1. Applicant's Name:

a. Application Date: 26 April 2021

b. Date Received: 26 April 2021

c. Counsel: None

#### 2. REQUEST, ISSUES, BOARD TYPE, AND DECISION:

**a. Applicant's Requests and Issues:** The current characterization of service for the period under review is general (under honorable conditions). The applicant requests an upgrade to honorable.

The applicant seeks relief contending, in effect, being deployed in Iraq from October 2009 to November 2010. The applicant served honorably and performed their duties of maintaining weaponry for their battalion. The applicant earned various awards for their performance. During their deployment, the applicant learned their spouse's unfaithfulness, drug abuse, and selling of illegal drugs, resulting in legal issues. When the applicant returned, the applicant's grandparent passed away, and the applicant was experiencing financial difficulties. The applicant sought help coping with these issues and insomnia. The applicant indicated the Department of Veterans Affairs' mental health records were provided with the application; however, the applicant's application is void of the documents.

**b. Board Type and Decision:** In a records review conducted on 17 October 2024, and by a 5-0 vote, the Board determined the discharge is inequitable based on the applicant's Post Traumatic Stress Disorder and Episodic Mood Disorder outweighing the applicant's illegal substance abuse offense. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN. The Board determined the reentry code is proper and equitable and voted not to change it.

Please see Section 9 of this document for more detail regarding the Board's decision.

(Board member names available upon request)

#### 3. DISCHARGE DETAILS:

- a. Reason / Authority / Codes / Characterization: Misconduct (Drug Abuse) / AR 635-200, Paragraph 14-12c (2) / JKK / RE-4 / General (Under Honorable Conditions)
  - b. Date of Discharge: 15 April 2011
  - c. Separation Facts:
    - (1) Date of Notification of Intent to Separate: 15 March 2011
- **(2) Basis for Separation:** The applicant was informed of the following reasons: The applicant tested positive for marijuana on 6 January 2011.
  - (3) Recommended Characterization: General (Under Honorable Conditions)
  - (4) Legal Consultation Date: 15 March 2011

- (5) Administrative Separation Board: NA
- **(6) Separation Decision Date / Characterization:** 30 March 2011 / General (Under Honorable Conditions)
- 4. SERVICE DETAILS:
  - a. Date / Period of Enlistment: 31 December 2008 / 3 years, 33 weeks
  - b. Age at Enlistment / Education / GT Score: 22 / GED / 112
- c. Highest Grade Achieved / MOS / Total Service: E-4 / 91K10, Armament Repairer / 2 years, 3 months, 15 days
  - d. Prior Service / Characterizations: None
- e. Overseas Service / Combat Service: SWA / Iraq (15 October 2009 14 September 2010)
  - f. Awards and Decorations: NDSM, GWOTSM, ICM-CS, ASR, OSR
  - g. Performance Ratings: NA
- h. Disciplinary Action(s) / Evidentiary Record: Memorandum, 18 January 2011, and attached DD Form 2624, undated, reflect the applicant tested positive for THC (marijuana), during an Inspection Unit (IU) urinalysis testing, conducted on 6 January 2011. The applicant was scheduled for an appointment with the ASAP Counseling Center on 20 January 2011.

Memorandum, 10 February 2011, reflects the commander and first sergeant were scheduled to attend a rehabilitation team meeting at the Army Substance Abuse Program (ASAP) on 14 February 2011, for completion of the ASAP evaluation on the applicant.

Report of Medical History, 11 February 2011, the applicant reported depression, anxiety, bipolar disorder, and manic depression. The examining medical physician noted in the comments section: follow-up for anxiety on appointment date, referred to Behavioral Health.

Field Grade Article 15, 16 February 2011, for wrongfully using marijuana (between 6 December 2010 and 6 January 2011). The punishment consisted of a reduction to E-2; forfeiture of \$822 pay per month for two months; extra duty and restriction for 45 days; and an oral reprimand.

Four Developmental Counseling Forms, for refusing to train; disobeying General Order Number 1, uploading an explicit video to a website; lying to a noncommissioned officer (NCO); testing positive on a urinalysis for THC; being directed to have a mental status evaluation; and pending separation.

- i. Lost Time / Mode of Return: None
- j. Behavioral Health Condition(s):
  - (1) Applicant provided: None

(2) AMHRR Listed: Patient Intake / Screening Record, 24 June 2009, reflects the applicant was medically referred to ASAP for evaluation and it was determined the applicant would not be enrolled or attend the Alcohol Drug Abuse and Treatment. The applicant was diagnosed with alcohol abuse.

Report of Mental Status Evaluation, 23 February 2011, reflects the applicant was cleared for any administrative actions deemed appropriate by the command. The applicant could understand and participate in administrative proceedings; could appreciate the difference between right and wrong; and met medical retention requirements. The applicant had been screened for post-traumatic stress disorder (PTSD) and mild traumatic brain injury (mTBI). The applicant was diagnosed with cannabis abuse.

The ARBA's medical advisor reviewed DoD and VA medical records and not solely those documents listed in 4j(1) and (2) above.

- **5. APPLICANT-PROVIDED EVIDENCE:** Application for Correction of Military Record; Certificate of Release or Discharge from Active Duty.
- **6. Post Service Accomplishments:** None submitted with the application.

#### 7. STATUTORY, REGULATORY AND POLICY REFERENCE(S):

- **a.** Section 1553, Title 10, United States Code (Review of Discharge or Dismissal) provides for the creation, composition, and scope of review conducted by a Discharge Review Board(s) within established governing standards. As amended by Sections 521 and 525 of the National Defense Authorization Act for Fiscal Year 2020, 10 USC 1553 provides specific guidance to the Military Boards for Correction of Military/Naval Records and Discharge Review Boards when considering discharge upgrade requests by Veterans claiming Post Traumatic Stress Disorder (PTSD), Traumatic Brain Injury (TBI), sexual trauma, intimate partner violence (IPV), or spousal abuse, as a basis for discharge review. The amended guidance provides that Boards will include, as a voting board member, a physician trained in mental health disorders, a clinical psychologist, or a psychiatrist when the discharge upgrade claim asserts a mental health condition, including PTSD, TBI, sexual trauma, IPV, or spousal abuse, as a basis for the discharge. Further, the guidance provides that Military Boards for Correction of Military/Naval Records and Discharge Review Boards will develop and provide specialized training specific to sexual trauma, IPV, spousal abuse, as well as the various responses of individuals to trauma.
- **b.** Multiple Department of Defense Policy Guidance Memoranda published between 2014 and 2018. The documents are commonly referred to by the signatory authorities' last names (2014 Secretary of Defense Guidance [Hagel memo], 2016 Acting Principal Deputy Under Secretary of Defense for Personnel and Readiness [Carson memo], 2017 Official Performing the Duties of the Under Secretary of Defense for Personnel and Readiness [Kurta memo], and 2018 Under Secretary of Defense for Personnel and Readiness [Wilkie memo].
- (1) Individually and collectively, these documents provide further clarification to the Military Discharge Review Boards and Boards for Correction of Military/Naval Records when considering requests by Veterans for modification of their discharge due to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Liberal consideration will be given to Veterans petitioning for discharge relief when the application for relief is based in whole or in part on matters relating to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Special consideration will be given to Department of Veterans Affairs (VA) determinations that document a mental health condition, including PTSD; TBI; or sexual assault/harassment potentially contributed to the circumstances resulting in a less than

honorable discharge characterization. Special consideration will also be given in cases where a civilian provider confers diagnoses of a mental health condition, including PTSD; TBI; or sexual assault/harassment if the case records contain narratives supporting symptomatology at the time of service or when any other evidence which may reasonably indicate that a mental health condition, including PTSD; TBI; or sexual assault/harassment existed at the time of discharge might have mitigated the misconduct that caused a discharge of lesser characterization.

- (2) Conditions documented in the service record that can reasonably be determined to have existed at the time of discharge will be considered to have existed at the time of discharge. In cases in which a mental health condition, including PTSD; TBI; or sexual assault/harassment may be reasonably determined to have existed at the time of discharge, those conditions will be considered potential mitigating factors in the misconduct that caused the characterization of service in question. All Boards will exercise caution in weighing evidence of mitigation in cases in which serious misconduct precipitated a discharge with a less than Honorable characterization of service. Potentially mitigating evidence of the existence of undiagnosed combat related PTSD, PTSD-related conditions due to TBI or sexual assault/harassment as causative factors in the misconduct resulting in discharge will be carefully weighed against the severity of the misconduct. PTSD is not a likely cause of premeditated misconduct. Caution shall be exercised in weighing evidence of mitigation in all cases of misconduct by carefully considering the likely causal relationship of symptoms to the misconduct.
- **c.** Army Regulation 15-180 (Army Discharge Review Board), sets forth the policies and procedures under which the Army Discharge Review Board is authorized to review the character, reason, and authority of any Servicemember discharged from active military service within 15 years of the Servicemember's date of discharge. Additionally, it prescribes actions and composition of the Army Discharge Review Board under Public Law 95-126; Section 1553, Title 10 United States Code; and Department of Defense Directive 1332.41 and Instruction 1332.28.
- **d.** Army Regulation 600-85, paragraph 7-3 entitled voluntary (self) identification and referral, states voluntary (self) ID is the most desirable method of identifying substance use disorder. The individual whose performance, social conduct, interpersonal relations, or health becomes impaired because of these problems has the personal obligation to seek help. Soldiers seeking self-referral for problematic substance use may access services through BH services for a SUD evaluation
- **e.** Army Regulation 635-200 (Active Duty Enlisted Administrative Separations), provides the basic authority for the separation of enlisted personnel.
- (1) Chapter 3, Section II provides the authorized types of characterization of service or description of separation.
- (2) Paragraph 3-5c, provides the reasons for separation, including the specific circumstances that form the basis for the separation, will be considered on the issue of characterization. As a general matter, characterization will be based upon a pattern of behavior other than an isolated incident. There are circumstances, however, in which the conduct or performance of duty reflected by a single incident provides the basis for characterization.
- (3) Paragraph 3-7a states an Honorable discharge is a separation with honor and is appropriate when the quality of the Soldier's service generally has met the standards of acceptable conduct and performance of duty for Army personnel or is otherwise so meritorious that any other characterization would be clearly inappropriate.

- (4) Paragraph 3-7b states a General discharge is a separation from the Army under honorable conditions and is issued to a Soldier whose military record is satisfactory but not sufficiently meritorious to warrant an honorable discharge.
- (5) Chapter 14 establishes policy and prescribes procedures for separating members for misconduct. Specific categories include minor disciplinary infractions, a pattern of misconduct, and commission of a serious offense, to include abuse of illegal drugs, convictions by civil authorities and desertion or being absent without leave. Action will be taken to separate a member for misconduct when it is clearly established that rehabilitation is impractical or unlikely to succeed.
- (6) Paragraph 14-2c, prescribes Commanders will not take action prescribed in this chapter instead of disciplinary action solely to spare an individual who may have committed serious misconduct from the harsher penalties that may be imposed under the UCMJ.
- (7) Paragraph 14-3 prescribes a discharge under other than honorable conditions is normally appropriate for a Soldier discharged under this chapter. However, the separation authority may direct a general discharge if such is merited by the Soldier's overall record.
- (8) Paragraph 14-12c(2) terms abuse of illegal drugs as serious misconduct. It continues; however, by recognizing relevant facts may mitigate the nature of the offense. Therefore, a single drug abuse offense may be combined with one or more minor disciplinary infractions or incidents of other misconduct and processed for separation under paragraph 14-12a or 14-12b as appropriate.
- **f.** Army Regulation 635-5-1 (Separation Program Designator (SPD) Codes), provides the specific authorities (regulatory or directive), reasons for separating Soldiers from active duty, and the SPD codes to be entered on the DD Form 214. It identifies the SPD code of "JKK" as the appropriate code to assign enlisted Soldiers who are discharged under the provisions of Army Regulation 635-200, Chapter 14, misconduct (drug abuse).
- g. Army Regulation 601-210, (Regular Army and Reserve Components Enlistment Program), governs eligibility criteria, policies, and procedures for enlistment and processing of persons into the Regular Army, the U.S. Army Reserve, and Army National Guard for enlistment per DODI 1304.26. It also prescribes the appointment, reassignment, management, and mobilization of Reserve Officers' Training Corps cadets under the Simultaneous Membership Program. Chapter 4 provides the criteria and procedures for waiverable and nonwaiverable separations. Table 3-1, defines reentry eligibility (RE) codes. RE-4 Applies to: Person separated from last period of service with a nonwaiverable disqualification. This includes anyone with a DA imposed bar to reenlistment in effect at time of separation or separated for any reason (except length of service retirement) with 18 or more years active Federal service. Eligibility: Ineligible for enlistment.
- **8. SUMMARY OF FACT(S):** The Army Discharge Review Board considers applications for upgrade as instructed by Department of Defense Instruction 1332.28.

The applicant requests an upgrade to honorable. The applicant's Army Military Human Resources Record (AMHRR), the issues, and documents submitted with the application were carefully reviewed.

The applicant contends family issues regarding their grandparent's passing and their spouse's unfaithfulness, drug abuse, and selling of illegal drugs; and insomnia affected behavior, which led to the discharge. The applicant's AMHRR shows the applicant was evaluated by ASAP on

24 June 2009 and diagnosed with alcohol abuse, but the applicant was not enrolled in the program. The applicant underwent a mental status evaluation (MSE) on 23 February 2011, which indicates the applicant was mentally responsible and recognized right from wrong. The applicant was diagnosed with cannabis abuse. The documents contained in the applicant's AMHRR were considered by the separation authority.

The applicant sought help with coping with family issues and insomnia. There is no evidence in the AMHRR the applicant ever sought assistance before committing the misconduct, which led to the separation action under review. Army Regulation 600-85, paragraph 7-3, states voluntary (self) ID is the most desirable method of identifying substance use disorder. The individual whose performance, social conduct, interpersonal relations, or health becomes impaired because of these problems has the personal obligation to seek help. Soldiers seeking self-referral for problematic substance use may access services through BH services for a SUD evaluation. The Limited Use Policy exists to encourage Soldiers to proactively seek help. The applicant's AMHRR does not contain any indication or evidence of arbitrary or capricious actions by the command.

The applicant contends good service, including a combat tour in Iraq. The Board considered the applicant's service accomplishments and the quality of service according to the DODI 1332.28.

#### 9. BOARD DISCUSSION AND DETERMINATION:

- **a.** As directed by the 2017 memo signed by A.M. Kurta, the board considered the following factors:
- (1) Did the applicant have a condition or experience that may excuse or mitigate the discharge? **Yes.** The Board's Medical Advisor, a voting member, reviewed the applicant's DOD and VA health records, applicant's statement, and/or civilian provider documentation and found that the applicant has the following potentially-mitigating diagnoses/experiences: Adjustment Disorder, Episodic Mood Disorder, PTSD.
- (2) Did the condition exist or experience occur during military service? **Yes.** The Board's Medical Advisor found that the applicant was diagnosed in service with an Adjustment Disorder and Episodic Mood Disorder and is service connected by the VA for PTSD. Service connection establishes that the applicant's PTSD also existed during military service.
- (3) Does the condition or experience actually excuse or mitigate the discharge? **Yes.** The Board determined, based on the BMA's opine, that the applicant's behavioral health conditions mitigate the discharge. Given the nexus between Episodic Mood Disorder and PTSD, and self-medicating with substances, the positive test for marijuana that led to the applicant's separation is mitigated.
- (4) Does the condition or experience outweigh the discharge? **Yes.** After applying liberal consideration to the evidence, including the Board Medical Advisor opine, the Board determined that the applicant's Episodic Mood Disorder and Post Traumatic Stress Disorder outweighed the applicant's illegal substance abuse.

#### **b.** Response to Contention(s):

(1) The applicant contends family issues regarding their grandparent's passing and their spouse's unfaithfulness, drug abuse, and selling of illegal drugs; and insomnia affected behavior, which led to the discharge. The Board liberally considered the applicant's behavioral health conditions and determined that the applicant's Episodic Mood Disorder and Post

Traumatic Stress Disorder outweighed the applicant's illegal substance abuse. Therefore, a discharge upgrade is warranted.

- (2) The applicant contends good service, including a combat tour in Iraq. The Board considered this contention during proceedings, but ultimately did not address it due to an upgrade being granted based on the applicant's Post Traumatic Stress Disorder and Episodic Mood Disorder outweighing the applicant's illegal substance abuse offense.
- **c.** The Board determined the discharge is inequitable based on the applicant's Post Traumatic Stress Disorder and Episodic Mood Disorder outweighing the applicant's illegal substance abuse offense. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN. The Board determined the reentry code is proper and equitable and voted not to change it.

#### **d.** Rationale for Decision:

- (1) The Board voted to change the applicant's characterization of service to Honorable because the applicant's Post Traumatic Stress Disorder and Episodic Mood Disorder outweighed the applicant's illegal substance abuse offense. Thus, the prior characterization is no longer appropriate.
- (2) The Board voted to change the reason for discharge to Misconduct (Minor Infractions) under the same pretexts, thus the reason for discharge is no longer appropriate. The SPD code associated with the new reason for discharge is JKN.
- (3) The RE code will not change, as the current code is consistent with the procedural and substantive requirements of the regulation.

#### 10. BOARD ACTION DIRECTED:

a. Issue a New DD-214: Yes

b. Change Characterization to: Honorable

c. Change Reason / SPD Code to: Misconduct (Minor Infractions)/JKN

d. Change RE Code to: No Change

e. Change Authority to: AR 635-200

### **Authenticating Official:**

10/24/2024



AWOL – Absent Without Leave AMHRR – Army Military Human Resource Record BCD - Bad Conduct Discharge BH - Behavioral Health CG – Company Grade Article 15 CID – Criminal Investigation

Division ELS – Entry Level Status FG - Field Grade Article 15 GD - General Discharge

HS - High School

HD – Honorable Discharge IADT – Initial Active Duty Training

MP – Military Police
MST – Military Sexual Trauma
N/A – Not applicable

NCO - Noncommissioned Officer NIF – Not in File

NOS - Not Otherwise Specified

OAD – Ordered to Active Duty OBH (I) – Other Behavioral Health (Issues) OMPF – Official Military

Personnel File PTSD – Post-Traumatic Stress

Disorder

RE – Re-entry SCM – Summary Court Martial SPCM - Special Court Martial

SPD - Separation Program Designator TBI – Traumatic Brain Injury UNC – Uncharacterized

Discharge UOTHC – Under Other Than Honorable Conditions

VA - Department of Veterans Affairs