- 1. Applicant's Name:
  - a. Application Date: 26 April 2021
  - b. Date Received: 26 April 2021
  - c. Counsel: None
- 2. REQUEST, ISSUES, BOARD TYPE, AND DECISION:

**a. Applicant's Requests and Issues:** The current characterization of service for the period under review is general (under honorable conditions). The applicant requests an upgrade to honorable.

The applicant seeks relief contending, in effect, desiring the use of their educational benefits. Upon redeployment, they experienced PTSD; and turned in a health assessment but received no help, and self-medicated. The applicant claims if they had received the needed help, they would not have used drugs. The applicant has been clean for almost 10 years and their discharge is preventing them from obtaining jobs.

**b.** Board Type and Decision: In a records review conducted on 16 January 2025, and by a 5-0 vote, the Board determined the discharge is inequitable based on the applicant's Obsessive Compulsive Disorder, Anxiety, and Bipolar Disorder outweighing the applicant's illegal substance abuse. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN. The Board determined the reentry code is proper and equitable and voted not to change it.

Please see Section 9 of this document for more detail regarding the Board's decision.

(Board member names available upon request)

## 3. DISCHARGE DETAILS:

**a.** Reason / Authority / Codes / Characterization: Misconduct / AR 635-200, Chapter 14-12c (2) / JKK / RE-4 / General (Under Honorable Conditions)

**b.** Date of Discharge: 31 March 2004

- c. Separation Facts:
  - (1) Date of Notification of Intent to Separate: 12 March 2004

(2) Basis for Separation: Under the provisions of AR 635-200, Chapter 14-12c, Commission of a Serious Offense, the applicant was informed of the following reasons: On or about 3 September 2003, the applicant wrongfully used cocaine.

(3) **Recommended Characterization:** General (Under Honorable Conditions)

(4) Legal Consultation Date: On 17 March 2004, the applicant waived legal counsel.

(5) Administrative Separation Board: On 10 March 2004, the applicant unconditionally waived consideration of the case before an administrative separation board.

(6) Separation Decision Date / Characterization: On 17 March 2004, the separation authority approved the applicant's separation under the provisions of AR 635-200, Chapter 14-12c, Commission of a Serious Offense. / General (Under Honorable Conditions)

# 4. SERVICE DETAILS:

- a. Date / Period of Enlistment: 11 May 2001 / 4 years
- b. Age at Enlistment / Education / GT Score: 22 / High School Graduate / 91

**c. Highest Grade Achieved / MOS / Total Service:** E-4 / 52C10, Utility Equipment Repairer / 5 years, 6 months, 3 days

d. Prior Service / Characterizations: RA, 28 September 1998 – 10 May 2001 / HD

e. Overseas Service / Combat Service: SWA / NIF (1 August 1999 – 15 December 1999; 19 March 2003 – 1 May 2003)

f. Awards and Decorations: ARCOM, AAM-2, AGCM, NDSM, GWOTEM, AFEM, ASR

## g. Performance Ratings: NA

**h.** Disciplinary Action(s) / Evidentiary Record: Electronic Copy of DD Form 2624, 9 September 2003, reflects the applicant tested positive for BZE (Benzoylecgonine),193 during an Inspection Unit (IU) urinalysis testing, conducted on 3 September 2003.

FG Article 15, 1 October 2003, for wrongfully using cocaine on or about 3 September 2003. The punishment consisted of a reduction to E-1; forfeiture of \$575 pay per month for two months and extra duty and restriction for 45 days.

Developmental Counseling Forms, for failing a drug test.

## i. Lost Time / Mode of Return: None

## j. Behavioral Health Condition(s):

(1) Applicant provided: Post Deployment Health Assessment, 11 June 2003, reflects the applicant stated their health was good and they did not have any mental or dental problems during the deployment. The applicant also stated they do not intend to seek counselling or care for their mental health.

(2) AMHRR Listed: Report of Mental Status Evaluation, 30 October 2003, The evaluation included a diagnosis: Axis I 305.60 Cocaine abuse; 300.30 Obsessive Compulsive Disorder; Axis 301. Personality Disorder not otherwise specified.

Report of Medical History, 28 January 2004, the examining medical physician noted in the comments section: Personality Disorder; Anxiety and Cocaine use.

Chronological Record of Medical Care, 28 January 2004, reflects a diagnosis of Obsessive-Compulsive Disorder; Cocaine Abuse; Personality Disorder.

# ARMY DISCHARGE REVIEW BOARD CASE REPORT AND DIRECTIVE AR20210000517

The ARBA's medical advisor reviewed DoD and VA medical records and not solely those documents listed in 4j(1) and (2) above.

**5. APPLICANT-PROVIDED EVIDENCE:** Certificate of Release or Discharge from Active Duty; Application for the Review of Discharge; Post Deployment Health Assessment.

6. **POST SERVICE ACCOMPLISHMENTS:** None submitted with the application.

## 7. STATUTORY, REGULATORY AND POLICY REFERENCE(S):

**a.** Section 1553, Title 10, United States Code (Review of Discharge or Dismissal) provides for the creation, composition, and scope of review conducted by a Discharge Review Board(s) within established governing standards. As amended by Sections 521 and 525 of the National Defense Authorization Act for Fiscal Year 2020, 10 USC 1553 provides specific guidance to the Military Boards for Correction of Military/Naval Records and Discharge Review Boards when considering discharge upgrade requests by Veterans claiming Post Traumatic Stress Disorder (PTSD), Traumatic Brain Injury (TBI), sexual trauma, intimate partner violence (IPV), or spousal abuse, as a basis for discharge review. The amended guidance provides that Boards will include, as a voting board member, a physician trained in mental health disorders, a clinical psychologist, or a psychiatrist when the discharge upgrade claim asserts a mental health condition, including PTSD, TBI, sexual trauma, IPV, or spousal abuse, as a basis for the discharge provides that Military/Naval Records and Discharge Review Boards will develop and provide specialized training specific to sexual trauma, IPV, spousal abuse, as well as the various responses of individuals to trauma.

**b.** Multiple Department of Defense Policy Guidance Memoranda published between 2014 and 2018. The documents are commonly referred to by the signatory authorities' last names (2014 Secretary of Defense Guidance [Hagel memo], 2016 Acting Principal Deputy Under Secretary of Defense for Personnel and Readiness [Carson memo], 2017 Official Performing the Duties of the Under Secretary of Defense for Personnel and Readiness [Kurta memo], and 2018 Under Secretary of Defense for Personnel and Readiness [Wilkie memo].

(1) Individually and collectively, these documents provide further clarification to the Military Discharge Review Boards and Boards for Correction of Military/Naval Records when considering requests by Veterans for modification of their discharge due to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Liberal consideration will be given to Veterans petitioning for discharge relief when the application for relief is based in whole or in part on matters relating to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Special consideration will be given to Department of Veterans Affairs (VA) determinations that document a mental health condition, including PTSD; TBI; or sexual assault/harassment potentially contributed to the circumstances resulting in a less than honorable discharge characterization. Special consideration will also be given in cases where a civilian provider confers diagnoses of a mental health condition, including PTSD; TBI; or sexual assault/harassment if the case records contain narratives supporting symptomatology at the time of service or when any other evidence which may reasonably indicate that a mental health condition, including PTSD; TBI; or sexual assault/harassment existed at the time of discharge might have mitigated the misconduct that caused a discharge of lesser characterization.

(2) Conditions documented in the service record that can reasonably be determined to have existed at the time of discharge will be considered to have existed at the time of discharge. In cases in which a mental health condition, including PTSD; TBI; or sexual assault/harassment may be reasonably determined to have existed at the time of discharge, those conditions will be considered potential mitigating factors in the misconduct that caused the characterization of

service in question. All Boards will exercise caution in weighing evidence of mitigation in cases in which serious misconduct precipitated a discharge with a less than Honorable characterization of service. Potentially mitigating evidence of the existence of undiagnosed combat related PTSD, PTSD-related conditions due to TBI or sexual assault/harassment as causative factors in the misconduct resulting in discharge will be carefully weighed against the severity of the misconduct. PTSD is not a likely cause of premeditated misconduct. Caution shall be exercised in weighing evidence of mitigation in all cases of misconduct by carefully considering the likely causal relationship of symptoms to the misconduct.

**c.** Army Regulation 15-180 (Army Discharge Review Board), sets forth the policies and procedures under which the Army Discharge Review Board is authorized to review the character, reason, and authority of any Servicemember discharged from active military service within 15 years of the Servicemember's date of discharge. Additionally, it prescribes actions and composition of the Army Discharge Review Board under Public Law 95-126; Section 1553, Title 10 United States Code; and Department of Defense Directive 1332.41 and Instruction 1332.28.

**d.** Army Regulation 635-200 (Active Duty Enlisted Administrative Separations), provides the basic authority for the separation of enlisted personnel.

(1) Chapter 3, Section II provides the authorized types of characterization of service or description of separation.

(2) Paragraph 3-7a states an Honorable discharge is a separation with honor and is appropriate when the quality of the Soldier's service generally has met the standards of acceptable conduct and performance of duty for Army personnel or is otherwise so meritorious that any other characterization would be clearly inappropriate.

(3) Paragraph 3-7b states a General discharge is a separation from the Army under honorable conditions and is issued to a Soldier whose military record is satisfactory but not sufficiently meritorious to warrant an honorable discharge.

(4) Chapter 14 establishes policy and prescribes procedures for separating members for misconduct. Specific categories include minor disciplinary infractions, a pattern of misconduct, and commission of a serious offense, to include abuse of illegal drugs, convictions by civil authorities and desertion or being absent without leave. Action will be taken to separate a member for misconduct when it is clearly established that rehabilitation is impractical or unlikely to succeed.

(5) Paragraph 14-3 prescribes a discharge under other than honorable conditions is normally appropriate for a Soldier discharged under this chapter. However, the separation authority may direct a general discharge if such is merited by the Soldier's overall record.

(6) Paragraph 14-12c(2) terms abuse of illegal drugs as serious misconduct. It continues; however, by recognizing relevant facts may mitigate the nature of the offense. Therefore, a single drug abuse offense may be combined with one or more minor disciplinary infractions or incidents of other misconduct and processed for separation under paragraph 14-12a or 14-12b as appropriate.

**e.** Army Regulation 635-5-1 (Separation Program Designator (SPD) Codes), provides the specific authorities (regulatory or directive), reasons for separating Soldiers from active duty, and the SPD codes to be entered on the DD Form 214. It identifies the SPD code of "JKK" as the appropriate code to assign enlisted Soldiers who are discharged under the provisions of Army Regulation 635-200, Chapter 14, misconduct (drug abuse).

**f.** Army Regulation 601-210, (Regular Army and Reserve Components Enlistment Program), governs eligibility criteria, policies, and procedures for enlistment and processing of persons into the Regular Army, the U.S. Army Reserve, and Army National Guard for enlistment per DODI 1304.26. It also prescribes the appointment, reassignment, management, and mobilization of Reserve Officers' Training Corps cadets under the Simultaneous Membership Program. Chapter 4 provides the criteria and procedures for waiverable and nonwaiverable separations. Table 3-1, defines reentry eligibility (RE) codes: RE-4 Applies to: Person separated from last period of service with a nonwaiverable disqualification. This includes anyone with a DA imposed bar to reenlistment in effect at time of separation or separated for any reason (except length of service retirement) with 18 or more years active Federal service. Eligibility: Ineligible for enlistment.

**8. SUMMARY OF FACT(S):** The Army Discharge Review Board considers applications for upgrade as instructed by Department of Defense Instruction 1332.28.

The applicant requests an upgrade to honorable. The applicant's Army Military Human Resources Record (AMHRR), the issues, and documents submitted with the application were carefully reviewed.

The applicant contends upon redeployment, they experienced PTSD. The applicant did not submit any evidence, other than the applicant's statement, to support the contention. The AMHRR includes a Report of Mental Status Evaluation, 30 October 2003, which includes a diagnosis: Axis I 305.60 Cocaine abuse; 300.30 Obsessive Compulsive Disorder; Axis 301. Personality Disorder not otherwise specified. A Report of Medical History, 28 January 2004, the examining medical physician noted in the comments section: Personality Disorder; Anxiety and Cocaine use. Also, a Chronological Record of Medical Care, 28 January 2004, reflects a diagnosis of Obsessive-Compulsive Disorder; Cocaine Abuse; Personality Disorder. All medical documents were considered by the separation authority.

The applicant contends completing and turning in a health assessment, but received no help, so they self-medicated. The applicant claims if they had received the needed help, they would not have used drugs. The applicant provided a Post Deployment Health Assessment, 11 June 2003, reflecting the applicant stated their health was good and they did not have any mental or dental problems during the deployment. The applicant also stated they do not intend to seek counseling or care for their mental health. There is no evidence in the AMHRR the applicant ever sought assistance before committing the misconduct, which led to the separation action under review. The AMHRR does not include any indication or evidence of arbitrary or capricious actions by the command.

The applicant contends an upgrade would allow educational benefits through the GI Bill. Eligibility for veteran's benefits to include educational benefits under the Post-9/11 or Montgomery GI Bill does not fall within the purview of the Army Discharge Review Board. Accordingly, the applicant should contact a local office of the Department of Veterans Affairs for further assistance.

The applicant contends an upgrade of the discharge will allow the applicant to obtain better employment. The Board does not grant relief to gain employment or enhance employment opportunities.

## 9. BOARD DISCUSSION AND DETERMINATION:

**a.** As directed by the 2017 memo signed by A.M. Kurta, the board considered the following factors:

(1) Did the applicant have a condition or experience that may excuse or mitigate the discharge? **Yes.** The Board reviewed the applicant's DOD and VA health records, applicant's statement, and/or civilian provider documentation and found that the applicant has the following potentially-mitigating diagnoses/experiences: Obsessive Compulsive Disorder, Anxiety, Bipolar Disorder. Additionally, the applicant asserts PTSD, which may be sufficient evidence to establish the existence of a condition that could mitigate or excuse the discharge.

(2) Did the condition exist or experience occur during military service? **Yes.** The Board found that the applicant was diagnosed in service with Obsessive Compulsive Disorder and Anxiety and is service connected by the VA for Bipolar Disorder. Service connection establishes that the applicant's Bipolar Disorder also existed during military service.

(3) Does the condition or experience actually excuse or mitigate the discharge? **Yes.** The Board determined, based on the BMA's opine, that the applicant's behavioral health conditions mitigate the discharge. Given the nexus between Obsessive Compulsive Disorder, Anxiety, Bipolar Disorder, and self-medicating with substances, the cocaine use that led to the separation is mitigated. There is no medical evidence to support the applicant's self-asserted PTSD, but it is inconsequential given the full mitigation based on the applicant's other BH conditions.

(4) Does the condition or experience outweigh the discharge? **Yes.** After applying liberal consideration to the evidence, including the Board Medical Advisor opine, the Board determined that the applicant's Obsessive Compulsive Disorder, Anxiety, and Bipolar Disorder outweighed the applicant's illegal substance abuse.

**b.** Response to Contention(s):

(1) The applicant contends upon redeployment they experienced PTSD. The Board liberally considered this contention and determined that the applicant's Obsessive Compulsive Disorder, Anxiety, and Bipolar Disorder outweighed the applicant's illegal substance abuse. The Board found insufficient evidence to support the applicant's asserted PTSD, but this was inconsequential as the applicant's diagnosed conditions mitigated the applicant's misconduct.

(2) The applicant contends completing and turning in a health assessment, but received no help, so they self-medicated. The applicant claims if they had received the needed help, they would not have used drugs. The Board considered this contention during proceedings, but ultimately did not address the contention due to an upgrade being granted based on the applicant's Obsessive Compulsive Disorder, Anxiety, and Bipolar Disorder outweighing the applicant's illegal substance abuse.

(3) The applicant contends an upgrade would allow educational benefits through the GI Bill. The Board considered this contention and determined that eligibility for Veteran's benefits, to include educational benefits under the Post-9/11 or Montgomery GI Bill, healthcare or VA loans, do not fall within the purview of the Army Discharge Review Board. Accordingly, the applicant should contact a local office of the Department of Veterans Affairs for further assistance.

(4) The applicant contends an upgrade of the discharge will allow the applicant to obtain better employment. The Board considered this contention but does not grant relief to gain employment or enhance employment opportunities.

**c.** The Board determined the discharge is inequitable based on the applicant's Obsessive Compulsive Disorder, Anxiety, and Bipolar Disorder outweighing the applicant's illegal substance abuse. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN. The Board determined the reentry code is proper and equitable and voted not to change it.

d. Rationale for Decision:

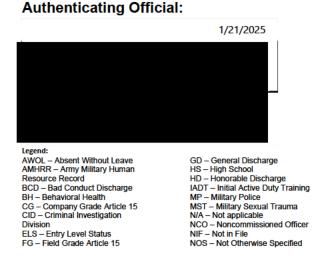
(1) The Board voted to change the applicant's characterization of service to Honorable because the applicant's Obsessive Compulsive Disorder, Anxiety, and Bipolar Disorder outweighed the applicant's illegal substance abuse. Thus, the prior characterization is no longer appropriate.

(2) The Board voted to change the reason for discharge to Misconduct (Minor Infractions) under the same pretexts, thus the reason for discharge is no longer appropriate. The SPD code associated with the new reason for discharge is JKN.

(3) The RE code will not change, as the current code is consistent with the procedural and substantive requirements of the regulation.

#### 10. BOARD ACTION DIRECTED:

- a. Issue a New DD-214: Yes
- b. Change Characterization to: Honorable
- c. Change Reason / SPD Code to: Misconduct (Minor Infractions)/JKN
- d. Change RE Code to: No Change
- e. Change Authority to: AR 635-200



OAD – Ordered to Active Duty OBH (I) – Other Behavioral Health (Issues) OMPF – Official Military Personnel File PTSD – Post-Traumatic Stress Disorder RE – Re-entry SCM – Summary Court Martial SPCM – Special Court Martial SPD – Separation Program Designator TBI – Traumatic Brain Injury UNC – Uncharacterized Discharge UOTHC – Under Other Than Honorable Conditions VA – Department of Veterans Affairs

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