1. Applicant's Name:

a. Application Date: 16 October 2020

b. Date Received: 26 October 2020

c. Counsel: None

#### 2. REQUEST, ISSUES, BOARD TYPE, AND DECISION:

#### a. Applicant's Requests and Issues:

- (1) The current characterization of service for the period under review is general (under honorable conditions). The applicant requests an upgrade to honorable.
- (2) The applicant seeks relief stating their discharge was inequitable because it was an isolated incident in their 50 months of service with no other adverse action. They hope to continue their education by going to school and help their community in any way they can. They acknowledge they made a terrible mistake and understand by no means are they entitled to a discharge upgrade. However, they hope their exemplary service record will be considered and the Board will find that they deserve to be worthy of an upgrade.
- **b. Board Type and Decision:** In a records review conducted on 10 May 2024, and by a 5-0 vote, the Board determined the discharge is inequitable based on the applicant's PTSD mitigated the drug abuse basis of separation. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN. The Board determined the reentry code was proper and equitable based on the applicant's medical diagnosis and voted not to change it.

#### 3. DISCHARGE DETAILS:

- a. Reason / Authority / Codes / Characterization: Misconduct (Drug Abuse) / Army Regulations 635-200, Paragraph 14-12c(2) / JKK / RE-4 / General (Under Honorable Conditions)
  - b. Date of Discharge: 8 April 2020
  - c. Separation Facts:
- (1) Date of Notification of Intent to Separate: on or before 3 March 2020, date the of applicant's Acknowledgment of Receipt of Separation Notice.
- **(2) Basis for Separation:** between on or about 1 January 2020 and on or about 6 January 2020, wrongfully use Cocaine, a controlled substance.
  - (3) Recommended Characterization: General (Under Honorable Conditions)
  - (4) Legal Consultation Date: 4 March 2020
  - (5) Administrative Separation Board: NA

**(6)Separation Decision Date / Characterization:** Undated / General (Under Honorable Conditions)

#### 4. SERVICE DETAILS:

- a. Date / Period of Enlistment: 29 September 2015 / 4 years, 21 weeks
- **b.** Age at Enlistment / Education / GT Score: 19 / 26 credit hours towards Bachelor's Degree / 124
- **c. Highest Grade Achieved / MOS / Total Service:** E-5 / 11B2V, Infantryman / 4 years, 6 months, 10 days
  - d. Prior Service / Characterizations: None
- e. Overseas Service / Combat Service: SWA / Syria (12 December 2017 31 March 2018), Afghanistan (24 April 2019 23 June 2019)
  - f. Awards and Decorations: AAM, AGCM, NDSM, GWTSM, ASR, NATOML, IRCM-CS
  - g. Performance Ratings: NA
  - h. Disciplinary Action(s) / Evidentiary Record:
- (1) A DA Form 4856 (Developmental Counseling Form) dated 5 February 2020, reflects the applicant received event oriented counseling notifying them of a suspension of favorable actions (Flag), nonjudicial punishment, and administrative separation. The Key Points of Discussion states the applicant is being negatively counseled because of their positive result of cocaine during a urinalysis on 6 January 2020. The applicant agreed with the counseling and signed the form.
- (2) A DA Form3822 (Report of Mental Status Evaluation) dated 13 February 2020, reflects the applicant has no duty limitations due to behavioral health reason and currently meets behavioral health medical retention standards. There was no behavior health diagnosis noted. The behavioral health provider recommended command referral to Substance Use Disorder Evaluation and commented the applicant is cleared for administrative separation at the purview of their Command.
- (3) A DA Form 2808 (Report of Medical Examination) dated 12 February 2020, reflects the applicant is qualified for service with no physical profile limitations. Item 77 (Summary of Defects and Diagnoses) reflects no entries.
- (4) A memorandum, U.S. Army Criminal Investigation Command (USACIDC), subject: Law Enforcement Report Initial-Final, dated 25 February 2020, reflects the applicant as the named subject in violation of the offense of Wrongful Use of Cocaine Detected by Urinalysis (Article 112a, Uniform Code of Miliary Justice (UCMJ)). The Report Summary states the applicant tested positive for Cocaine, on a Unit Urinalysis Inspection conducted on 6 January 2020. The applicant was interviewed and admitted they consumed Cocaine while on Holiday Block Leave. On 21 February 2020, Captain S\_\_\_\_ H\_\_\_\_, Trial Counsel provided a legal opinion there was probable cause to believe the applicant committed the offense of Wrongful Use of Cocaine.

- (5) A DA Form 2627 (Record of Proceedings under Article 15, UCMJ) dated 28 February 2020, reflects the applicant received nonjudicial punishment for between on or about 1 January 2020 and 6 January 2020, wrongfully used Cocaine, a schedule II controlled substance. Their punishment consisted of a reduction in rank/grade from sergeant/E-5 to specialist/E-4, forfeiture of \$1,317.00 pay for 2 months, and extra duty and restriction for 45 days. The applicant elected not to appeal.
- **(6)** A memorandum, Alpha Company, 3rd Battalion, 75th Ranger Regiment, subject: Separation under Army Regulation 635-200, Paragraph 14-12c(2), Misconduct-Abuse of Illegal Drugs [Applicant], undated, the applicant's company commander notified the applicant of their intent to separate them under the provisions of Army Regulation 635-200, Chapter 14-12c(2), misconduct-abuse of illegal drugs, for between on or about 1 January 2020 and on or about 6 January 2020, wrongfully use cocaine, a controlled substance; with a recommended characterization of service of general (under honorable conditions). On 3 March 2020, the applicant's acknowledgement of receipt of separation notice.
- (7) On 4 March 2020, the applicant completed their election of rights signing they had been advised by an consulting counsel of the basis for the contemplated action to separate them under Army Regulation 635-200, paragraph 14-12c(2), and its effects, of the rights available to them, and the effects of any action taken by them in waiving their rights. They elected not to submit statements on their own behalf. The applicant understood they may expect to encounter substantial prejudice in civilian life if a general (under honorable conditions) discharge is issued to them and they may be ineligible for many or all benefits as a veteran under both Federal and State laws.
- (8) A memorandum, Alpha Company, 3rd Battalion, 75th Ranger Regiment, subject: Commander's Report Proposed Separation under Army Regulation 635-200, Paragraph 14-12c(2), Misconduct-Abuse of Illegal Drugs [Applicant], undated, the applicant's company commander submitted a request to separate them from the Army prior to their expiration of their current term of service. The company commander states it is not feasible or appropriate to accomplish other disposition as retention of the applicant would have an adverse impact on the unit and the U.S. Army.
- **(9)** A memorandum, Headquarters, 75th Ranger Regiment, subject: Separation under Army Regulation 635-200, Paragraph 14-12c(2), Misconduct-Abuse of Illegal Drugs [Applicant], undated, the separation authority having reviewed the separation packet of the applicant, directed the applicant be separated from the Army prior to the expiration of their current term of service and their service be characterized as general (under honorable conditions).
- **(10)** A DD Form 214 (Certificate of Release or Discharge from Active Duty) reflects the applicant was discharged on 10 April 2020, with 4 years, 6 months, and 10 days of net active service this period. The applicant completed their first full term of service. The DD Form 214 shows in
  - item 4a (Grade, Rate or Rank) Sergeant
  - item 4b (Pay Grade) E-5
  - item 12i (Effective Date of Pay Grade) 1 December 2019
  - item 24 (Character of Service) General (Under Honorable Conditions)
  - item 26 (Separation Code) JKK [Misconduct (Drug Abuse)]
  - item 27 (Reentry Code) 4 [Nonwaiverable Disqualification]
  - item 28 (Narrative Reason for Separation) Misconduct (Drug Abuse)

i. Lost Time / Mode of Return: None

j. Behavioral Health Condition(s): None

#### 5. APPLICANT-PROVIDED EVIDENCE:

- DD Form 293 (Application for the Review of Discharge from the Armed Forces) of the United States), with letter
- five 3rd Party Character Reference Letter
- **6. Post Service Accomplishments:** None submitted with the application.

### 7. STATUTORY, REGULATORY AND POLICY REFERENCE(S):

- **a.** Title 10, U.S. Code, Section 1553, (Review of Discharge or Dismissal) provides for the creation, composition, and scope of review conducted by a Discharge Review Board(s) within established governing standards. As amended by Sections 521 and 525 of the National Defense Authorization Act for Fiscal Year 2020, Title 10, U.S. Code, Section 1553 provides specific guidance to the Military Boards for Correction of Military/Naval Records and Discharge Review Boards when considering discharge upgrade requests by Veterans claiming Post Traumatic Stress Disorder (PTSD), Traumatic Brain Injury (TBI), sexual trauma, intimate partner violence (IPV), or spousal abuse, as a basis for discharge review. The amended guidance provides that Boards will include, as a voting board member, a physician trained in mental health disorders, a clinical psychologist, or a psychiatrist when the discharge upgrade claim asserts a mental health condition, including PTSD, TBI, sexual trauma, IPV, or spousal abuse, as a basis for the discharge. Further, the guidance provides that Military Boards for Correction of Military/Naval Records and Discharge Review Boards will develop and provide specialized training specific to sexual trauma, IPV, spousal abuse, as well as the various responses of individuals to trauma.
- **b.** Multiple Department of Defense (DoD) Policy Guidance Memoranda published between 2014 and 2018. The documents are commonly referred to by the signatory authorities' last names (2014 Secretary of Defense Guidance [Hagel memo], 2016 Acting Principal Deputy Under Secretary of Defense for Personnel and Readiness [Carson memo], 2017 Official Performing the Duties of the Under Secretary of Defense for Personnel and Readiness [Kurta memo], and 2018 Under Secretary of Defense for Personnel and Readiness [Wilkie memo].
- (1) Individually and collectively, these documents provide further clarification to the Military Discharge Review Boards and Boards for Correction of Military/Naval Records when considering requests by Veterans for modification of their discharge due to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Liberal consideration will be given to Veterans petitioning for discharge relief when the application for relief is based in whole or in part on matters relating to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Special consideration will be given to Department of Veterans Affairs (VA) determinations that document a mental health condition, including PTSD; TBI; or sexual assault/harassment potentially contributed to the circumstances resulting in a less than honorable discharge characterization. Special consideration will also be given in cases where a civilian provider confers diagnoses of a mental health condition, including PTSD; TBI; or sexual assault/harassment if the case records contain narratives supporting symptomatology at the time of service or when any other evidence which may reasonably indicate that a mental health condition, including PTSD; TBI; or sexual assault/harassment existed at the time of discharge might have mitigated the misconduct that caused a discharge of lesser characterization.

- (2) Conditions documented in the service record that can reasonably be determined to have existed at the time of discharge will be considered to have existed at the time of discharge. In cases in which a mental health condition, including PTSD; TBI; or sexual assault/harassment may be reasonably determined to have existed at the time of discharge, those conditions will be considered potential mitigating factors in the misconduct that caused the characterization of service in question. All Boards will exercise caution in weighing evidence of mitigation in cases in which serious misconduct precipitated a discharge with a less than Honorable characterization of service. Potentially mitigating evidence of the existence of undiagnosed combat related PTSD, PTSD-related conditions due to TBI or sexual assault/harassment as causative factors in the misconduct resulting in discharge will be carefully weighed against the severity of the misconduct. PTSD is not a likely cause of premeditated misconduct. Caution shall be exercised in weighing evidence of mitigation in all cases of misconduct by carefully considering the likely causal relationship of symptoms to the misconduct.
- **c.** Army Regulation 15-180 (Army Discharge Review Board) sets forth the policies and procedures under which the Army Discharge Review Board is authorized to review the character, reason, and authority of any Servicemember discharged from active military service within 15 years of the Servicemember's date of discharge. Additionally, it prescribes actions and composition of the Army Discharge Review Board under Public Law 95-126; Title 10, U.S. Code, Section 1553; and DoD Directive 1332.41 and DoD Instruction 1332.28.
- **d.** Army Regulation 635-200 (Active Duty Enlisted Administrative Separations), 19 December 2016, set policies, standards, and procedures to ensure the readiness and competency of the force while providing for the orderly administrative separation of Soldiers for a variety of reasons. Readiness is promoted by maintaining high standards of conduct and performance.
- (1) An Honorable discharge is a separation with honor and is appropriate when the quality of the Soldier's service generally has met the standards of acceptable conduct and performance of duty for Army personnel or is otherwise so meritorious that any other characterization would be clearly inappropriate.
- (2) A General discharge is a separation from the Army under honorable conditions and is issued to a Soldier whose military record is satisfactory but not sufficiently meritorious to warrant an honorable discharge.
- (3) A Under Other Than Honorable Conditions Discharge is an administrative separation from the Service under conditions other than honorable. It may be issued for misconduct, fraudulent entry, security reasons, or in lieu of trial by court-martial.
- (4) Paragraph 1-16 (Counseling and Rehabilitative Requirements) stated Army leaders at all levels must be continually aware of their obligation to provide purpose, direction, and motivation to Soldiers. It is essential that Soldiers who falter, but have the potential to serve honorably and well, be given every opportunity to succeed. The rehabilitative transfer requirements in chapter 14 may be waived by the separation authority in circumstances where common sense and sound judgment indicate that such transfer will serve no useful purpose or produce a quality Soldier.
- (5) Chapter 14 (Separation for Misconduct) established policy and prescribed procedures for separating members for misconduct. Action will be taken to separate a member for misconduct when it is clearly established that rehabilitation is impractical or unlikely to succeed. Paragraph 14-12c(2) (Abuse of Illegal Drugs is Serious Misconduct), stated, however; relevant facts may mitigate the nature of the offense. Therefore, a single drug abuse offense

may be combined with one or more minor disciplinary infractions or incidents of other misconduct and processed for separation. A discharge under other than honorable conditions is normally appropriate for a Soldier discharged under this chapter. However, the separation authority may direct a general discharge if such is merited by the Soldier's overall record.

- (6) Chapter 15 (Secretarial Plenary Authority), currently in effect, provides explicitly for separation under the prerogative of the Secretary of the Army. Secretarial plenary separation authority is exercised sparingly and seldom delegated. Ordinarily, it is used when no other provision of this regulation applies, and early separation is clearly in the Army's best interest. Separations under this paragraph are effective only if approved in writing by the Secretary of the Army or the Secretary's approved designee as announced in updated memoranda. Secretarial separation authority is normally exercised on a case-by-case basis.
- **e.** Army Regulation 635-5-1 (Separation Program Designator (SPD) Codes) provides the specific authorities (regulatory or directive), reasons for separating Soldiers from active duty, and the SPD codes to be entered on the DD Form 214. It identifies the SPD code of "JKK" as the appropriate code to assign enlisted Soldiers who are discharged under the provisions of Army Regulation 635-200, Chapter 14, paragraph 12c(2), misconduct (drug abuse).
- **f.** Army Regulation 601-210 (Regular Army and Reserve Components Enlistment Program) governs eligibility criteria, policies, and procedures for enlistment and processing of persons into the Regular Army, the U.S. Army Reserve, and Army National Guard for enlistment per DoD Instructions 1304.26. It also prescribes the appointment, reassignment, management, and mobilization of Reserve Officers' Training Corps cadets under the Simultaneous Membership Program. Chapter 4 provides the criteria and procedures for waiverable and nonwaiverable separations. Table 3-1, defines reentry eligibility (RE) codes:
- (1) RE-1 Applies to: Person completing his or her term of active service who is considered qualified to reenter the U.S. Army. Eligibility: Qualified for enlistment if all other criteria are met.
- (2) RE-3 Applies to: Person who is not considered fully qualified for reentry or continuous service at time of separation, but disqualification is waiverable. Eligibility: Ineligible unless a waiver is granted.
- (3) RE-4 Applies to: Person separated from last period of service with a nonwaiverable disqualification. This includes anyone with a DA imposed bar to reenlistment in effect at time of separation or separated for any reason (except length of service retirement) with 18 or more years active Federal service. Eligibility: Ineligible for enlistment.
- **g.** Army Regulation 600-85 (Army Substance Abuse Program (ASAP)) dated 28 November 2016, provided a comprehensive alcohol and drug abuse prevention and control policies, procedures, and responsibilities for Soldiers of all components. The ASAP is a command program that emphasizes readiness and personal responsibility. The ultimate decision regarding separation or retention of abusers is the responsibility of the Soldier's chain of command. Abuse of alcohol or the use of illicit drugs by military personnel is inconsistent with Army Values, the Warrior Ethos, and the standards of performance, discipline, and readiness necessary to accomplish the Army's mission.
- (1) Unit commanders must intervene early and refer all Soldiers suspected or identified as alcohol and/or drug abusers to the ASAP. The unit commander should recommend enrollment based on the Soldier's potential for continued military service in terms of professional skills, behavior, and potential for advancement.

- (2) ASAP participation is mandatory for all Soldiers who are command referred. Failure to attend a mandatory counseling session may constitute a violation of Article 86 (Absence Without Leave) of the UCMJ.
- (3) Alcohol and/or other drug abusers, and in some cases dependent alcohol users, may be enrolled in the ASAP when such enrollment is clinically recommended. Soldiers who fail to participate adequately in, or to respond successfully to, rehabilitation will be processed for administrative separation and not be provided another opportunity for rehabilitation except under the most extraordinary circumstances, as determined by the Clinical Director in consultation with the unit commander.
- (4) All Soldier who test positive for illicit drugs for the first time will be evaluated for dependency, disciplined, as appropriate, and processed for separation within 30 calendar days of the company commander receiving notification of the positive result from the ASAP. Retention should be reserved for Soldiers that show clear potential for both excellent future service in the Army and for remaining free from substance abuse. Soldiers diagnosed as drug dependent will be offered rehabilitation prior to separation.
- h. Manual for Courts-Martial, United States (2019 Edition) stated, military law consists of the statutes governing the military establishment and regulations issued thereunder, the constitutional powers of the President and regulations issued thereunder, and the inherent authority of military commanders. Military law includes jurisdiction exercised by courts-martial and the jurisdiction exercised by commanders with respect to nonjudicial punishment. The purpose of military law is to promote justice, to assist in maintaining good order and discipline in the Armed Forces. Appendix 12 (Maximum Punishment Chart) Manual for Courts-Martial shows the maximum punishments include punitive discharge for violating the following Article 112a (Wrongful Use, Possession, etc., of Controlled Substances).

### 8. SUMMARY OF FACT(S):

- **a.** The Army Discharge Review Board considers applications for upgrade as instructed by Department of Defense Instruction 1332.28.
- **b.** The applicant's Army Military Human Resource Record (AMHRR) reflects the applicant tested positive for Cocaine, received nonjudicial punishment for wrongfully use of Cocaine, and was involuntary separation from the Army. The applicant's DD Form 214 indicates their discharge under the provisions of Army Regulation 635-200, Chapter 14, paragraph 14-12c(2), by reason of Misconduct (Drug Abuse), with a characterization of service of general (under honorable conditions). The applicant completed 4 years, 6 months, and 10 days of net active service this period and completed their 4-year, 21-week contractual enlistment obligation.
- **c.** Chapter 14 establishes policy and prescribes procedures for separation members for misconduct. Specific categories include minor disciplinary infractions, a pattern of misconduct, commission of a serious offense and convictions by civil authorities. Action will be taken to separate a member for misconduct when it is clearly established that rehabilitation is impracticable or is unlikely to succeed. A discharge under other than honorable conditions is normally appropriate for a Soldier discharged under this chapter. However, the separation authority may direct a general discharge if such is merited by the Soldier's overall record.
- **d.** Published Department of Defense guidance indicates that the guidance is not intended to interfere or impede on the Board's statutory independence. The Board will determine the relative weight of the action that led to the discharge and whether it supports relief or not. In

reaching its determination, the Board shall consider the applicant's petition, available records and/or submitted documents in support of the petition.

#### 9. BOARD DISCUSSION AND DETERMINATION:

- **a.** As directed by the 2017 memo signed by A.M. Kurta, the board considered the following factors:
- (1) Did the applicant have a condition or experience that may excuse or mitigate the discharge? **Yes.** The Board's Medical Advisor, a voting member, reviewed the applicant's DOD and VA health records, applicant's statement, and/or civilian provider documentation and found that the applicant has the following potentially-mitigating diagnosis: PTSD
- **(2)** Did the condition exist, or experience occur during military service? **Yes.** Service connection assumes some symptoms were present in-service.
- (3) Does the condition or experience actually excuse or mitigate the discharge? Yes. The Board's Medical Advisor applied liberal consideration and opined that given the nexus between trauma and substance use, the basis is mitigated. Specifically, even if the applicant ingested while intoxicated the excessive alcohol use would be mitigated by trauma and thus the subsequent cocaine use.
- (4) Does the condition or experience outweigh the discharge? Yes. After applying liberal consideration to the evidence, including the Board Medical Advisor opine, the Board determined that the applicant's PTSD outweighed the drug abuse basis for separation for the aforementioned reason(s).

### **c.** Response to Contention(s):

- (1) The applicant contends their discharge was inequitable because it was an isolated incident in their 50 months of service with no other adverse action.
- (2) The applicant contends they hope to continue their education by going to school and help their community in any way they can.
- (3) The applicant contends they acknowledge they made a terrible mistake and understand by no means are they entitled to a discharge upgrade. However, they hope their exemplary service record will be considered and the Board will find that they deserve to be worth of an upgrade.
- **d.** The Board determined the discharge is inequitable based on the applicant's PTSD mitigated the drug abuse basis of separation. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN. The Board determined the reentry code was proper and equitable based on the applicant's medical diagnosis and voted not to change it.

#### e. Rationale for Decision:

(1) The Board voted to change the applicant's characterization of service to Honorable because the applicant's PTSD outweighed the applicant's misconduct of drug abuse. Thus, the prior characterization is no longer appropriate.

- (2) The Board voted to change the reason for discharge to Misconduct (Minor Infractions) under the same pretexts, thus the reason for discharge is no longer appropriate. The SPD code associated with the new reason for discharge is JKN.
  - (3) The RE code will not change based on the applicant's medical diagnosis.

### 10. BOARD ACTION DIRECTED:

a. Issue a New DD-214: Yes

b. Change Characterization to: Honorable

c. Change Reason / SPD Code to: Misconduct (Minor Infractions)/JKN

d. Change RE Code to: No Change

e. Change Authority to: AR 635-200

### **Authenticating Official:**

6/26/2024 Legend:

AWOL – Absent Without Leave AMHRR – Army Military Human

Resource Record BCD - Bad Conduct Discharge BH – Behavioral Health CG – Company Grade Article 15 CID – Criminal Investigation

ELS – Entry Level Status FG – Field Grade Article 15

GD - General Discharge

HS - High School

HD – Honorable Discharge IADT - Initial Active Duty Training MP – Military Police

MST - Military Sexual Trauma

N/A - Not applicable

NCO – Noncommissioned Officer

NIF – Not in File NOS – Not Otherwise Specified

OAD - Ordered to Active Duty OBH (I) - Other Behavioral

Health (Issues) OMPF - Official Military

Personnel File

PTSD - Post-Traumatic Stress

Disorder

SCM - Summary Court Martial SPCM - Special Court Martial

SPD - Separation Program Designator

TBI – Traumatic Brain Injury UNC - Uncharacterized Discharge

UOTHC - Under Other Than Honorable Conditions

VA - Department of Veterans Affairs