1. Applicant's Name:

a. Application Date: 26 April 2021

b. Date Received: 26 April 2021

c. Counsel: None

#### 2. REQUEST, ISSUES, BOARD TYPE, AND DECISION:

**a. Applicant's Requests and Issues:** The current characterization of service for the period under review is general (under honorable conditions). The applicant requests an upgrade to honorable.

The applicant seeks relief contending, in effect, the discharge is improper because it was based on an isolated incident. The applicant was recently medevaced from Iraq for psychological reasons at the time of the incident. The applicant complied with the terms of the Article 15, without incident. The applicant was promoted after the incident for which the applicant was discharged. The applicant has no felonies or misdemeanors. The applicant attempted to attend school but has not accomplished much because of their disabilities and circumstances beyond their control. An upgrade would open several doors for the applicant.

**b. Board Type and Decision:** In a records review conducted on 3 October 2024, and by a 5-0 vote, the Board determined the discharge is inequitable based on the applicant's Dysthymic Disorder, Major Depression, and Anxiety outweighing the applicant's illegal substance abuse. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN, and the reentry code to RE-3.

Please see Section 9 of this document for more detail regarding the Board's decision.

(Board member names available upon request)

#### 3. DISCHARGE DETAILS:

- a. Reason / Authority / Codes / Characterization: Misconduct (Drug Abuse) / AR 635-200, Paragraph 14-12c (2) / JKK / RE-4 / General (Under Honorable Conditions)
  - b. Date of Discharge: 23 December 2009
  - c. Separation Facts:
    - (1) Date of Notification of Intent to Separate: 30 November 2009
- (2) Basis for Separation: The applicant was informed of the following reasons: The applicant wrongfully used a controlled substance which was prejudicial to good order and conduct in the military service.
  - (3) Recommended Characterization: General (Under Honorable Conditions)
  - (4) Legal Consultation Date: 2 December 2009
  - (5) Administrative Separation Board: NA

**(6) Separation Decision Date / Characterization:** 11 December 2009 / General (Under Honorable Conditions)

#### 4. SERVICE DETAILS:

- a. Date / Period of Enlistment: 29 January 2008 / 3 years, 17 weeks
- b. Age at Enlistment / Education / GT Score: 18 / GED / 125
- **c. Highest Grade Achieved / MOS / Total Service:** E-3 / 21B10, Combat Engineer / 1 year, 10 months, 25 days
  - d. Prior Service / Characterizations: None
- e. Overseas Service / Combat Service: Hawaii, SWA / Iraq (4 January 2009 11 June 2009)
  - f. Awards and Decorations: NDSM, GWOTSM, ICM-CS, ASR
  - g. Performance Ratings: NA
- h. Disciplinary Action(s) / Evidentiary Record: Electronic Copy of DD Form 2624, 10 July 2009, reflects the applicant tested positive for COC 595 (cocaine), during an Inspection Random (IR) urinalysis testing, conducted on 6 July 2009.

Army Substance Abuse Program (ASAP) Enrollment form, 20 July 2009, reflects the applicant was command-referred in the ASAP for a positive urinallysis for cocaine.

Criminal Investigation Division (CID) Report of Investigation - Initial Final, 28 July 2009, reflects an investigation established probable cause to believe the applicant committed the offense of Wrongful Use of Cocaine when the applicant submitted a urine specimen on 6 July 2009, during the conduct of a unit urinalysis test, which subsequently tested positive for Cocaine.

Field Grade Article 15, 20 August 2009, for wrongfully using cocaine (between 22 and 29 June 2009). The punishment consisted of a reduction to E-2; forfeiture of \$784 pay.

Seven Developmental Counseling Forms, for receiving a ticket for a safety inspection on their vehicle (unsigned); reception and integration in Warrior Transition Unit; being informed of the WTU's no alcohol policy; testing positive for cocaine; and being recommended for separation.

- i. Lost Time / Mode of Return: None
- j. Behavioral Health Condition(s):
  - (1) Applicant provided: None
- (2) AMHRR Listed: Memorandum, subject: Commander's Recommendation and Request [Applicant], 23 July 2009, reflects the applicant was attached to the Warrior Transition Battalion for an original diagnosis of dysthymic disorder; mood disorder, not otherwise specified (NOS); and insomnia.

Report of Behavioral Health Evaluation, 25 August 2009, reflects the applicant was cleared for any administrative actions deemed appropriate by the command. The applicant could understand and participate in administrative proceedings; could appreciate the difference between right and wrong; and met medical retention requirements. The applicant had been screened for post-traumatic stress disorder (PTSD) and mild traumatic brain injury (mTBI). Any positive symptoms have been clinically evaluated, treated when requested or if symptoms were medically significant, did not warrant disposition through medical channels, and did not directly contribute to the factors leading to the separation. The applicant was diagnosed with depression.

The ARBA's medical advisor reviewed DoD and VA medical records and not solely those documents listed in 4j(1) and (2) above.

- **5. APPLICANT-PROVIDED EVIDENCE:** Certificate of Release or Discharge from Active Duty; Application for the Review of Discharge.
- **6. Post Service Accomplishments:** The applicant has no felonies or misdemeanors.

### 7. STATUTORY, REGULATORY AND POLICY REFERENCE(S):

- **a.** Section 1553, Title 10, United States Code (Review of Discharge or Dismissal) provides for the creation, composition, and scope of review conducted by a Discharge Review Board(s) within established governing standards. As amended by Sections 521 and 525 of the National Defense Authorization Act for Fiscal Year 2020, 10 USC 1553 provides specific guidance to the Military Boards for Correction of Military/Naval Records and Discharge Review Boards when considering discharge upgrade requests by Veterans claiming Post Traumatic Stress Disorder (PTSD), Traumatic Brain Injury (TBI), sexual trauma, intimate partner violence (IPV), or spousal abuse, as a basis for discharge review. The amended guidance provides that Boards will include, as a voting board member, a physician trained in mental health disorders, a clinical psychologist, or a psychiatrist when the discharge upgrade claim asserts a mental health condition, including PTSD, TBI, sexual trauma, IPV, or spousal abuse, as a basis for the discharge. Further, the guidance provides that Military Boards for Correction of Military/Naval Records and Discharge Review Boards will develop and provide specialized training specific to sexual trauma, IPV, spousal abuse, as well as the various responses of individuals to trauma.
- **b.** Multiple Department of Defense Policy Guidance Memoranda published between 2014 and 2018. The documents are commonly referred to by the signatory authorities' last names (2014 Secretary of Defense Guidance [Hagel memo], 2016 Acting Principal Deputy Under Secretary of Defense for Personnel and Readiness [Carson memo], 2017 Official Performing the Duties of the Under Secretary of Defense for Personnel and Readiness [Kurta memo], and 2018 Under Secretary of Defense for Personnel and Readiness [Wilkie memo].
- (1) Individually and collectively, these documents provide further clarification to the Military Discharge Review Boards and Boards for Correction of Military/Naval Records when considering requests by Veterans for modification of their discharge due to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Liberal consideration will be given to Veterans petitioning for discharge relief when the application for relief is based in whole or in part on matters relating to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Special consideration will be given to Department of Veterans Affairs (VA) determinations that document a mental health condition, including PTSD; TBI; or sexual assault/harassment potentially contributed to the circumstances resulting in a less than honorable discharge characterization. Special consideration will also be given in cases where a civilian provider confers diagnoses of a mental health condition, including PTSD; TBI; or sexual

assault/harassment if the case records contain narratives supporting symptomatology at the time of service or when any other evidence which may reasonably indicate that a mental health condition, including PTSD; TBI; or sexual assault/harassment existed at the time of discharge might have mitigated the misconduct that caused a discharge of lesser characterization.

- (2) Conditions documented in the service record that can reasonably be determined to have existed at the time of discharge will be considered to have existed at the time of discharge. In cases in which a mental health condition, including PTSD; TBI; or sexual assault/harassment may be reasonably determined to have existed at the time of discharge, those conditions will be considered potential mitigating factors in the misconduct that caused the characterization of service in question. All Boards will exercise caution in weighing evidence of mitigation in cases in which serious misconduct precipitated a discharge with a less than Honorable characterization of service. Potentially mitigating evidence of the existence of undiagnosed combat related PTSD, PTSD-related conditions due to TBI or sexual assault/harassment as causative factors in the misconduct resulting in discharge will be carefully weighed against the severity of the misconduct. PTSD is not a likely cause of premeditated misconduct. Caution shall be exercised in weighing evidence of mitigation in all cases of misconduct by carefully considering the likely causal relationship of symptoms to the misconduct.
- **c.** Army Regulation 15-180 (Army Discharge Review Board), sets forth the policies and procedures under which the Army Discharge Review Board is authorized to review the character, reason, and authority of any Servicemember discharged from active military service within 15 years of the Servicemember's date of discharge. Additionally, it prescribes actions and composition of the Army Discharge Review Board under Public Law 95-126; Section 1553, Title 10 United States Code; and Department of Defense Directive 1332.41 and Instruction 1332.28.
- **d.** Army Regulation 635-200 (Active Duty Enlisted Administrative Separations), provides the basic authority for the separation of enlisted personnel.
- (1) Chapter 3, Section II provides the authorized types of characterization of service or description of separation.
- (2) Paragraph 3-5c, provides the reasons for separation, including the specific circumstances that form the basis for the separation, will be considered on the issue of characterization. As a general matter, characterization will be based upon a pattern of behavior other than an isolated incident. There are circumstances, however, in which the conduct or performance of duty reflected by a single incident provides the basis for characterization.
- (3) Paragraph 3-7a states an Honorable discharge is a separation with honor and is appropriate when the quality of the Soldier's service generally has met the standards of acceptable conduct and performance of duty for Army personnel or is otherwise so meritorious that any other characterization would be clearly inappropriate.
- **(4)** Paragraph 3-7b states a General discharge is a separation from the Army under honorable conditions and is issued to a Soldier whose military record is satisfactory but not sufficiently meritorious to warrant an honorable discharge.
- (5) Chapter 14 establishes policy and prescribes procedures for separating members for misconduct. Specific categories include minor disciplinary infractions, a pattern of misconduct, and commission of a serious offense, to include abuse of illegal drugs, convictions by civil authorities and desertion or being absent without leave. Action will be taken to separate a member for misconduct when it is clearly established that rehabilitation is impractical or unlikely to succeed.

- **(6)** Paragraph 14-3 prescribes a discharge under other than honorable conditions is normally appropriate for a Soldier discharged under this chapter. However, the separation authority may direct a general discharge if such is merited by the Soldier's overall record.
- (7) Paragraph 14-12c(2) terms abuse of illegal drugs as serious misconduct. It continues; however, by recognizing relevant facts may mitigate the nature of the offense. Therefore, a single drug abuse offense may be combined with one or more minor disciplinary infractions or incidents of other misconduct and processed for separation under paragraph 14-12a or 14-12b as appropriate.
- **e.** Army Regulation 635-5-1 (Separation Program Designator (SPD) Codes), provides the specific authorities (regulatory or directive), reasons for separating Soldiers from active duty, and the SPD codes to be entered on the DD Form 214. It identifies the SPD code of "JKK" as the appropriate code to assign enlisted Soldiers who are discharged under the provisions of Army Regulation 635-200, Chapter 14, misconduct (drug abuse).
- **f.** Army Regulation 601-210, (Regular Army and Reserve Components Enlistment Program), governs eligibility criteria, policies, and procedures for enlistment and processing of persons into the Regular Army, the U.S. Army Reserve, and Army National Guard for enlistment per DODI 1304.26. It also prescribes the appointment, reassignment, management, and mobilization of Reserve Officers' Training Corps cadets under the Simultaneous Membership Program. Chapter 4 provides the criteria and procedures for waiverable and nonwaiverable separations. Table 3-1, defines reentry eligibility (RE) codes. RE-4 Applies to: Person separated from last period of service with a nonwaiverable disqualification. This includes anyone with a DA imposed bar to reenlistment in effect at time of separation or separated for any reason (except length of service retirement) with 18 or more years active Federal service. Eligibility: Ineligible for enlistment.
- **8. SUMMARY OF FACT(S):** The Army Discharge Review Board considers applications for upgrade as instructed by Department of Defense Instruction 1332.28.

The applicant requests an upgrade to honorable.

The applicant contends psychological issues affected behavior, which led to the discharge. The applicant's AMHRR reflects the applicant was diagnosed with depression; dysthymic disorder; mood disorder, NOS; and insomnia. The record shows the applicant underwent a behavioral health evaluation (BHE) on 29 August 2009, which indicates the applicant was mentally responsible and was able to recognize right from wrong. The applicant was diagnosed with depression. The documents in the AMHRR were considered by the separation authority.

The applicant contends the event which led to the discharge from the Army was an isolated incident. Army Regulation 635-200, paragraph 3-5, in pertinent part, stipulates there are circumstances in which the conduct or performance of duty reflected by a single incident provides the basis for a characterization.

The applicant contends good service, including a combat tour.

The applicant contends an upgrade of the discharge would allow veterans benefits. Eligibility for veteran's benefits does not fall within the purview of the Army Discharge Review Board. Accordingly, the applicant should contact a local office of the Department of Veterans Affairs for further assistance.

The applicant contends having no felonies or misdemeanors. The Army Discharge Review Board is authorized to consider post-service factors in the recharacterization of a discharge. No law or regulation provides for the upgrade of an unfavorable discharge based solely on the passage of time or good conduct in civilian life after leaving the service. The Board reviews each discharge on a case-by-case basis to determine if post-service accomplishments help demonstrate previous in-service misconduct was an aberration and not indicative of the member's overall character.

### 9. BOARD DISCUSSION AND DETERMINATION:

- **a.** As directed by the 2017 memo signed by A.M. Kurta, the board considered the following factors:
- (1) Did the applicant have a condition or experience that may excuse or mitigate the discharge? **Yes.** The Board's Medical Advisor, a voting member, reviewed the applicant's DOD and VA health records, applicant's statement, and/or civilian provider documentation and found that the applicant has the following potentially-mitigating diagnoses/experiences: Major Depression, Dysthymic Disorder, Anxiety Disorder.
- (2) Did the condition exist or experience occur during military service? **Yes.** The Board's Medical Advisor found that the applicant was diagnosed in service with Dysthymic Disorder and Major Depression, and the applicant is service connected by the VA for Anxiety Disorder. Service connection establishes that the applicant's Anxiety Disorder also existed during military service.
- (3) Does the condition or experience actually excuse or mitigate the discharge? **Yes.** The Board determined, based on the BMA's opine, that the applicant's behavioral health conditions mitigate the discharge. The applicant was diagnosed in service with Dysthymic Disorder and Major Depression, and the applicant is service connected by the VA for Anxiety Disorder. Given the nexus between Dysthymic Disorder, Major Depression, Anxiety, and self-medicating with substances, the use of a controlled substance is mitigated.
- (4) Does the condition or experience outweigh the discharge? **Yes.** After applying liberal consideration to the evidence, including the Board Medical Advisor opine, the Board determined that the applicant's Dysthymic Disorder, Major Depression, and Anxiety outweighed the applicant's illegal substance abuse.

#### **b.** Response to Contention(s):

- (1) The applicant contends psychological issues affected behavior, which led to the discharge. The Board liberally considered this contention and determined that the applicant's Dysthymic Disorder, Major Depression, and Anxiety outweighed the applicant's illegal substance abuse.
- (2) The applicant contends the event which led to the discharge from the Army was an isolated incident. The Board considered this contention during proceedings, but ultimately did not address the contention due to an upgrade being granted based on the applicant's Dysthymic Disorder, Major Depression, and Anxiety outweighing the applicant's illegal substance abuse.
- (3) The applicant contends good service, including a combat tour. The Board considered this contention during proceedings, but ultimately did not address the contention due to an upgrade being granted based on the applicant's Dysthymic Disorder, Major Depression, and Anxiety outweighing the applicant's illegal substance abuse.

- (4) The applicant contends an upgrade of the discharge would allow veterans benefits. The Board considered this contention and determined that eligibility for Veteran's benefits, to include educational benefits under the Post-9/11 or Montgomery GI Bill, healthcare or VA loans, do not fall within the purview of the Army Discharge Review Board. Accordingly, the applicant should contact a local office of the Department of Veterans Affairs for further assistance.
- (5) The applicant contends having no felonies or misdemeanors. The Board considered this contention during proceedings, but ultimately did not address the contention due to an upgrade being granted based on the applicant's Dysthymic Disorder, Major Depression, and Anxiety outweighing the applicant's illegal substance abuse.
- **c.** The Board determined the discharge is inequitable based on the applicant's Dysthymic Disorder, Major Depression, and Anxiety outweighing the applicant's illegal substance abuse. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN, and the reentry code to RE-3.

#### **d.** Rationale for Decision:

- (1) The Board voted to change the applicant's characterization of service to Honorable because the applicant's Dysthymic Disorder, Major Depression, and Anxiety outweighing the applicant's illegal substance abuse. Thus, the prior characterization is no longer appropriate.
- (2) The Board voted to change the reason for discharge to Misconduct (Minor Infractions) under the same pretexts, thus the reason for discharge is no longer appropriate. The SPD code associated with the new reason for discharge is JKN.
  - (3) The RE code will change to RE-3.

#### 10. BOARD ACTION DIRECTED:

a. Issue a New DD-214: Yes

b. Change Characterization to: Honorable

c. Change Reason / SPD Code to: Misconduct (Minor Infractions)/JKN

d. Change RE Code to: RE-3

e. Change Authority to: AR 635-200

### Authenticating Official:

10/16/2024



AWOL – Absent Without Leave AMHRR – Army Military Human Resource Record BCD – Bad Conduct Discharge BH – Behavioral Health
CG – Company Grade Article 15
CID – Criminal Investigation

Division ELS – Entry Level Status FG - Field Grade Article 15 GD - General Discharge

HS - High School

HD – Honorable Discharge IADT – Initial Active Duty Training

MP – Military Police
MST – Military Sexual Trauma
N/A – Not applicable

NCO – Noncommissioned Officer NIF – Not in File

NOS - Not Otherwise Specified

OAD – Ordered to Active Duty OBH (I) – Other Behavioral Health (Issues) OMPF – Official Military

Personnel File PTSD - Post-Traumatic Stress

Disorder

RE – Re-entry SCM – Summary Court Martial

SPCM - Special Court Martial

SPD - Separation Program Designator

TBI – Traumatic Brain Injury UNC – Uncharacterized

Discharge UOTHC – Under Other Than Honorable Conditions

VA – Department of Veterans Affairs