1. Applicant's Name:

a. Application Date: 26 April 2021

b. Date Received: 26 April 2021

c. Counsel: None

2. REQUEST, ISSUES, BOARD TYPE, AND DECISION:

a. Applicant's Requests and Issues: The current characterization of service for the period under review is general (under honorable conditions). The applicant requests an upgrade to honorable.

The applicant seeks relief contending, in effect, it has been two and a half years since the applicant was discharged on 20 July 2012. The applicant would like an upgrade because serving in the military was a lifelong goal. The applicant continues to try following the instilled traditions of the military. One reason the applicant would like to carry a reviewed discharge is because the applicant is a veteran who cares about the traditions.

b. Board Type and Decision: In a records review conducted on 2 November 2023, and by a 5-0 vote, the Board determined the discharge is inequitable based on the applicant's Schizoaffective Disorder, Major Depression mitigating applicant's cocaine use basis for separation. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN. The Board voted and determined the reentry eligibility (RE) code was proper and equitable due to the severity of applicant's Schizoaffective Disorder diagnosis.

Please see Section 9 of this document for more detail regarding the Board's decision.

(Board member names available upon request)

3. DISCHARGE DETAILS:

- **a. Reason / Authority / Codes / Characterization:** Misconduct (Drug Abuse) / AR 635-200, Chapter 14-12c (2) / JKK / RE-4 / General (Under Honorable Conditions)
 - **b. Date of Discharge:** 20 July 2012
 - c. Separation Facts:
 - (1) Date of Notification of Intent to Separate: 13 June 2012
- **(2) Basis for Separation:** The applicant was informed of the following reasons: The applicant used cocaine.
 - (3) Recommended Characterization: General (Under Honorable Conditions)
 - (4) Legal Consultation Date: 14 June 2012
 - (5) Administrative Separation Board: NA

- **(6) Separation Decision Date / Characterization:** 5 July 2012 / General (Under Honorable Conditions)
- 4. SERVICE DETAILS:
 - a. Date / Period of Enlistment: 15 January 2008 / 6 years
 - b. Age at Enlistment / Education / GT Score: 18 / High School Graduate / 121
- **c. Highest Grade Achieved / MOS / Total Service:** E-4 / 25P10, Microwave System Operator-Maintainer / 4 years, 6 months, 6 days
 - d. Prior Service / Characterizations: None
 - e. Overseas Service / Combat Service: Korea / None
 - f. Awards and Decorations: ASUA, NDSM, GWOTSM, KDSM, ASR, OSR
 - g. Performance Ratings: NA
- **h. Disciplinary Action(s) / Evidentiary Record:** Developmental Counseling Form for testing positive for cocaine.

Commander's Report, undated, reflects the applicant received a GOMOR (NIF), 8 February 2011, for driving under the influence.

The applicant's Enlisted Record Brief (ERB), 20 July 2012, reflects the applicant was flagged for Security Violation or Loss of Security Clearance (EA) and Involuntary Separation or Discharge (Field Initiated) (BA), effective 18 April 2012; was ineligible for reenlistment due to Pending MEB/PEB (9H). The Assignment Eligibility Availability code (J) reflects the applicant was awaiting medical evaluation board or a physical evaluation board. This code is top of the system generated based on assignment deletion code ED or reenlistment ineligibility Code 9H. This AEA code carries 12-month stabilization. The applicant was reduced from E-4 to E-3, effective 24 June 2012.

- i. Lost Time / Mode of Return: None
- j. Behavioral Health Condition(s):
 - (1) Applicant provided: None
- **(2) AMHRR Listed:** Physical Evaluation Board (PEB) Proceedings, 7 June 2012, reflect the following diagnosis: Schizoaffective Disorder, Depressive Type. The PEB further reflects finding the applicant to be physically unfit and recommended a combined rating of 50 percent disability and disposition to be "Permanent disability retirement."
- **5. APPLICANT-PROVIDED EVIDENCE:** Online application and DD Form 214.
- 6. Post Service Accomplishments: None
- 7. STATUTORY, REGULATORY AND POLICY REFERENCE(S):
- **a.** Section 1553, Title 10, United States Code (Review of Discharge or Dismissal) provides for the creation, composition, and scope of review conducted by a Discharge Review Board(s)

within established governing standards. As amended by Sections 521 and 525 of the National Defense Authorization Act for Fiscal Year 2020, 10 USC 1553 provides specific guidance to the Military Boards for Correction of Military/Naval Records and Discharge Review Boards when considering discharge upgrade requests by Veterans claiming Post Traumatic Stress Disorder (PTSD), Traumatic Brain Injury (TBI), sexual trauma, intimate partner violence (IPV), or spousal abuse, as a basis for discharge review. The amended guidance provides that Boards will include, as a voting board member, a physician trained in mental health disorders, a clinical psychologist, or a psychiatrist when the discharge upgrade claim asserts a mental health condition, including PTSD, TBI, sexual trauma, IPV, or spousal abuse, as a basis for the discharge. Further, the guidance provides that Military Boards for Correction of Military/Naval Records and Discharge Review Boards will develop and provide specialized training specific to sexual trauma, IPV, spousal abuse, as well as the various responses of individuals to trauma.

- **b.** Multiple Department of Defense Policy Guidance Memoranda published between 2014 and 2018. The documents are commonly referred to by the signatory authorities' last names (2014 Secretary of Defense Guidance [Hagel memo], 2016 Acting Principal Deputy Under Secretary of Defense for Personnel and Readiness [Carson memo], 2017 Official Performing the Duties of the Under Secretary of Defense for Personnel and Readiness [Kurta memo], and 2018 Under Secretary of Defense for Personnel and Readiness [Wilkie memo].
- (1) Individually and collectively, these documents provide further clarification to the Military Discharge Review Boards and Boards for Correction of Military/Naval Records when considering requests by Veterans for modification of their discharge due to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Liberal consideration will be given to Veterans petitioning for discharge relief when the application for relief is based in whole or in part on matters relating to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Special consideration will be given to Department of Veterans Affairs (VA) determinations that document a mental health condition, including PTSD; TBI; or sexual assault/harassment potentially contributed to the circumstances resulting in a less than honorable discharge characterization. Special consideration will also be given in cases where a civilian provider confers diagnoses of a mental health condition, including PTSD; TBI; or sexual assault/harassment if the case records contain narratives supporting symptomatology at the time of service or when any other evidence which may reasonably indicate that a mental health condition, including PTSD; TBI; or sexual assault/harassment existed at the time of discharge might have mitigated the misconduct that caused a discharge of lesser characterization.
- (2) Conditions documented in the service record that can reasonably be determined to have existed at the time of discharge will be considered to have existed at the time of discharge. In cases in which a mental health condition, including PTSD; TBI; or sexual assault/harassment may be reasonably determined to have existed at the time of discharge, those conditions will be considered potential mitigating factors in the misconduct that caused the characterization of service in question. All Boards will exercise caution in weighing evidence of mitigation in cases in which serious misconduct precipitated a discharge with a less than Honorable characterization of service. Potentially mitigating evidence of the existence of undiagnosed combat related PTSD, PTSD-related conditions due to TBI or sexual assault/harassment as causative factors in the misconduct resulting in discharge will be carefully weighed against the severity of the misconduct. PTSD is not a likely cause of premeditated misconduct. Caution shall be exercised in weighing evidence of mitigation in all cases of misconduct by carefully considering the likely causal relationship of symptoms to the misconduct.
- **c.** Army Regulation 15-180 (Army Discharge Review Board) sets forth the policies and procedures under which the Army Discharge Review Board is authorized to review the character, reason, and authority of any Servicemember discharged from active military service within 15 years

of the Servicemember's date of discharge. Additionally, it prescribes actions and composition of the Army Discharge Review Board under Public Law 95-126; Section 1553, Title 10, United States Code; and Department of Defense Directive 1332.41 and Instruction 1332.28.

- **d.** Army Regulation 635-200 (Active Duty Enlisted Administrative Separations) provides the basic authority for the separation of enlisted personnel.
- (1) Chapter 3, Section II provides the authorized types of characterization of service or description of separation.
- (2) Paragraph 3-7a states an Honorable discharge is a separation with honor and is appropriate when the quality of the Soldier's service generally has met the standards of acceptable conduct and performance of duty for Army personnel or is otherwise so meritorious that any other characterization would be clearly inappropriate.
- (3) Paragraph 3-7b states a General discharge is a separation from the Army under honorable conditions and is issued to a Soldier whose military record is satisfactory but not sufficiently meritorious to warrant an honorable discharge.
- (4) Chapter 14 establishes policy and prescribes procedures for separating members for misconduct. Specific categories include minor disciplinary infractions, a pattern of misconduct, and commission of a serious offense, to include abuse of illegal drugs, convictions by civil authorities and desertion or being absent without leave. Action will be taken to separate a member for misconduct when it is clearly established that rehabilitation is impractical or unlikely to succeed.
- (5) Paragraph 14-3 prescribes a discharge under other than honorable conditions is normally appropriate for a Soldier discharged under this chapter. However, the separation authority may direct a general discharge if such is merited by the Soldier's overall record.
- **(6)** Paragraph 14-12c(2) terms abuse of illegal drugs as serious misconduct. It continues; however, by recognizing relevant facts may mitigate the nature of the offense. Therefore, a single drug abuse offense may be combined with one or more minor disciplinary infractions or incidents of other misconduct and processed for separation under paragraph 14-12a or 14-12b as appropriate.
- **e.** Army Regulation 635-5-1 (Separation Program Designator (SPD) Codes) provides the specific authorities (regulatory or directive), reasons for separating Soldiers from active duty, and the SPD codes to be entered on the DD Form 214. It identifies the SPD code of "JKK" as the appropriate code to assign enlisted Soldiers who are discharged under the provisions of Army Regulation 635-200, Chapter 14, misconduct (drug abuse).
- **f.** Army Regulation 601-210, (Regular Army and Reserve Components Enlistment Program), governs eligibility criteria, policies, and procedures for enlistment and processing of persons into the Regular Army, the U.S. Army Reserve, and Army National Guard for enlistment per DODI 1304.26. It also prescribes the appointment, reassignment, management, and mobilization of Reserve Officers' Training Corps cadets under the Simultaneous Membership Program. Chapter 4 provides the criteria and procedures for waiverable and nonwaiverable separations. Table 3-1 defines reentry eligibility (RE) codes: RE-4 Applies to: Person separated from last period of service with a nonwaiverable disqualification. This includes anyone with a DA imposed bar to reenlistment in effect at time of separation or separated for any reason (except length of service retirement) with 18 or more years active Federal service. Eligibility: Ineligible for enlistment.

8. SUMMARY OF FACT(s): The Army Discharge Review Board considers applications for upgrade as instructed by Department of Defense Instruction 1332.28.

The applicant requests an upgrade to honorable. The applicant's Army Military Human Resources Record (AMHRR), the issues, and documents submitted with the application were carefully reviewed.

The applicant's issue about an upgrade based on the passage of time, a two-and-a-half-year period, was carefully considered. The U.S. Army does not have, nor has it ever had, a policy to automatically upgrade discharges. Each case is decided on its own merits when an applicant submits a DD Form 293 requesting a change in discharge. Changes may be warranted if the Board determines the characterization of service or the reasons for discharge, or both were improper or inequitable.

The applicant contends the discharge should be upgraded because serving in the military was a lifelong goal and as veteran, the applicant cares about the traditions of the military. The issue the applicant submitted is not a matter upon which the Army Discharge Review Board grants a change in discharge. The issue raises no matter of fact, law, procedure, or discretion related to the discharge process, nor is it associated with the discharge when it was issued.

The applicant's AMHRR contains Physical Evaluation Board (PEB) proceedings, which was under process at the time of the separation proceedings, reflects a finding of 50 percent disability for "Schizoaffective Disorder, Depressive Type" and a recommendation for a "Permanent disability retirement." The Department of Defense disability regulations do not preclude a disciplinary separation while undergoing a medical board. Appropriate regulations stipulate separations for misconduct take precedence over potential separations for other reasons. Whenever a member is being processed through the Physical Evaluation Board and is subsequently processed for an involuntary administrative separation or referred to a court-martial for misconduct, the disability evaluation is suspended. The Physical Evaluation Board case remains in suspense pending the outcome of the non-disability proceedings. If the action includes either a punitive or administrative discharge for misconduct, the medical process is stopped, and the board report is filed in the member's medical record.

9. BOARD DISCUSSION AND DETERMINATION:

- **a.** As directed by the 2017 memo signed by A.M. Kurta, the board considered the following factors:
- (1) Did the applicant have a condition or experience that may excuse or mitigate the discharge? **Yes.** The Board's Medical Advisor, a voting member, reviewed the applicant's DOD and VA health records, applicant's statement, and/or civilian provider documentation and found that the applicant has the following potentially mitigating diagnoses/experiences: Schizoaffective Disorder, Major Depression.
- (2) Did the condition exist or experience occur during military service? **Yes.** The Board's Medical Advisor found that the applicant was diagnosed in service with Schizoaffective Disorder and Major Depression. The VA has also service connected the applicant's Schizoaffective Disorder.
- (3) Does the condition or experience actually excuse or mitigate the discharge? **Yes.** The Board's Medical Advisor applied liberal consideration and opined that the applicant was diagnosed in service with Schizoaffective Disorder and Major Depression. The VA has also service connected the applicant's Schizoaffective Disorder. Given the nexus between

Schizoaffective Disorder and Major Depression and self-medicating with substances, the cocaine use that led to the applicant's separation is mitigated. The Board's Medical Advisor recommends the applicant's RE Code should remain a 4 due to the severity of the BH condition for which the applicant is service connected.

(4) Does the condition or experience outweigh the discharge? **Yes.** After applying liberal consideration to the evidence, including the Board Medical Advisor opine, the Board determined that the applicant's Schizoaffective Disorder outweighed the cocaine use basis for separation for the aforementioned reason(s).

b. Response to Contention(s):

- (1) The applicant contends the discharge should be upgraded due to the passage of time, and because serving in the military was a lifelong goal and as veteran, the applicant cares about the traditions of the military. The Board considered this contention during proceedings, but ultimately did not address the contention due to an upgrade being granted based on the applicant's Schizoaffective Disorder fully outweighing the applicant's cocaine use basis for separation.
- (2) The applicant's AMHRR contains Physical Evaluation Board (PEB) proceedings, which was under process at the time of the separation proceedings, reflects a finding of 50 percent disability for "Schizoaffective Disorder, Depressive Type" and a recommendation for a "Permanent disability retirement." The Board determined that the applicant's requested change to the DD Form 214 does not fall within the purview of the ADRB. The applicant may apply to the Army Board for Correction of Military Records (ABCMR), using a DD Form 149 regarding this matter. A DD Form 149 may be obtained from a Veterans' Service Organization.
- **c.** The Board determined the discharge is inequitable based on the applicant's Schizoaffective Disorder, Major Depression mitigating applicant's cocaine use basis for separation. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN. The Board voted and determined the reentry eligibility (RE) code was proper and equitable due to the severity of applicant's Schizoaffective Disorder diagnosis. However, the applicant may request a personal appearance hearing to address further issues before the Board. The applicant is responsible for satisfying the burden of proof and providing documents or other evidence sufficient to support the applicant's contention(s) that the discharge was improper or inequitable.

d. Rationale for Decision:

- (1) The Board voted to change the applicant's characterization of service to Honorable because the applicant's Schizoaffective Disorder mitigated the applicant's misconduct of cocaine use. Thus, the prior characterization is no longer appropriate.
- (2) The Board voted to change the reason for discharge to Misconduct (Minor Infractions) under the same pretexts, thus the reason for discharge is no longer appropriate. The SPD code associated with the new reason for discharge is JKN.
- (3) The Board voted the reentry eligibility (RE) code was proper and equitable due to the severity of applicant's Schizoaffective Disorder diagnosis.

10. BOARD ACTION DIRECTED:

a. Issue a New DD-214: Yes

b. Change Characterization to: Honorable

c. Change Reason / SPD Code to: Misconduct (Minor Infractions)/JKN

d. Change RE Code to: No Change

e. Change Authority to: AR 635-200, paragraph 14-12a

Authenticating Official:

1/26/2024



Legend:

AWOL – Absent Without Leave AMHRR – Army Military Human Resource Record

BCD - Bad Conduct Discharge BH – Behavioral Health

CG - Company Grade Article 15 CID - Criminal Investigation Division

ELS – Entry Level Status FG – Field Grade Article 15

GD - General Discharge

HS – High School HD – Honorable Discharge IADT - Initial Active Duty Training

MP – Military Police MST - Military Sexual Trauma

N/A – Not applicable NCO – Noncommissioned Officer

NIF – Not in File NOS – Not Otherwise Specified

OAD – Ordered to Active Duty OBH (I) – Other Behavioral Health (Issues) OMPF – Official Military Personnel File

PTSD - Post-Traumatic Stress Disorder

SCM – Summary Court Martial SPCM – Special Court Martial

SPD - Separation Program Designator
TBI – Traumatic Brain Injury
UNC – Uncharacterized
Discharge
UOTHC – Under Other Than

Honorable Conditions VA – Department of Veterans

Affairs