- 1. Applicant's Name:
  - a. Application Date: 26 April 2021
  - b. Date Received: 26 April 2021
  - c. Counsel: None
- 2. REQUEST, ISSUES, BOARD TYPE, AND DECISION:

**a. Applicant's Requests and Issues:** The current characterization of service for the period under review is under other than honorable conditions. The applicant requests an upgrade to honorable.

The applicant seeks relief contending, in effect, serving the first two contracts honorably before receiving an under other than honorable conditions discharge and wants to separate the periods of service to be eligible for veterans' benefits. The applicant desires to receive VA benefits to get help with Post Traumatic Stress Disorder (PTSD). The applicant has three deployments and seeking assistance with their VA claim.

**b.** Board Type and Decision: In a records review conducted on 16 January 2024, and by a 5-0 vote, the Board determined that the characterization of service was inequitable based on the applicant's length and quality of service, to include combat service in Iraq and Afghanistan, outweighing the applicant's AWOL and larceny offenses. Accordingly, the Board voted to grant relief in the form of an upgrade to the characterization of service to General. The Board determined the narrative reason/SPD code and RE code were proper and equitable and voted not to change them.

Please see Section 9 of this document for more detail regarding the Board's decision.

(Board member names available upon request)

3. DISCHARGE DETAILS:

a. Reason / Authority / Codes / Characterization: In Lieu of Trial by Court-Martial / AR 635-200, Chapter 10 / KFS / RE-4 / Under Other Than Honorable Conditions

- b. Date of Discharge: 1 July 2011
- c. Separation Facts:

(1) Date and Charges Preferred (DD Form 458, Charge Sheet): On 24 May 2011, the applicant was charged with:

Charge I: Violating Article 85, UCMJ, for being in desertion from: On or about 2 November 2008, without authority and with intent to remain away there from permanently, absent oneself from their organization, and did remain so absent in desertion until on or about 11 April 2011.

Charge II: Violating Article 121, UCMJ, for on or about, 2 November 2008, to on or about 1 February 2011, steal military funds, of a value of more than \$500, the property of the United States Army.

# (2) Legal Consultation Date: 8 June 2011

(3) **Basis for Separation:** Pursuant to the applicant's request for discharge under the provisions of AR 635-200, Chapter 10, in lieu of trial by court-martial.

(4) **Recommended Characterization:** Under Other Than Honorable Conditions

(5) Separation Decision Date / Characterization: 22 June 2011 / Under Other Than Honorable Conditions

4. SERVICE DETAILS:

a. Date / Period of Enlistment: 14 March 2005 / 6 years

b. Age at Enlistment / Education / GT Score: 24 / High School Graduate / 115

**c. Highest Grade Achieved / MOS / Total Service:** E-5 / 12Y2P Geospatial Engineer / 9 years, 5 months, 22 days

d. Prior Service / Characterizations: RA, 30 July 1999 – 22 April 2002 / HD RA, 23 April 2002 – 13 March 2005 / HD

e. Overseas Service / Combat Service: SWA / Afghanistan (15 August 2006 – 15 April 2007); Iraq (23 May 2007 – 22 May 2008)

**f.** Awards and Decorations: ARCOM-2, AAM-2, AGCM-2, NDSM, GWOTEM, GWOTSM, ICM-CS

g. Performance Ratings: May 2005 – February 2006 / Fully Capable 1 March 2006 – 28 February 2007 / Fully Capable 1 March 2007 – 9 July 2007 / Marginal

**h.** Disciplinary Action(s) / Evidentiary Record: FG Article 15, 11 July 2007, for wrongfully having sexual intercourse with C, in a deployed environment. The punishment consisted of a reduction to E-4 and extra duty for 45 days.

Three Personnel Action forms, reflect the applicant's duty status changed as follows:

From "PDY" to "AWOL," effective 2 November 2008; From "AWOL" to "DFR," effective 2 December 2008; and From "DFR" to "PDY," effective 11 April 2011.

i. Lost Time / Mode of Return: 2 years, 5 months, 9 days (AWOL, 2 November 2008 – 11 April 2011) / NIF

# j. Behavioral Health Condition(s):

- (1) Applicant provided: None
- (2) AMHRR Listed: None
- 5. APPLICANT-PROVIDED EVIDENCE: DD Form 214; DD Form 293.
- 6. **POST SERVICE ACCOMPLISHMENTS:** None submitted with the application.

### 7. STATUTORY, REGULATORY AND POLICY REFERENCE(S):

**a.** Section 1553, Title 10, United States Code (Review of Discharge or Dismissal) provides for the creation, composition, and scope of review conducted by a Discharge Review Board(s) within established governing standards. As amended by Sections 521 and 525 of the National Defense Authorization Act for Fiscal Year 2020, 10 USC 1553 provides specific guidance to the Military Boards for Correction of Military/Naval Records and Discharge Review Boards when considering discharge upgrade requests by Veterans claiming Post Traumatic Stress Disorder (PTSD), Traumatic Brain Injury (TBI), sexual trauma, intimate partner violence (IPV), or spousal abuse, as a basis for discharge review. The amended guidance provides that Boards will include, as a voting board member, a physician trained in mental health disorders, a clinical psychologist, or a psychiatrist when the discharge upgrade claim asserts a mental health condition, including PTSD, TBI, sexual trauma, IPV, or spousal abuse, as a basis for the discharge provides that Military/Naval Records and Discharge Review Boards will develop and provide specialized training specific to sexual trauma, IPV, spousal abuse, as well as the various responses of individuals to trauma.

**b.** Multiple Department of Defense Policy Guidance Memoranda published between 2014 and 2018. The documents are commonly referred to by the signatory authorities' last names (2014 Secretary of Defense Guidance [Hagel memo], 2016 Acting Principal Deputy Under Secretary of Defense for Personnel and Readiness [Carson memo], 2017 Official Performing the Duties of the Under Secretary of Defense for Personnel and Readiness [Kurta memo], and 2018 Under Secretary of Defense for Personnel and Readiness [Wilkie memo].

(1) Individually and collectively, these documents provide further clarification to the Military Discharge Review Boards and Boards for Correction of Military/Naval Records when considering requests by Veterans for modification of their discharge due to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Liberal consideration will be given to Veterans petitioning for discharge relief when the application for relief is based in whole or in part on matters relating to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Special consideration will be given to Department of Veterans Affairs (VA) determinations that document a mental health condition, including PTSD; TBI; or sexual assault/harassment potentially contributed to the circumstances resulting in a less than honorable discharge characterization. Special consideration will also be given in cases where a civilian provider confers diagnoses of a mental health condition, including PTSD; TBI; or sexual assault/harassment if the case records contain narratives supporting symptomatology at the time of service or when any other evidence which may reasonably indicate that a mental health condition, including PTSD; TBI; or sexual assault/harassment existed at the time of discharge might have mitigated the misconduct that caused a discharge of lesser characterization.

(2) Conditions documented in the service record that can reasonably be determined to have existed at the time of discharge will be considered to have existed at the time of discharge. In cases in which a mental health condition, including PTSD; TBI; or sexual assault/harassment may be reasonably determined to have existed at the time of discharge, those conditions will be considered potential mitigating factors in the misconduct that caused the characterization of service in question. All Boards will exercise caution in weighing evidence of mitigation in cases in which serious misconduct precipitated a discharge with a less than Honorable characterization of service. Potentially mitigating evidence of the existence of undiagnosed combat related PTSD, PTSD-related conditions due to TBI or sexual assault/harassment as causative factors in the misconduct resulting in discharge will be carefully weighed against the severity of the misconduct. PTSD is not a likely cause of premeditated misconduct. Caution shall be exercised in weighing evidence of mitigation in all cases of misconduct by carefully considering the likely causal relationship of symptoms to the misconduct.

**c.** Army Regulation 15-180 (Army Discharge Review Board) sets forth the policies and procedures under which the Army Discharge Review Board is authorized to review the character, reason, and authority of any Servicemember discharged from active military service within 15 years of the Servicemember's date of discharge. Additionally, it prescribes actions and composition of the Army Discharge Review Board under Public Law 95-126; Section 1553, Title 10 United States Code; and Department of Defense Directive 1332.41 and Instruction 1332.28.

**d.** Army Regulation 635-200 (Active Duty Enlisted Administrative Separations) provides the basic authority for the separation of enlisted personnel.

(1) Chapter 3, Section II provides the authorized types of characterization of service or description of separation.

(2) Paragraph 3-7a states an Honorable discharge is a separation with honor and is appropriate when the quality of the Soldier's service generally has met the standards of acceptable conduct and performance of duty for Army personnel or is otherwise so meritorious that any other characterization would be clearly inappropriate.

(3) Paragraph 3-7b states a General discharge is a separation from the Army under honorable conditions and is issued to a Soldier whose military record is satisfactory but not sufficiently meritorious to warrant an honorable discharge.

(4) Paragraph 3-7c states Under Other Than Honorable Conditions discharge is an administrative separation from the Service under conditions other than honorable and it may be issued for misconduct, fraudulent entry, security reasons, or in lieu of trial by court martial based on certain circumstances or patterns of behavior or acts or omissions that constitute a significant departure from the conduct expected of Soldiers in the Army.

(5) Chapter 10 provides that a member who has committed an offense or offenses for which the authorized punishment includes a punitive discharge may submit a request for a discharge for the good of the Service in lieu of trial by court-martial. The request may be submitted at any time after charges have been preferred and must include the individual's admission of guilt.

(6) Paragraph 10-6 stipulates medical and mental examinations are not required but may be requested by the Soldier under AR 40–501, chapter 8.

(7) Paragraph 10-8a stipulates a discharge under other than honorable conditions normally is appropriate for a Soldier who is discharged in lieu of trial by court-martial. However, the separation authority may direct a general discharge if such is merited by the Soldier's overall record during the current enlistment. (See chap 3, sec II.)

(8) Paragraph 10b stipulates Soldiers who have completed entry-level status, characterization of service as honorable is not authorized unless the Soldier's record is otherwise so meritorious that any other characterization clearly would be improper.

**e.** Army Regulation 635-5-1 (Separation Program Designator (SPD) Codes) provides the specific authorities (regulatory or directive), reasons for separating Soldiers from active duty, and the SPD codes to be entered on the DD Form 214. It identifies the SPD code of "KFS" as the appropriate code to assign enlisted Soldiers who are discharged under the provisions of Army Regulation 635-200, Chapter 10, In Lieu of Trial by Court-Martial.

**f.** Army Regulation 601-210 (Regular Army and Reserve Components Enlistment Program) governs eligibility criteria, policies, and procedures for enlistment and processing of persons into the Regular Army, the U.S. Army Reserve, and Army National Guard for enlistment per DODI 1304.26. It also prescribes the appointment, reassignment, management, and mobilization of Reserve Officers' Training Corps cadets under the Simultaneous Membership Program. Chapter 4 provides the criteria and procedures for waiverable and nonwaiverable separations. Table 3-1, defines reentry eligibility (RE) codes: RE-4 Applies to: Person separated from last period of service with a nonwaiverable disqualification. This includes anyone with a DA imposed bar to reenlistment in effect at time of separation or separated for any reason (except length of service retirement) with 18 or more years active Federal service. Eligibility: Ineligible for enlistment.

**8. SUMMARY OF FACT(S):** The Army Discharge Review Board considers applications for upgrade as instructed by Department of Defense Instruction 1332.28.

The applicant requests an upgrade to honorable.

The evidence in the applicant's Army Military Human Resource Record (AMHRR) confirms the applicant was charged with the commission of an offense punishable under the UCMJ with a punitive discharge. The applicant, in consultation with legal counsel, voluntarily requested, in writing, a discharge under the provisions of AR 635-200, Chapter 10, in lieu of trial by court-martial. In this request, the applicant admitted guilt to the offense, or a lesser included offense, and indicated an understanding an under other than honorable conditions discharge could be received, and the discharge would have a significant effect on eligibility for veterans' benefits. The under other than honorable conditions discharge received by the applicant was normal and appropriate under the regulatory guidance.

The applicant contends having PTSD. The applicant did not submit any evidence, other than the applicant's statement, to support the contention. The AMHRR is void of a mental status evaluation.

The applicant contends serving the first two contracts honorably before receiving an under other than honorable conditions discharge; the applicant requests to separate the periods of service to be eligible for veterans' benefits. AR 635-8 Chapter 5-2, states a DD Form 214 will not be prepared for enlisted Soldiers discharged for immediate reenlistment in the RA.

The applicant contends an upgrade of the discharge would allow veterans benefits. Eligibility for veteran's benefits does not fall within the purview of the Army Discharge Review Board. Accordingly, the applicant should contact a local office of the Department of Veterans Affairs for further assistance.

The applicant contends having three deployments. The AMHRR reflects the applicant has two deployments.

### 9. BOARD DISCUSSION AND DETERMINATION:

**a.** As directed by the 2017 memo signed by A.M. Kurta, the board considered the following factors:

(1) Did the applicant have a condition or experience that may excuse or mitigate the discharge? **Yes.** The Board found that, based on the Board Medical Advisor review of the applicant's DOD and VA health records, applicant's statement, and/or civilian provider

## ARMY DISCHARGE REVIEW BOARD CASE REPORT AND DIRECTIVE AR20210001345

documentation, the applicant has the following potentially-mitigating diagnoses/experiences: Asserted Post Traumatic Stress Disorder, and Adjustment Disorder.

(2) Did the condition exist or experience occur during military service? **Yes.** The Board, based on the Board's Medical Advisor opine, found the applicant's adjustment disorder and asserted PTSD existed during the applicant's military service.

(3) Does the condition or experience actually excuse or mitigate the discharge? No. The Board's Medical Advisor applied liberal consideration and opined that the applicant's self-asserted PTSD does not mitigate the applicant's misconduct. Records are void of a PTSD diagnosis for the applicant and the applicant provided no evidence supporting the claim of PTSD. In absence of documentation or evidence supporting the assertion of PTSD, the Board determined that there is insufficient evidence to support that the misconduct was mitigated by PTSD. The only other potentially mitigating diagnosis is Adjustment Disorder with Depressed Mood, however, the applicant's Adjustment Disorder was not of severity to result in changes in cognition, behavior, or judgement.

(4) Does the condition or experience outweigh the discharge? No. After applying liberal consideration to the evidence, including the Board Medical Advisor opine, the Board determined that the available evidence did not support a conclusion that the applicant's self-asserted PTSD or Adjustment Disorder outweighed the medically unmitigated offenses of AWOL and theft of military funds.

**b.** Response to Contention(s):

(1) The applicant contends having PTSD. The Board liberally considered this contention but determined that the available evidence did not support a conclusion that the applicant's self-asserted PTSD or Adjustment Disorder outweighed the medically unmitigated offenses of AWOL and theft of military funds. However, the Board found that the applicant's record of service does warrant an upgrade of the characterization of service to General.

(2) The applicant contends serving the first two contracts honorably before receiving an under other than honorable conditions discharge and wishes to separate the terms of service to be eligible for veterans' benefits. The Board considered this contention, but determined that it does not fall under the purview of the ADRB. The Board did consider the applicant's nine years of service, including multiple combat tours, in its decision to upgrade the applicant's characterization of service to General.

(3) The applicant contends an upgrade of the discharge would allow veterans benefits. The Board considered this contention and determined that eligibility for Veteran's benefits, to include educational benefits under the Post-9/11 or Montgomery GI Bill, healthcare or VA loans, do not fall within the purview of the Army Discharge Review Board. Accordingly, the applicant should contact a local office of the Department of Veterans Affairs for further assistance.

(4) The applicant contends having three deployments. The Board considered the applicant's nine years of service, including combat tours, in its decision to upgrade the applicant's characterization of service to General.

**c.** The Board determined that the characterization of service was inequitable based on the applicant's length and quality of service, to include combat service in Iraq and Afghanistan, outweighing the applicant's AWOL and larceny offenses. Accordingly, the Board voted to grant relief in the form of an upgrade to the characterization of service to General. The Board determined the narrative reason/SPD code and RE code were proper and equitable and voted

not to change them. The applicant has exhausted their appeal options available with ADRB. However, the applicant may still apply to the Army Board for Correction of Military Records. The applicant is responsible for satisfying the burden of proof and providing documents or other evidence sufficient to support the applicant's contention(s) that the discharge was improper or inequitable.

d. Rationale for Decision:

(1) The Board voted to change the applicant's characterization of service to General because the applicant's length and quality of service, to include combat service in Iraq and Afghanistan, outweighed the applicant's AWOL and larceny offenses. A General characterization of service is proper and equitable as the applicant's misconduct fell below that level of meritorious service warranted for an upgrade to Honorable discharge.

(2) The Board voted not to change the applicant's reason for discharge or accompanying SPD code, as the reason the applicant was discharged was both proper and equitable.

(3) The RE code will not change, as the current code is consistent with the procedural and substantive requirements of the regulation.

#### 10. BOARD ACTION DIRECTED:

- a. Issue a New DD-214: Yes
- b. Change Characterization to: General, Under Honorable Conditions
- c. Change Reason / SPD Code to: No Change
- d. Change RE Code to: No Change
- e. Change Authority to: No Change

#### Authenticating Official:



AMHRR – Army Military Human Resource Record BCD – Bad Conduct Discharge BH – Behavioral Health CG – Company Grade Article 15 CID – Criminal Investigation Division ELS – Entry Level Status FG – Field Grade Article 15 GD – General Discharge HS – High School HD – Honorable Discharge IADT – Initial Active Duty Training MP – Military Police MST – Military Sexual Trauma N/A – Not applicable NCO – Noncommissioned Officer NIF – Not in File NOS – Not Otherwise Specified OAD – Ordered to Active Duty OBH (I) – Other Behavioral Health (Issues) OMPF – Official Military Personnel File PTSD – Post-Traumatic Stress Disorder RE – Re-entry SCM – Summary Court Martial SPCM – Special Court Martial SPD – Separation Program Designator TBI – Traumatic Brain Injury UNC – Uncharacterized Discharge UOTHC – Under Other Than Honorable Conditions VA – Department of Veterans Affairs