1. Applicant's Name:

a. Application Date: 26 April 2021

b. Date Received: 26 April 2021

c. Counsel: None

2. REQUEST, ISSUES, BOARD TYPE, AND DECISION:

a. Applicant's Requests and Issues: The current characterization of service for the period under review is general (under honorable conditions). The applicant requests an upgrade to honorable.

The applicant seeks relief contending, in effect, an upgrade would allow them to become an active member of society by furthering their education. The applicant claims they were diagnosed with post-traumatic stress disorder (PTSD) after returning from Iraq and used cocaine to cope with their depression. The applicant contends meeting Soldiers who are still in the military after failing a drug test.

b. Board Type and Decision: In a records review conducted on 16 May 2024, and by a 5-0 vote, the Board determined the discharge is inequitable based on the applicant's Post Traumatic Stress Disorder and Depression outweighed the applicant's illegal substance abuse. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN. The Board determined the reentry code is proper and equitable and voted not to change it.

Please see Section 9 of this document for more detail regarding the Board's decision.

(Board member names available upon request)

3. DISCHARGE DETAILS:

- a. Reason / Authority / Codes / Characterization: Misconduct / AR 635-200, Chapter 14-12c / JKQ / RE-3 / General (Under Honorable Conditions)
 - **b.** Date of Discharge: 1 March 2005
 - c. Separation Facts:
 - (1) Date of Notification of Intent to Separate: 14 January 2005
- (2) Basis for Separation: The applicant was informed of the following reasons: Wrongful use of cocaine.
 - (3) Recommended Characterization: General (Under Honorable Conditions)
 - (4) Legal Consultation Date: 19 January 2005
 - (5) Administrative Separation Board: NA

(6) Separation Decision Date / Characterization: 31 January 2005 / General (Under Honorable Conditions)

4. SERVICE DETAILS:

- a. Date / Period of Enlistment: 10 October 2002 / 4 years
- b. Age at Enlistment / Education / GT Score: 22 / High School Graduate / 94
- **c. Highest Grade Achieved / MOS / Total Service:** E-4 / 21E1P, Heavy Construction Equipment Operator / 2 years, 4 months, 22 days
 - d. Prior Service / Characterizations: NA
 - e. Overseas Service / Combat Service: SWA / Iraq (5 September 2003 9 March 2004)
 - f. Awards and Decorations: NDSM, GWOTEM, GWOTSM, ASR
 - g. Performance Ratings: NA
- h. Disciplinary Action(s) / Evidentiary Record: FG Article 15, 1 December 2004, for on or about 26 November 2004, drunk and disorderly. The punishment consisted of a reduction to E-1; forfeiture of \$596 pay per month for two months (suspended); and extra duty and restriction for 45 days.

Electronic Copy of DD Form 2624, 3 December 2004, reflects the applicant tested positive for BZE 513, during an Inspection Probable Cause (PO) urinallysis testing, conducted on 27 November 2004.

FG Article 15, 4 December 2004, for wrongfully using cocaine on or about 26 November 2004. The punishment consisted of a forfeiture of \$596 pay per month for two months and extra duty and restriction for 45 days.

- i. Lost Time / Mode of Return: None
- j. Behavioral Health Condition(s):
- **(1) Applicant provided:** VA medical records, printed on 2 January 2013, reflects a medical diagnosis.

Department of Veteran Affairs letter, 22 March 2013, reflects a service-connected evaluation of 70 percent.

(2) AMHRR Listed: Report of Mental Status Evaluation, 28 December 2004, reflects the applicant was cleared for any administrative actions deemed appropriate by the command. The applicant could understand and participate in administrative proceedings; could appreciate the difference between right and wrong; and met medical retention requirements. The evaluation included a diagnosis.

Report of Medical History and Assessment, 29 December 2004, the examining medical physician noted the applicant's medical conditions in the comments section. The evaluation included a diagnosis.

The ARBA's medical advisor reviewed DoD and VA medical records and not solely those documents listed in 4j(1) and (2) above.

- 5. APPLICANT-PROVIDED EVIDENCE: DD Form 214; DD Form 293 and medical records.
- **6. Post Service Accomplishments:** The applicant sought treatment from the VA.
- 7. STATUTORY, REGULATORY AND POLICY REFERENCE(S):
- **a.** Section 1553, Title 10, United States Code (Review of Discharge or Dismissal) provides for the creation, composition, and scope of review conducted by a Discharge Review Board(s) within established governing standards. As amended by Sections 521 and 525 of the National Defense Authorization Act for Fiscal Year 2020, 10 USC 1553 provides specific guidance to the Military Boards for Correction of Military/Naval Records and Discharge Review Boards when considering discharge upgrade requests by Veterans claiming Post Traumatic Stress Disorder (PTSD), Traumatic Brain Injury (TBI), sexual trauma, intimate partner violence (IPV), or spousal abuse, as a basis for discharge review. The amended guidance provides that Boards will include, as a voting board member, a physician trained in mental health disorders, a clinical psychologist, or a psychiatrist when the discharge upgrade claim asserts a mental health condition, including PTSD, TBI, sexual trauma, IPV, or spousal abuse, as a basis for the discharge. Further, the guidance provides that Military Boards for Correction of Military/Naval Records and Discharge Review Boards will develop and provide specialized training specific to sexual trauma, IPV, spousal abuse, as well as the various responses of individuals to trauma.
- **b.** Multiple Department of Defense Policy Guidance Memoranda published between 2014 and 2018. The documents are commonly referred to by the signatory authorities' last names (2014 Secretary of Defense Guidance [Hagel memo], 2016 Acting Principal Deputy Under Secretary of Defense for Personnel and Readiness [Carson memo], 2017 Official Performing the Duties of the Under Secretary of Defense for Personnel and Readiness [Kurta memo], and 2018 Under Secretary of Defense for Personnel and Readiness [Wilkie memo].
- (1) Individually and collectively, these documents provide further clarification to the Military Discharge Review Boards and Boards for Correction of Military/Naval Records when considering requests by Veterans for modification of their discharge due to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Liberal consideration will be given to Veterans petitioning for discharge relief when the application for relief is based in whole or in part on matters relating to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Special consideration will be given to Department of Veterans Affairs (VA) determinations that document a mental health condition, including PTSD; TBI; or sexual assault/harassment potentially contributed to the circumstances resulting in a less than honorable discharge characterization. Special consideration will also be given in cases where a civilian provider confers diagnoses of a mental health condition, including PTSD; TBI; or sexual assault/harassment if the case records contain narratives supporting symptomatology at the time of service or when any other evidence which may reasonably indicate that a mental health condition, including PTSD; TBI; or sexual assault/harassment existed at the time of discharge might have mitigated the misconduct that caused a discharge of lesser characterization.
- (2) Conditions documented in the service record that can reasonably be determined to have existed at the time of discharge will be considered to have existed at the time of discharge. In cases in which a mental health condition, including PTSD; TBI; or sexual assault/harassment may be reasonably determined to have existed at the time of discharge, those conditions will be considered potential mitigating factors in the misconduct that caused the characterization of service in question. All Boards will exercise caution in weighing evidence of mitigation in cases

in which serious misconduct precipitated a discharge with a less than Honorable characterization of service. Potentially mitigating evidence of the existence of undiagnosed combat related PTSD, PTSD-related conditions due to TBI or sexual assault/harassment as causative factors in the misconduct resulting in discharge will be carefully weighed against the severity of the misconduct. PTSD is not a likely cause of premeditated misconduct. Caution shall be exercised in weighing evidence of mitigation in all cases of misconduct by carefully considering the likely causal relationship of symptoms to the misconduct.

- **c.** Army Regulation 15-180 (Army Discharge Review Board), sets forth the policies and procedures under which the Army Discharge Review Board is authorized to review the character, reason, and authority of any Servicemember discharged from active military service within 15 years of the Servicemember's date of discharge. Additionally, it prescribes actions and composition of the Army Discharge Review Board under Public Law 95-126; Section 1553, Title 10 United States Code; and Department of Defense Directive 1332.41 and Instruction 1332.28.
- **d.** Army Regulation 635-200 (Active Duty Enlisted Administrative Separations), provides the basic authority for the separation of enlisted personnel.
- (1) Chapter 3, Section II provides the authorized types of characterization of service or description of separation.
- (2) Paragraph 3-5c, provides the reasons for separation, including the specific circumstances that form the basis for the separation, will be considered on the issue of characterization. As a general matter, characterization will be based upon a pattern of behavior other than an isolated incident. There are circumstances, however, in which the conduct or performance of duty reflected by a single incident provides the basis for characterization.
- (3) Paragraph 3-7a states an Honorable discharge is a separation with honor and is appropriate when the quality of the Soldier's service generally has met the standards of acceptable conduct and performance of duty for Army personnel or is otherwise so meritorious that any other characterization would be clearly inappropriate.
- (4) Paragraph 3-7b states a General discharge is a separation from the Army under honorable conditions and is issued to a Soldier whose military record is satisfactory but not sufficiently meritorious to warrant an honorable discharge.
- (5) Chapter 14 establishes policy and prescribes procedures for separating members for misconduct. Specific categories include minor disciplinary infractions, a pattern of misconduct, and commission of a serious offense, to include abuse of illegal drugs, convictions by civil authorities and desertion or being absent without leave. Action will be taken to separate a member for misconduct when it is clearly established that rehabilitation is impractical or unlikely to succeed.
- **(6)** Paragraph 14-2c, prescribes Commanders will not take action prescribed in this chapter instead of disciplinary action solely to spare an individual who may have committed serious misconduct from the harsher penalties that may be imposed under the UCMJ.
- (7) Paragraph 14-3 prescribes a discharge under other than honorable conditions is normally appropriate for a Soldier discharged under this chapter. However, the separation authority may direct a general discharge if such is merited by the Soldier's overall record.
- (8) Paragraph 14-12c prescribes a Soldier is subject to action per this section for commission of a serious military or civilian offense, if the specific circumstances of the offense

warrant separation and a punitive discharge is, or would be, authorized for the same or a closely related offense under the Manual for Courts-Martial.

- **e.** Army Regulation 635-5-1 (Separation Program Designator (SPD) Codes), provides the specific authorities (regulatory or directive), reasons for separating Soldiers from active duty, and the SPD codes to be entered on the DD Form 214. It identifies the SPD code of "JKQ" as the appropriate code to assign enlisted Soldiers who are discharged under the provisions of Army Regulation 635-200, Chapter 14, paragraph 12c, misconduct (serious offense).
- **f.** Army Regulation 601-210, (Regular Army and Reserve Components Enlistment Program), governs eligibility criteria, policies, and procedures for enlistment and processing of persons into the Regular Army, the U.S. Army Reserve, and Army National Guard for enlistment per DODI 1304.26. It also prescribes the appointment, reassignment, management, and mobilization of Reserve Officers' Training Corps cadets under the Simultaneous Membership Program. Chapter 4 provides the criteria and procedures for waiverable and nonwaiverable separations. Table 3-1, defines reentry eligibility (RE) codes: RE-3 Applies to: Person who is not considered fully qualified for reentry or continuous service at time of separation, but disqualification is waiverable. Eligibility: Ineligible unless a waiver is granted.
- **8. SUMMARY OF FACT(S):** The Army Discharge Review Board considers applications for upgrade as instructed by Department of Defense Instruction 1332.28.

The applicant requests an upgrade to honorable.

The applicant contends they were diagnosed with post-traumatic stress disorder after returning from Iraq. The applicant provided a letter from the Department of Veteran Affairs, 22 March 2013, reflecting a service-connected evaluation of 70 percent and VA medical records to support their diagnosis. The AMHRR includes a Report of Mental Status Evaluation, 28 December 2004, and a Report of Medical History and Assessment, 29 December 2004, which reflects a medical diagnosis. All the medical documents in the AMHRR were considered by the separation authority.

The applicant contends using cocaine to cope with their depression. There is no evidence in the AMHRR the applicant ever sought assistance before committing the misconduct, which led to the separation action under review.

The applicant contends an upgrade would allow educational benefits through the GI Bill. Eligibility for veteran's benefits to include educational benefits under the Post-9/11 or Montgomery GI Bill does not fall within the purview of the Army Discharge Review Board. Accordingly, the applicant should contact a local office of the Department of Veterans Affairs for further assistance.

The applicant contends other Soldiers with similar offenses were allowed to stay in the Army. The DODI 1332.28 provides each case must be decided on the individual merits, and a case-by-case basis, considering the unique facts and circumstances of the case. Additionally, when an applicant cites a prior decision of the ADRB, another agency, or a court, the applicant shall describe the specific principles and facts contained in the prior decision and explain the relevance of the cited matter to the applicant's case. The Board is an independent body, not bound by prior decisions in its review of subsequent cases because no two cases present the same issues.

9. BOARD DISCUSSION AND DETERMINATION:

- **a.** As directed by the 2017 memo signed by A.M. Kurta, the board considered the following factors:
- (1) Did the applicant have a condition or experience that may excuse or mitigate the discharge? **Yes.** The Board's Medical Advisor, a voting member, reviewed the applicant's DOD and VA health records, applicant's statement, and/or civilian provider documentation and found that the applicant has the following potentially-mitigating diagnoses/experiences: Depression, PTSD.
- (2) Did the condition exist or experience occur during military service? **Yes.** The Board's Medical Advisor found that the applicant was diagnosed in service with Depression and is diagnosed and service connected by the VA for PTSD. Service connection establishes that the PTSD existed during military service.
- (3) Does the condition or experience actually excuse or mitigate the discharge? **Yes.** The Board's Medical Advisor applied liberal consideration and opined that the applicant's behavioral health conditions mitigate the misconduct. Given the nexus between Depression, PTSD, and self-medicating with substances, the cocaine use that led to the separation is mitigated.
- (4) Does the condition or experience outweigh the discharge? Yes. After applying liberal consideration to the evidence, including the Board Medical Advisor opine, the Board determined that the applicant's Post Traumatic Stress Disorder and Depression outweighed the applicant's illegal substance abuse.

b. Response to Contention(s):

- (1) The applicant contends they were diagnosed with post-traumatic stress disorder after returning from Iraq. The Board liberally considered this contention and determined that the applicant's Post Traumatic Stress Disorder and Depression outweighed the applicant's illegal substance abuse.
- (2) The applicant contends using cocaine to cope with their depression. The Board liberally considered this contention and determined that the applicant's Post Traumatic Stress Disorder and Depression outweighed the applicant's illegal substance abuse.
- (3) The applicant contends an upgrade would allow educational benefits through the GI Bill. The Board considered this contention and determined that eligibility for Veteran's benefits, to include educational benefits under the Post-9/11 or Montgomery GI Bill, healthcare or VA loans, do not fall within the purview of the Army Discharge Review Board. Accordingly, the applicant should contact a local office of the Department of Veterans Affairs for further assistance.
- (4) The applicant contends other Soldiers with similar offenses were allowed to stay in the Army. The Board considered this contention during proceedings but ultimately did not address it after determining that an upgrade is warranted based on medical mitigation of the applicant's misconduct.
- **c.** The Board determined the discharge is inequitable based on the applicant's Post Traumatic Stress Disorder and Depression outweighed the applicant's illegal substance abuse. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding

separation code of JKN. The Board determined the reentry code is proper and equitable and voted not to change it.

d. Rationale for Decision:

- (1) The Board voted to change the applicant's characterization of service to Honorable because the applicant's Post Traumatic Stress Disorder and Depression outweighing the applicant's illegal substance abuse. Thus, the prior characterization is no longer appropriate.
- (2) The Board voted to change the reason for discharge to Misconduct (Minor Infractions) under the same pretexts, thus the reason for discharge is no longer appropriate. The SPD code associated with the new reason for discharge is JKN.
- (3) The RE code will not change, as the current code is consistent with the procedural and substantive requirements of the regulation.

10. BOARD ACTION DIRECTED:

a. Issue a New DD-214: Yes

b. Change Characterization to: Honorable

c. Change Reason / SPD Code to: Misconduct (Minor Infractions)/JKN

d. Change RE Code to: No Change

e. Change Authority to: AR 635-200

Authenticating Official:

6/11/2024



AWOL – Absent Without Leave AMHRR – Army Military Human Resource Record BCD - Bad Conduct Discharge BH – Behavioral Health CG - Company Grade Article 15 CID - Criminal Investigation

Division ELS – Entry Level Status FG – Field Grade Article 15 GD - General Discharge HS - High School

HD - Honorable Discharge

IADT - Initial Active Duty Training MP – Military Police

MST - Military Sexual Trauma N/A - Not applicable

NCO - Noncommissioned Officer NIF - Not in File

NOS – Not Otherwise Specified

OAD – Ordered to Active Duty OBH (I) – Other Behavioral

Health (Issues)
OMPF – Official Military Personnel File

PTSD – Post-Traumatic Stress Disorder

RE – Re-entry SCM – Summary Court Martial SPCM – Special Court Martial

SPD - Separation Program Designator

TBI - Traumatic Brain Injury UNC - Uncharacterized

Discharge

UOTHC - Under Other Than Honorable Conditions VA - Department of Veterans