial

IADT - Initial Active Duty Training

MST - Military Sexual Trauma

MP – Military Police

SPD – Separation Program Designator TBI – Traumatic Brain Injury UNC – Uncharacterized Discharge UOTHC – Under Other Than Honorable Conditions VA – Department of Veterans Affairs