1. Applicant's Name:

a. Application Date: 26 April 2021

b. Date Received: 26 April 2021

c. Counsel: None

2. REQUEST, ISSUES, BOARD TYPE, AND DECISION:

a. Applicant's Requests and Issues: The current characterization of service for the period under review is under other than honorable conditions. The applicant requests an honorable.

The applicant seeks relief contending, in effect, having 17 years of good service without any misconduct issues. In the 18th year the applicant had family issues and PTSD symptoms which caused the applicant to make the wrong decisions. Prior to the incident, the applicant was a stellar Soldier and instead of being helped the applicant was discharged. The applicant is sorry and wants a chance to better provide for their family.

b. Board Type and Decision: In a records review conducted on 28 November 2023, and by a 3-2 vote, the Board determined the discharge is inequitable based on the applicant's Post Traumatic Stress Disorder outweighing the applicant's AWOL offense. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN, and the reentry code to RE-3.

Please see Section 9 of this document for more detail regarding the Board's decision.

(Board member names available upon request)

3. DISCHARGE DETAILS:

- a. Reason / Authority / Codes / Characterization: In Lieu of Trial by Court-Martial / AR 635-200, Chapter 10 / KFS / RE-4 / Under Other Than Honorable Conditions
 - **b. Date of Discharge:** 12 August 2013
 - c. Separation Facts:
- (1) Date and Charges Preferred (DD Form 458, Charge Sheet): On 11 May 2013, the applicant was charged with:

Charge I: Violating Article 85, UCMJ, for being in Desertion, on or about 10 May 2013, without authority and with intent to remain away therefrom permanently, absent oneself from their unit.

Charge II: Violating Article 86, UCMJ, for being AWOL from on or about 10 April 2013, without authority and with intent to remain away therefrom permanently, absent oneself from their unit, and did remain so absent.

(2) Legal Consultation Date: NIF

- (3) Basis for Separation: Pursuant to the applicant's request for discharge under the provisions of AR 635-200, Chapter 10, in lieu of trial by court-martial.
 - (4) Recommended Characterization: NIF
- (5) Separation Decision Date / Characterization: 20 March 2013 / Under Other Than Honorable Conditions
- 4. SERVICE DETAILS:
 - a. Date / Period of Enlistment: 20 May 2008 / Indefinite
 - b. Age at Enlistment / Education / GT Score: 30 / some college / 118
- c. Highest Grade Achieved / MOS / Total Service: E-6 / 91B30, Wheeled Vehicle Mechanic / 17 years, 6 months, 16 days
 - d. Prior Service / Characterizations: RA, 2 October 1995 1 December 1998 / HD RA, 2 December 1998 – 26 August 2002 / HD RA, 27 August 2002 – 28 April 2005 / HD RA, 29 April 2005 – 19 May 2008 / HD
- e. Overseas Service / Combat Service: Korea, SWA / Iraq (19 January 2005 19 January 2006); Kuwait (24 March 1998 7 July 1998)
- **f. Awards and Decorations:** ICM-2CS, ARCOM-3, AAM-5, AGCM-5, NDSM-2, AFEM, GWOTSM, KDSM, NCOPDR-2, ASR, OSR-6
 - g. Performance Ratings: 1 May 2008 26 October 2008 / Fully Capable 27 October 2008 – 26 October 2011 / Among the Best 27 October 2011 – 26 October 2012 / Among the Best 26 October 2012 – 20 March 2013 / Marginal
- h. Disciplinary Action(s) / Evidentiary Record: FG Article 15, 1 April 2008, for on or about 30 January 2008, violate a lawful regulation, by wrongfully compromising the subordinate leader integrity by allowing two junior enlisted Soldiers to sleep over at the applicant's off post quarters creating an actual or clearly predictable adverse impact on discipline, authority, morale, or the ability of the command to accomplish its mission. On or about 31 January 2008, with intent to deceive, make to Sergeant First Class G., an official statement, to wit: "Private First Class B., and Specialist G., are doing some paperwork for me at the motor pool and that they won't be in formation," or words to that effect, which statement was totally false, and was then known by the applicant to be so false. The punishment consisted of a reduction to E-5 (suspended); forfeiture of \$633 pay per month for two months (suspended); and extra duty and restriction for 45 days.

Two Personnel Action forms, reflect the applicant's duty status changed as follows:

From "PDY" to "AWOL," effective 10 April 2013; and From "AWOL" to "DFR," effective 10 May 2013.

i. Lost Time / Mode of Return: 3 months, 24 days (AWOL, 10 April 2013 – 4 August 2013)/ Surrendered to Military Authorities

j. Behavioral Health Condition(s):

(1) Applicant provided: Department of Veterans Affairs Rating Decision, 8 June 2015, reflecting a service connection for PTSD including alcohol disorder, was granted an evaluation of 70 percent.

(2) AMHRR Listed: None

- **5. APPLICANT-PROVIDED EVIDENCE:** Online application; DD Form 214; Department of Veterans Affairs Rating Decision; Four NCOERs.
- **6. POST SERVICE ACCOMPLISHMENTS:** None submitted with the application.

7. STATUTORY, REGULATORY AND POLICY REFERENCE(S):

- **a.** Section 1553, Title 10, United States Code (Review of Discharge or Dismissal) provides for the creation, composition, and scope of review conducted by a Discharge Review Board(s) within established governing standards. As amended by Sections 521 and 525 of the National Defense Authorization Act for Fiscal Year 2020, 10 USC 1553 provides specific guidance to the Military Boards for Correction of Military/Naval Records and Discharge Review Boards when considering discharge upgrade requests by Veterans claiming Post Traumatic Stress Disorder (PTSD), Traumatic Brain Injury (TBI), sexual trauma, intimate partner violence (IPV), or spousal abuse, as a basis for discharge review. The amended guidance provides that Boards will include, as a voting board member, a physician trained in mental health disorders, a clinical psychologist, or a psychiatrist when the discharge upgrade claim asserts a mental health condition, including PTSD, TBI, sexual trauma, IPV, or spousal abuse, as a basis for the discharge. Further, the guidance provides that Military Boards for Correction of Military/Naval Records and Discharge Review Boards will develop and provide specialized training specific to sexual trauma, IPV, spousal abuse, as well as the various responses of individuals to trauma.
- **b.** Multiple Department of Defense Policy Guidance Memoranda published between 2014 and 2018. The documents are commonly referred to by the signatory authorities' last names (2014 Secretary of Defense Guidance [Hagel memo], 2016 Acting Principal Deputy Under Secretary of Defense for Personnel and Readiness [Carson memo], 2017 Official Performing the Duties of the Under Secretary of Defense for Personnel and Readiness [Kurta memo], and 2018 Under Secretary of Defense for Personnel and Readiness [Wilkie memo].
- (1) Individually and collectively, these documents provide further clarification to the Military Discharge Review Boards and Boards for Correction of Military/Naval Records when considering requests by Veterans for modification of their discharge due to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Liberal consideration will be given to Veterans petitioning for discharge relief when the application for relief is based in whole or in part on matters relating to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Special consideration will be given to Department of Veterans Affairs (VA) determinations that document a mental health condition, including PTSD; TBI; or sexual assault/harassment potentially contributed to the circumstances resulting in a less than honorable discharge characterization. Special consideration will also be given in cases where a civilian provider confers diagnoses of a mental health condition, including PTSD; TBI; or sexual assault/harassment if the case records contain narratives supporting symptomatology at the time of service or when any other evidence which may reasonably indicate that a mental health condition, including PTSD; TBI; or sexual assault/harassment existed at the time of discharge might have mitigated the misconduct that caused a discharge of lesser characterization.

- (2) Conditions documented in the service record that can reasonably be determined to have existed at the time of discharge will be considered to have existed at the time of discharge. In cases in which a mental health condition, including PTSD; TBI; or sexual assault/harassment may be reasonably determined to have existed at the time of discharge, those conditions will be considered potential mitigating factors in the misconduct that caused the characterization of service in question. All Boards will exercise caution in weighing evidence of mitigation in cases in which serious misconduct precipitated a discharge with a less than Honorable characterization of service. Potentially mitigating evidence of the existence of undiagnosed combat related PTSD, PTSD-related conditions due to TBI or sexual assault/harassment as causative factors in the misconduct resulting in discharge will be carefully weighed against the severity of the misconduct. PTSD is not a likely cause of premeditated misconduct. Caution shall be exercised in weighing evidence of mitigation in all cases of misconduct by carefully considering the likely causal relationship of symptoms to the misconduct.
- **c.** Army Regulation 15-180 (Army Discharge Review Board) sets forth the policies and procedures under which the Army Discharge Review Board is authorized to review the character, reason, and authority of any Servicemember discharged from active military service within 15 years of the Servicemember's date of discharge. Additionally, it prescribes actions and composition of the Army Discharge Review Board under Public Law 95-126; Section 1553, Title 10 United States Code; and Department of Defense Directive 1332.41 and Instruction 1332.28.
- **d.** Army Regulation 635-200 (Active Duty Enlisted Administrative Separations), provides the basic authority for the separation of enlisted personnel.
- (1) Chapter 3, Section II, provides the authorized types of characterization of service or description of separation.
- (2) Paragraph 3-7a states an Honorable discharge is a separation with honor and is appropriate when the quality of the Soldier's service generally has met the standards of acceptable conduct and performance of duty for Army personnel or is otherwise so meritorious that any other characterization would be clearly inappropriate.
- (3) Paragraph 3-7b states a General discharge is a separation from the Army under honorable conditions and is issued to a Soldier whose military record is satisfactory but not sufficiently meritorious to warrant an honorable discharge.
- (4) Paragraph 3-7c states Under Other Than Honorable Conditions discharge is an administrative separation from the Service under conditions other than honorable and it may be issued for misconduct, fraudulent entry, security reasons, or in lieu of trial by court martial based on certain circumstances or patterns of behavior or acts or omissions that constitute a significant departure from the conduct expected of Soldiers in the Army.
- (5) Chapter 10 provides that a member who has committed an offense or offenses for which the authorized punishment includes a punitive discharge may submit a request for a discharge for the good of the Service in lieu of trial by court-martial. The request may be submitted at any time after charges have been preferred and must include the individual's admission of guilt.
- **(6)** Paragraph 10-6 stipulates medical and mental examinations are not required but may be requested by the Soldier under AR 40–501, chapter 8. For discharges between June 2004 and 30 September 2021.

- (7) Paragraph 10-8a stipulates a discharge under other than honorable conditions normally is appropriate for a Soldier who is discharged in lieu of trial by court-martial. However, the separation authority may direct a general discharge if such is merited by the Soldier's overall record during the current enlistment. (See chap 3, sec II.)
- (8) Paragraph 10b stipulates Soldiers who have completed entry-level status, characterization of service as honorable is not authorized unless the Soldier's record is otherwise so meritorious that any other characterization clearly would be improper.
- **e.** Army Regulation 635-5-1 (Separation Program Designator (SPD) Codes) provides the specific authorities (regulatory or directive) reasons for separating Soldiers from active duty, and the SPD codes to be entered on the DD Form 214. It identifies the SPD code of "KFS" as the appropriate code to assign enlisted Soldiers who are discharged under the provisions of Army Regulation 635-200, Chapter 10, In Lieu of Trial by Court-Martial.
- **f.** Army Regulation 601-210 (Regular Army and Reserve Components Enlistment Program) governs eligibility criteria, policies, and procedures for enlistment and processing of persons into the Regular Army, the U.S. Army Reserve, and Army National Guard for enlistment per DODI 1304.26. It also prescribes the appointment, reassignment, management, and mobilization of Reserve Officers' Training Corps cadets under the Simultaneous Membership Program. Chapter 4 provides the criteria and procedures for waiverable and nonwaiverable separations. Table 3-1, defines reentry eligibility (RE) codes: RE-4 Applies to: Person separated from last period of service with a nonwaiverable disqualification. This includes anyone with a DA imposed bar to reenlistment in effect at time of separation or separated for any reason (except length of service retirement) with 18 or more years of active Federal service. Eligibility: Ineligible for enlistment.
- **8. SUMMARY OF FACT(S):** The Army Discharge Review Board considers applications for upgrade as instructed by Department of Defense Instruction 1332.28.

The applicant requests an upgrade to honorable.

The applicant's Army Military Human Resources Record (AMHRR) includes partial facts and circumstances concerning the events which led to the discharge from the Army. The applicant's AMHRR does contain a properly constituted DD Form 214 (Certificate of Release or Discharge from Active Duty), which was not authenticated by the applicant's signature. The applicant's DD Form 214 indicates the applicant was discharged under the provisions of AR 635-200, Chapter 10, by reason of In Lieu of Trial by Court-Martial, with a characterization of service of under other than honorable conditions. The applicant's AMHRR includes a charge sheet reflecting the applicant was charged with one charge of AWOL and one charge of Desertion. The AMHRR also include the separation authority's decision memorandum reflecting the applicant, voluntarily requested, in writing, a discharge under the provisions of AR 635-200, Chapter 10, in lieu of trial by court-martial. However, the applicant's actual request for discharge in lieu of trial by courtmartial is void from the record. In this request, the applicant would have admitted quilt to the offense, or a lesser included offense, and indicated an understanding an under other than honorable conditions discharge could be received, and the discharge would have a significant effect on eligibility for veterans' benefits. The under other than honorable conditions discharge received by the applicant was normal and appropriate under the regulatory guidance.

The applicant contends suffering from PTSD. The applicant provided a Department of Veterans Affairs Rating Decision, 8 June 2015, reflecting a service connection for PTSD including alcohol disorder was granted an evacuation of 70 percent. The AMHRR is void of a mental status evaluation.

The applicant contends family issues affected behavior and ultimately caused the discharge. There is no evidence in the AMHRR the applicant ever sought assistance before committing the misconduct, which led to the separation action under review.

9. BOARD DISCUSSION AND DETERMINATION:

- **a.** As directed by the 2017 memo signed by A.M. Kurta, the board considered the following factors:
- (1) Did the applicant have a condition or experience that may excuse or mitigate the discharge? **Yes.** The Board's Medical Advisor, a voting member, reviewed the applicant's DOD and VA health records, applicant's statement, and/or civilian provider documentation and found that the applicant has the following potentially-mitigating diagnoses/experiences: PTSD.
- (2) Did the condition exist or experience occur during military service? **Yes.** The Board's Medical Advisor found the applicant is 70 percent SC for PTSD related to combat.
- **Partially.** The Board's Medical Advisor applied liberal consideration and opined that the applicant's PTSD partially mitigates the discharge. As there is an association with PTSD and avoidance, to include AWOL, there is a nexus between the applicant's AWOL offense and the PTSD diagnosis. Regarding additional misconduct considered by the Separation Authority, though not included as a basis for the separation, namely violation of a lawful regulation and making a false official statement, that misconduct is not natural sequela of PTSD as the disorder did not cause impairment in the applicant's ability to differentiate between right and wrong and adhere to the right, and thus not mitigated.
- (4) Does the condition or experience outweigh the discharge? **Yes.** After applying liberal consideration to the evidence, including the Board Medical Advisor opine, the Board determined that the applicant's Post Traumatic Stress Disorder outweighed the applicant's AWOL offense basis of separation. Upon additional review, the Board found that the unmitigated offenses potentially considered by the Separation Authority, though not included in the articulated basis, to be mitigated by the applicant's length and quality of service, achievements, and prior performance.

b. Response to Contention(s):

- (1) The applicant contends suffering from PTSD. The Board liberally considered this contention and determined that the applicant's PTSD outweighed the applicant's AWOL offense basis of separation. Therefore, a discharge upgrade is warranted.
- (2) The applicant contends family issues affected behavior and ultimately caused the discharge. The Board considered this contention during proceedings, but ultimately did not address the contention due to an upgrade being granted based on the applicant's Post Traumatic Stress Disorder outweighing the applicant's AWOL offense.
- **c.** The Board determined the discharge is inequitable based on the applicant's Post Traumatic Stress Disorder outweighing the applicant's AWOL offense. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN, and the reentry code to RE-3.

d. Rationale for Decision:

- (1) The Board voted to change the applicant's characterization of service to Honorable because the applicant's Post Traumatic Stress Disorder outweighed the applicant's AWOL offense. Thus, the prior characterization is no longer appropriate.
- (2) The Board voted to change the reason for discharge to Misconduct (Minor Infractions) under the same pretexts, thus the reason for discharge is no longer appropriate. The SPD code associated with the new reason for discharge is JKN.
 - (3) The RE code will change to RE-3.

10. BOARD ACTION DIRECTED:

a. Issue a New DD-214: Yes

b. Change Characterization to: Honorable

c. Change Reason / SPD Code to: Misconduct (Minor Infractions)/JKN

d. Change RE Code to: RE-3

e. Change Authority to: AR 635-200

Authenticating Official:

4/25/2024

AWOL - Absent Without Leave AMHRR - Army Military Human Resource Record BCD – Bad Conduct Discharge BH - Behavioral Health CG – Company Grade Article 15 CID – Criminal Investigation Division ELS – Entry Level Status

GD - General Discharge HS - High School

HD – Honorable Discharge IADT – Initial Active Duty Training MP - Military Police

MST - Military Sexual Trauma

N/A - Not applicable NCO – Noncommissioned Officer NIF – Not in File

NOS - Not Otherwise Specified

OAD - Ordered to Active Duty OBH (I) - Other Behavioral

Health (Issues) OMPF – Official Military Personnel File

PTSD - Post-Traumatic Stress Disorder

RE – Re-entry SCM – Summary Court Martial SPCM - Special Court Martial

SPD - Separation Program Designator

TBI – Traumatic Brain Injury UNC – Uncharacterized

Discharge UOTHC – Under Other Than Honorable Conditions VA - Department of Veterans

Affairs