- 1. Applicant's Name:
  - a. Application Date: 26 April 2021
  - b. Date Received: 26 April 2021
  - c. Counsel:



2. REQUEST, ISSUES, BOARD TYPE, AND DECISION:

**a. Applicant's Requests and Issues:** The current characterization of service for the period under review is honorable. The applicant, through counsel, requests a narrative reason change and a separation program designator code change.

The applicant seeks relief contending, in effect, requesting the discharge be upgraded from general to honorable, the separation code be amended from JKK to a generic discharge code, and the narrative reason for separation be changed from misconduct to other. The applicant has maintained their innocence from the beginning and adamantly denies using any drugs. The applicant had no other way to prove innocence other than denying the allegations and relying on good character. Since the discharge, the applicant has been diagnosed with Post Traumatic Stress Disorder (PTSD) and assigned a 70 percent service-connected disability rating for PTSD by the VA. The applicant currently receives treatment for PTSD and continues to be a productive and law-abiding member of the community. An upgrade would provide the applicant the ability to receive veterans' benefits. The applicant's leadership contends the applicant was an outstanding Soldier.

**b.** Board Type and Decision: In a records review conducted on 18 July 2023, and by a 5-0 vote, the Board determined the discharge is inequitable based on the applicant's PTSD mitigating applicant's one time cocaine use basis for separation. Therefore, the Board voted to grant relief and change to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN, and the reentry code to RE-3 (ADRB previously upgraded of the characterization of service to Honorable).

Please see Section 9 of this document for more detail regarding the Board's decision.

(Board member names available upon request)

3. DISCHARGE DETAILS:

a. Reason / Authority / Codes / Characterization: Misconduct (Drug Abuse) / AR 635-200, Chapter 14-12c (2) / JKK / RE-4 /Honorable

b. Date of Discharge: 14 June 2012

**c. Separation Facts:** The applicant's Army Military Human Resource Record (AMHRR) is void of the case separation file.

- (1) Date of Notification of Intent to Separate: NIF
- (2) Basis for Separation: NIF

- (3) Recommended Characterization: NIF
- (4) Legal Consultation Date: NIF
- (5) Administrative Separation Board: NIF
- (6) Separation Decision Date / Characterization: NIF
- 4. SERVICE DETAILS:
  - a. Date / Period of Enlistment: 4 November 2009 / 5 years, 17 weeks

b. Age at Enlistment / Education / GT Score: 22 / High School Graduate / NIF

**c. Highest Grade Achieved / MOS / Total Service:** E-4 / 11B10, Infantryman / 2 years, 7 months, 11 days

d. Prior Service / Characterizations: None

e. Overseas Service / Combat Service: SWA / Afghanistan (5 January 2011 – 21 December 2011)

**f.** Awards and Decorations: ARCOM, MUC, NDSM, ACM-2CS, GWOTSM, OSR / The applicant provided copy of orders 280-211, dated 7 October 2011, reflecting award of the CIB, however, the award is not reflected on the DD Form 214.

# g. Performance Ratings: NA

# h. Disciplinary Action(s) / Evidentiary Record: The applicant provided:

Electronic Copy of DD Form 2624, dated 25 January 2012, reflects the applicant tested positive for COC (cocaine) 228, during an Inspection Unit (IU) urinalysis testing, conducted on 6 January 2012.

FG Article 15, dated 16 March 2012, for wrongfully using cocaine (between 29 December 2011 and 6 January 2012). The punishment consisted of a reduction to E-1; forfeiture of \$745 pay per month for two months and extra duty and restriction for 45 days.

# i. Lost Time / Mode of Return: None

# j. Behavioral Health Condition(s):

(1) Applicant provided: The applicant provided a copy of a VA Summary of Benefits, dated 7 May 2013, which reflects an evaluation of 70 percent for PTSD.

# (2) AMHRR Listed: None

**5. APPLICANT-PROVIDED EVIDENCE:** DD Form 149; DD Form 214; legal brief with listed enclosures.

**6. POST SERVICE ACCOMPLISHMENTS:** Obtained employment and continues to be a productive and law-abiding member of the community.

### 7. STATUTORY, REGULATORY AND POLICY REFERENCE(S):

**a.** Section 1553, Title 10, United States Code (Review of Discharge or Dismissal) provides for the creation, composition, and scope of review conducted by a Discharge Review Board(s) within established governing standards. As amended by Sections 521 and 525 of the National Defense Authorization Act for Fiscal Year 2020, 10 USC 1553 provides specific guidance to the Military Boards for Correction of Military/Naval Records and Discharge Review Boards when considering discharge upgrade requests by Veterans claiming Post Traumatic Stress Disorder (PTSD), Traumatic Brain Injury (TBI), sexual trauma, intimate partner violence (IPV), or spousal abuse, as a basis for discharge review. The amended guidance provides that Boards will include, as a voting board member, a physician trained in mental health disorders, a clinical psychologist, or a psychiatrist when the discharge upgrade claim asserts a mental health condition, including PTSD, TBI, sexual trauma, IPV, or spousal abuse, as a basis for the discharge provides that Military Boards for Correction of Military/Naval Records and Discharge Review Boards will develop and provide specialized training specific to sexual trauma, IPV, spousal abuse, as well as the various responses of individuals to trauma.

**b.** Multiple Department of Defense Policy Guidance Memoranda published between 2014 and 2018. The documents are commonly referred to by the signatory authorities' last names (2014 Secretary of Defense Guidance [Hagel memo], 2016 Acting Principal Deputy Under Secretary of Defense for Personnel and Readiness [Carson memo], 2017 Official Performing the Duties of the Under Secretary of Defense for Personnel and Readiness [Kurta memo], and 2018 Under Secretary of Defense for Personnel and Readiness [Wilkie memo].

(1) Individually and collectively, these documents provide further clarification to the Military Discharge Review Boards and Boards for Correction of Military/Naval Records when considering requests by Veterans for modification of their discharge due to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Liberal consideration will be given to Veterans petitioning for discharge relief when the application for relief is based in whole or in part on matters relating to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Special consideration will be given to Department of Veterans Affairs (VA) determinations that document a mental health condition, including PTSD; TBI; or sexual assault/harassment potentially contributed to the circumstances resulting in a less than honorable discharge characterization. Special consideration will also be given in cases where a civilian provider confers diagnoses of a mental health condition, including PTSD; TBI; or sexual assault/harassment if the case records contain narratives supporting symptomatology at the time of service or when any other evidence which may reasonably indicate that a mental health condition, including PTSD; TBI; or sexual assault/harassment existed at the time of discharge might have mitigated the misconduct that caused a discharge of lesser characterization.

(2) Conditions documented in the service record that can reasonably be determined to have existed at the time of discharge will be considered to have existed at the time of discharge. In cases in which a mental health condition, including PTSD; TBI; or sexual assault/harassment may be reasonably determined to have existed at the time of discharge, those conditions will be considered potential mitigating factors in the misconduct that caused the characterization of service in question. All Boards will exercise caution in weighing evidence of mitigation in cases in which serious misconduct precipitated a discharge with a less than Honorable characterization of service. Potentially mitigating evidence of the existence of undiagnosed combat related PTSD, PTSD-related conditions due to TBI or sexual assault/harassment as causative factors in the misconduct resulting in discharge will be carefully weighed against the severity of the misconduct. PTSD is not a likely cause of premeditated misconduct. Caution

### ARMY DISCHARGE REVIEW BOARD CASE REPORT AND DIRECTIVE AR20210001751

shall be exercised in weighing evidence of mitigation in all cases of misconduct by carefully considering the likely causal relationship of symptoms to the misconduct.

**c.** Army Regulation 15-180 (Army Discharge Review Board), sets forth the policies and procedures under which the Army Discharge Review Board is authorized to review the character, reason, and authority of any Servicemember discharged from active military service within 15 years of the Servicemember's date of discharge. Additionally, it prescribes actions and composition of the Army Discharge Review Board under Public Law 95-126; Section 1553, Title 10 United States Code; and Department of Defense Directive 1332.41 and Instruction 1332.28.

**d.** Army Regulation 635-200 provides the basic authority for the separation of enlisted personnel.

(1) Chapter 3, Section II provides the authorized types of characterization of service or description of separation.

(2) Paragraph 3-7a states an Honorable discharge is a separation with honor and is appropriate when the quality of the Soldier's service generally has met the standards of acceptable conduct and performance of duty for Army personnel or is otherwise so meritorious that any other characterization would be clearly inappropriate.

(3) Chapter 14 establishes policy and prescribes procedures for separating members for misconduct. Specific categories include minor disciplinary infractions, a pattern of misconduct, and commission of a serious offense, to include abuse of illegal drugs, convictions by civil authorities and desertion or being absent without leave. Action will be taken to separate a member for misconduct when it is clearly established that rehabilitation is impractical or unlikely to succeed.

(4) Paragraph 14-3 prescribes a discharge under other than honorable conditions is normally appropriate for a Soldier discharged under this chapter. However, the separation authority may direct a general discharge if such is merited by the Soldier's overall record.

(5) Paragraph 14-12c(2) terms abuse of illegal drugs as serious misconduct. It continues; however, by recognizing relevant facts may mitigate the nature of the offense. Therefore, a single drug abuse offense may be combined with one or more minor disciplinary infractions or incidents of other misconduct and processed for separation under paragraph 14-12a or 14-12b as appropriate.

**e.** Army Regulation 635-5-1 (Separation Program Designator (SPD) Codes) provides the specific authorities (regulatory or directive), reasons for separating Soldiers from active duty, and the SPD codes to be entered on the DD Form 214. It identifies the SPD code of "JKK" as the appropriate code to assign enlisted Soldiers who are discharged under the provisions of Army Regulation 635-200, Chapter 14, misconduct (drug abuse).

**f.** Army Regulation 601-210, Regular Army and Reserve Components Enlistment Program, governs eligibility criteria, policies, and procedures for enlistment and processing of persons into the Regular Army, the U.S. Army Reserve, and Army National Guard for enlistment per DODI 1304.26. It also prescribes the appointment, reassignment, management, and mobilization of Reserve Officers' Training Corps cadets under the Simultaneous Membership Program. Chapter 4 provides the criteria and procedures for waivable and nonwaivable separations. Table 3-1, defines reentry eligibility (RE) codes: RE-4 Applies to: Person separated from last period of service with a nonwaivable disqualification. This includes anyone with a DA imposed bar to

### ARMY DISCHARGE REVIEW BOARD CASE REPORT AND DIRECTIVE AR20210001751

reenlistment in effect at time of separation or separated for any reason (except length of service retirement) with 18 or more years active Federal service. Eligibility: Ineligible for enlistment.

**8. SUMMARY OF FACT(S):** The Army Discharge Review Board considers applications for upgrade as instructed by Department of Defense Instruction 1332.28.

The applicant requests an upgrade to honorable. The applicant's Army Military Human Resources Record (AMHRR), the issues, and documents submitted with the application were carefully reviewed.

The applicant's Army Military Human Resources Record (AMHRR) is void of the specific facts and circumstances concerning the events which led to the discharge from the Army. The applicant's AMHRR does contain a properly constituted DD Form 214 (Certificate of Release or Discharge from Active Duty), which was not authenticated by the applicant's electronic signature. The applicant's DD Form 214 indicates the applicant was discharged under the provisions of AR 635-200, Chapter 14, paragraph 14-12c(2), by reason of Misconduct (Drug Abuse), with a characterization of service of honorable.

The applicant contends the narrative reason for the discharge needs to be changed. The applicant was separated under the provisions of Chapter 14, paragraph 14-12c(2), AR 635-200 with an honorable discharge. The narrative reason specified by Army Regulations for a discharge under this paragraph is "Misconduct (Drug Abuse)," and the separation code is "JKK." Army Regulation 635-8, Separation Processing and Documents, governs preparation of the DD Form 214, and dictates the entry of the narrative reason for separation, entered in block 28 and separation code, entered in block 26 of the form, will be as listed in tables 2-2 or 2-3 of AR 635-5-1, Separation Program Designator (SPD) Codes. The regulation stipulates no deviation is authorized. There is no provision for any other reason to be entered under this regulation.

The applicant contends the SPD code should be changed. The SPD codes are three-character alphabetic combinations that identify reasons for, and types of, separation from active duty. The primary purpose of SPD codes is to provide statistical accounting of reasons for separation. They are intended exclusively for the internal use of DoD and the Military Services to assist in the collection and analysis of separation data. The SPD Codes are controlled by OSD and then implemented in Army policy AR 635-5-1 to track types of separations. The SPD code specified by Army Regulations for a discharge under Chapter 14, paragraph 14-12c(2), is "JKK."

The applicant contends being diagnosed with PTSD. The applicant provided a VA Summary of Benefits, dated 7 May 2013, which reflects an evaluation of 70 percent for PTSD. The AMHRR is void of a mental status evaluation (MSE).

The applicant contends claiming their innocence from the beginning and adamantly denies using any drugs. The applicant had no other way to prove innocence other than denying the allegations and relying on good character. The applicant provided an Electronic Copy of DD Form 2624, dated 25 January 2012, reflecting the applicant tested positive for COC (cocaine) 228, during an Inspection Unit (IU) urinalysis testing, conducted on 6 January 2012. Also, a FG Article 15, dated 16 March 2012, for wrongfully using cocaine (between 29 December 2011 and 6 January 2012). The punishment consisted of a reduction to E-1; forfeiture of \$745 pay per month for two months and extra duty and restriction for 45 days. The applicant's AMHRR does not contain any indication or evidence of arbitrary or capricious actions by the command.

The applicant contends an upgrade of the discharge would allow veterans benefits. Eligibility for veteran's benefits does not fall within the purview of the Army Discharge Review Board.

Accordingly, the applicant should contact a local office of the Department of Veterans Affairs for further assistance.

The applicant contends obtaining employment and productive in the community. The Army Discharge Review Board is authorized to consider post-service factors in the recharacterization of a discharge. No law or regulation provides for the upgrade of an unfavorable discharge based solely on the passage of time or good conduct in civilian life after leaving the service. The Board reviews each discharge on a case-by-case basis to determine if post-service accomplishments help demonstrate previous in-service misconduct was an aberration and not indicative of the member's overall character.

The third-party statements provided with the application speak highly of the applicant. They all recognize the applicant's good conduct before, during and after leaving the Army.

### 9. BOARD DISCUSSION AND DETERMINATION:

**a.** As directed by the 2017 memo signed by A.M. Kurta, the board considered the following factors:

(1) Did the applicant have a condition or experience that may excuse or mitigate the discharge? **Yes.** The Board determined, after considering the Board's Medical Advisor's opine, reviewing the applicant's DOD and VA health records, the applicant's statement, and/or civilian provider documentation, that the applicant has the following potentially-mitigating diagnoses/experiences: PTSD with associated major depression, TBI.

(2) Did the condition exist or experience occur during military service? **Yes.** The Board found, based on the Board's Medical Advisor's opine, that the applicant is service connected for PTSD with associated major depression noted, with records also referencing possible mild TBI.

(3) Does the condition or experience excuse or mitigate the discharge? **Yes.** The Board applied liberal consideration, and considered the Board Medical Advisor's opine, and recognized the applicant's denial of drug use and associated contentions, however, there does not appear to be compelling evidence, at least to medical advisor, that the associated UA was in error. However, given the presence of PTSD (with associated major depression), one time drug offenses are mitigated due to a nexus between the disorder and substance misuse. There is no evidence that any TBI was of such a nature as to be further considered for potential mitigation.

(4) Does the condition or experience outweigh the discharge? **Yes.** After applying liberal consideration to the evidence, including the Board Medical Advisor opine, the Board determined that the applicant's PTSD outweighed the listed one-time cocaine use basis for separation for the aforementioned reason(s).

**b.** Response to Contention(s):

(1) The applicant contends the narrative reason for the discharge needs to be changed. The Board considered this contention during proceedings and voted to change the narrative reason based on the applicant's PTSD fully outweighing / mitigating the applicant's one time cocaine use basis for separation.

(2) The applicant contends the SPD code should be changed. The Board considered this contention during proceedings and voted to change the code to JKN (Minor Misconduct) based on the applicant's PTSD fully outweighing / mitigating the applicant's one time cocaine use basis for separation.

(3) The applicant contends being diagnosis with PTSD. The Board considered this contention during proceedings, but ultimately did not address the contention due to a previous upgrade being granted based-on the applicant's PTSD fully outweighing the applicant's one time cocaine use basis for separation.

(4) The applicant contends claiming their innocence from the beginning and adamantly denies using any drugs. The applicant provided no evidence, other than denying the allegations and relying on good character. The Board considered this contention during proceedings, but ultimately did not address the contention due to an upgrade being granted based on the applicant's PTSD fully outweighing the applicant's one time cocaine use basis for separation.

(5) The applicant contends an upgrade of the discharge would allow veterans benefits. The Board considered this contention and determined that eligibility for Veteran's benefits, to include educational benefits under the Post-9/11 or Montgomery GI Bill, healthcare or VA loans, do not fall within the purview of the Army Discharge Review Board. Accordingly, the applicant should contact a local office of the Department of Veterans Affairs for further assistance.

(6) The applicant contends obtaining employment and being productive in the community. The Board considered this contention during proceedings, but ultimately did not address the contention due to an upgrade being granted based on the applicant's PTSD fully outweighing the applicant's one time cocaine use basis for separation.

**c.** The Board determined the discharge is inequitable based on the applicant's PTSD mitigating applicant's one time cocaine use basis for separation. Therefore, the Board voted to grant relief in the form of a change to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN, and the reentry code to RE-3. However, the applicant may request a personal appearance hearing to address further issues before the Board. The applicant is responsible for satisfying the burden of proof and providing documents or other evidence sufficient to support the applicant's contention(s) that the discharge was improper or inequitable.

**d.** Rationale for Decision:

(1) The Board determined the characterization of service is proper and equitable as the applicant currently has a Character of Honorable. No further relief is available.

(2) The Board voted to change the reason for discharge to Misconduct (Minor Infractions) under the same pretexts, thus the reason for discharge is no longer appropriate. The SPD code associated with the new reason for discharge is JKN.

(3) The Board voted to change the RE code to RE-3.

#### **10. BOARD ACTION DIRECTED:**

- a. Issue a New DD-214: Yes
- b. Change Characterization to: No Change
- c. Change Reason / SPD Code to: Misconduct (Minor Infractions)/JKN
- d. Change RE Code to: RE-3
- e. Change Authority to: AR 635-200, paragraph 14-12a

### Authenticating Official:

5/16/2024



AWOL – Absent Without Leave AMHRR – Army Military Human Resource Record BCD – Bad Conduct Discharge BH – Behavioral Health CG – Company Grade Article 15 CID – Criminal Investigation Division ELS – Entry Level Status FG – Field Grade Article 15 GD – General Discharge HS – High School HD – Honorable Discharge IADT – Initial Active Duty Training MP – Military Police MST – Military Sexual Trauma N/A – Not applicable NCO – Noncommissioned Officer NIF – Not in File NOS – Not Otherwise Specified OAD – Ordered to Active Duty OBH (I) – Other Behavioral Health (Issues) OMPF – Official Military Personnel File PTSD – Post-Traumatic Stress Disorder RE – Re-entry SCM – Summary Court Martial SPCM – Special Court Martial SPD – Separation Program Designator TBI – Traumatic Brain Injury UNC – Uncharacterized Discharge UOTHC – Under Other Than Honorable Conditions VA – Department of Veterans Affairs