1. Applicant's Name:

a. Application Date: 12 January 2021

b. Date Received: 14 January 2021

c. Counsel: None.

### 2. REQUEST, ISSUES, BOARD TYPE, AND DECISION:

**a. Applicant's Requests and Issues:** The current characterization of service for the period under review is under other than honorable conditions. The applicant requests an upgrade to general, and a narrative reason change.

The applicant states in effect, going AWOL was unbecoming of a non-commissioned officer and soldier. Their life positively changed since they decided to leave the Army, they have never been arrested or investigated for any crime while they were AWOL and they stopped drinking in 2016. They turned themselves in to military control peacefully, received mental health assistance and were diagnosed with PTSD and TBI. They completed AMIOP on 8 August 2019.

**b. Board Type and Decision:** In a records review conducted on 16 May 2025, and by a 5-0 vote, the Board determined the discharge is inequitable based on the applicant's length and quality of service, to include combat service, the circumstances surrounding the discharge (TBI and PTSD diagnoses), and post-service accomplishments. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN. The Board determined the reentry code is proper and equitable and voted not to change it. *Please see Board Discussion and Determination section for more detail regarding the Board's decision.* 

(Board member names available upon request)

#### 3. DISCHARGE DETAILS:

- **a.** Reason / Authority / Codes / Characterization: In Lieu of Trial by Court Martial / AR 635-200, Chapter 10 / KFS / RE- 4 / UOTH
  - **b.** Date of Discharge: 30 September 2019
  - c. Separation Facts: AMHRR
    - (1) DD Form 458 (Charge Sheet): NIF
    - (2) Date Charges Preferred: NIF
    - (3) Legal Consultation Date: NIF
- **(4) Basis for Separation:** Pursuant to the applicant's request for voluntary discharge under provisions of AR 635-200, Chapter 10, in lieu of trial by court-martial.
  - (5) CDR / Intermediate CDR Recommended Characterization: NIF

- (6) Separation Decision Date / Characterization: 19 September 2019 / UOTHC
- 4. SERVICE DETAILS:
  - a. Date / Period of Enlistment: 12 December 2007 / 6 years.
  - b. Age at Enlistment / Education / GT Score: 26 / HS Graduate / 88
- **c. Highest Grade Achieved / MOS / Total Service:** E-5 / 11B10 Infantryman / 13 years, 6 months, 19 days.
  - d. Prior Service / Characterizations: RA; 20110125 20071211 / Continuous Honorable
  - e. Overseas Service / Combat Service: NIF / Iraq; dates NIF
- **f. Awards and Decorations:** ARCOM-VD, ARCOM, AAM-2, AGCM-2, NDSM, GWTEM, GWTSM, ICAM-CS, ASR
  - g. Performance Ratings: 20061001 20070930; Successful
  - h. Disciplinary Action(s) / Evidentiary Record:
- (1) An Enlistment/ Reenlistment Document indicates the applicant reenlisted in the United States Army at the pay grade of E-5 with an active-duty obligation of 6 years on 12 December 2007. It was their third reenlistment.
- (2) An Enlisted Record Brief (ERB) indicates the applicant was flagged for adverse action on 21 May 2019 and they received a rank reduction to Private (E-1) on 19 September 2019.
  - i. Lost Time / Mode of Return: 20080925 20131211 / NIF
  - j. Behavioral Health Condition(s): PTSD, TBI, other mental health.
- (1) Applicant provided: A Department of Veteran Affairs rating decision letter and Report of Mental Status Evaluation document.
  - (2) AMHRR Listed: None.
- **5. APPLICANT-PROVIDED EVIDENCE:** A DD Form 293 (Record Review) application, Department of Veteran Affairs rating decision letter, Report of Mental Status Evaluation document, NCO Evaluation Report, WBAMC Behavioral Health Addiction Medicine Intensive Outpatient Program certificate, marriage license, separation packet, and three letters of recommendation in support of their application.
- **6. Post Service Accomplishments:** None submitted in support of their application.
- 7. STATUTORY, REGULATORY AND POLICY REFERENCE(S):
- **a.** Section 1553, Title 10, United States Code (Review of Discharge or Dismissal) provides for the creation, composition, and scope of review conducted by a Discharge Review Board(s) within established governing standards. As amended by Sections 521 and 525 of the National

Defense Authorization Act for Fiscal Year 2020, 10 USC 1553 provides specific guidance to the Military Boards for Correction of Military/Naval Records and Discharge Review Boards when considering discharge upgrade requests by Veterans claiming Post Traumatic Stress Disorder (PTSD), Traumatic Brain Injury (TBI), sexual trauma, intimate partner violence (IPV), or spousal abuse, as a basis for discharge review. The amended guidance provides that Boards will include, as a voting board member, a physician trained in mental health disorders, a clinical psychologist, or a psychiatrist when the discharge upgrade claim asserts a mental health condition, including PTSD, TBI, sexual trauma, IPV, or spousal abuse, as a basis for the discharge. Further, the guidance provides that Military Boards for Correction of Military/Naval Records and Discharge Review Boards will develop and provide specialized training specific to sexual trauma, IPV, spousal abuse, as well as the various responses of individuals to trauma.

- **b.** Office, Secretary of Defense memorandum (Supplemental Guidance to Military Boards for Correction of Military/Naval Records Considering Discharge Upgrade Requests by Veterans Claiming Post Traumatic Stress Disorder), 3 September 2014, directed the Service Discharge Review Boards (DRBs) and Service Boards for Correction of Military/Naval Records (BCM/NRs) to carefully consider the revised PTSD criteria, detailed medical considerations and mitigating factors when taking action on applications from former service members administratively discharged UOTHC and who have been diagnosed with PTSD by a competent mental health professional representing a civilian healthcare provider in order to determine if it would be appropriate to upgrade the characterization of the applicant's service.
- **c.** Office, Under Secretary of Defense memorandum (Clarifying Guidance to Military Discharge Review Boards and Boards for Correction of Military/Naval Records Considering Requests by Veterans for Modification of their Discharge Due to Mental Health Conditions, Sexual Assault, or Sexual Harassment), 25 August 2017 issued clarifying guidance for the Secretary of Defense Directive to DRBs and BCM/NRs when considering requests by Veterans for modification of their discharges due in whole or in part to mental health conditions, including PTSD; Traumatic Brain Injury; sexual assault; or sexual harassment. Boards are to give liberal consideration to Veterans petitioning for discharge relief when the application for relief is based in whole or in part to those conditions or experiences. The guidance further describes evidence sources and criteria and requires Boards to consider the conditions or experiences presented in evidence as potential mitigation for misconduct that led to the discharge.
- **d.** Office, Under Secretary of Defense memorandum (Guidance to Military Discharge Review Boards and Boards for Correction of Military/Naval Records Regarding Equity, Injustice, or Clemency Determinations), 25 July 2018 issued guidance to Military DRBs and BCM/NRs regarding equity, injustice, or clemency determinations. Clemency generally refers to relief specifically granted from a criminal sentence. However, the guidance applies to more than clemency from a sentencing in a court-martial; it also applies to other corrections, including changes in a discharge, which may be warranted based on equity or relief from injustice.
- (1) This guidance does not mandate relief but rather provides standards and principles to guide Boards in application of their equitable relief authority. In determining whether to grant relief on the basis of equity, injustice, or clemency grounds, DRBs shall consider the prospect for rehabilitation, external evidence, sworn testimony, policy changes, relative severity of misconduct, mental and behavioral health conditions, official governmental acknowledgement that a relevant error or injustice was committed, and uniformity of punishment.
- (2) Changes to the narrative reason for discharge and/or an upgraded character of service granted solely on equity, injustice, or clemency grounds normally should not result in

separation pay, retroactive promotions, and payment of past medical expenses or similar benefits that might have been received if the original discharge had been for the revised reason or had the upgraded service characterization.

- **e.** Army Regulation 15-180 (Army Discharge Review Board), dated 25 September 2019, sets forth the policies and procedures under which the Army Discharge Review Board is authorized to review the character, reason, and authority of any Servicemember discharged from active military service within 15 years of the Servicemember's date of discharge. Additionally, it prescribes actions and composition of the Army Discharge Review Board under Public Law 95-126; Section 1553, Title 10 United States Code; and Department of Defense Directive 1332.41 and Instruction 1332.28.
- **f.** Army Regulation 601-210, Regular Army, and Reserve Components Enlistment Program, governs eligibility criteria, policies, and procedures for enlistment and processing of persons into the Regular Army, the U.S. Army Reserve, and Army National Guard for enlistment per DODI 1304.26. It also prescribes the appointment, reassignment, management, and mobilization of Reserve Officers' Training Corps cadets under the Simultaneous Membership Program. Chapter 4 provides the criteria and procedures for waiverable and nonwaiverable separations. Table 3-1, defines reentry eligibility (RE) codes:
  - RE-1 Applies to: Person completing his or her term of active service who is considered qualified to reenter the U.S. Army. Eligibility: Qualified for enlistment if all other criteria are met.
  - RE-3 Applies to: Person who is not considered fully qualified for reentry or continuous service at time of separation, but disqualification is waiverable. Eligibility: Ineligible unless a waiver is granted.
  - RE-4 Applies to: Person separated from last period of service with a nonwaiverable disqualification. This includes anyone with a DA imposed bar to reenlistment in effect at time of separation or separated for any reason (except length of service retirement) with 18 or more years active Federal service. Eligibility: Ineligible for enlistment.
- **g.** Army Regulation 635-200 provides the basic authority for the separation of enlisted personnel.
- **(1)** Chapter 3, Section II provides the authorized types of characterization of service or description of separation. It states:
- (a) An honorable discharge is a separation with honor and is appropriate when the quality of the Soldier's service generally has met the standards of acceptable conduct and performance of duty for Army personnel or is otherwise so meritorious that any other characterization would be clearly inappropriate.
- **(b)** A general discharge is a separation from the Army under honorable conditions and is issued to a Soldier whose military record is satisfactory but not sufficiently meritorious to warrant an honorable discharge.

- **(c)** An under other-than-honorable-conditions discharge is an administrative separation from the Service under conditions other than honorable and it may be issued for misconduct, fraudulent entry, security reasons, or in lieu of trial by court martial based on certain circumstances or patterns of behavior or acts or omissions that constitute a significant departure from the conduct expected of Soldiers in the Army.
- (d) A Bad Conduct discharge will only be given to a Soldier pursuant to an approved sentence of general or special court-martial. Enlisted service members and officers with less than six years of service are eligible for a Bad Conduct Discharge. Behaviors such as drug abuse, assault, theft, insubordination, and other actions that violate military law may be punished with a BCD.
- **(e)** A Dishonorable discharge is the most severe type of discharge and will be given to a Soldier pursuant only to an approved sentence of general or special court-martial. The appellate review must be completed and affirmed sentence ordered duly executed. Behaviors such as fraud, desertion, treason, espionage, sexual Assault, and murder and other actions may be punished with a dishonorable discharge.
- (2) Chapter 10, Discharge in Lieu of Trial by Court Martial is applicable to members who had committed an offense or offenses for which the authorized punishment included a bad conduct or dishonorable discharge could submit a request for discharge for the good of the service. The request could be submitted at any time after the charges had been preferred. Although an honorable or general discharge was authorized, an under other than honorable conditions discharge was normally considered appropriate, unless the record was so meritorious it would warrant an honorable.
- (a) After receiving legal counseling, the soldier may elect to submit a request for discharge in lieu of trial by court-martial. The soldier will sign a written request, certifying that they have been counseled, understands their rights, and may receive a discharge under other than honorable conditions.
  - **(b)** The following documents will accompany the request for discharge:
    - A copy of a Charge Sheet (DD Form 458)
    - Report of medical examination and mental status evaluation, if conducted
    - A complete copy of all reports of investigation
    - Any statement, documents, or other matter considered by the commanding officer in making his/her recommendation, including any information presented for consideration by the soldier or consulting counsel.
    - A statement of any reasonable ground for belief that the soldier is, or was at the time of misconduct, mentally defective, deranged, or abnormal. When appropriate, evaluation by a psychiatrist will be included.
- (3) Chapter 15 provides explicitly for separation under the prerogative of the Secretary of the Army. Secretarial plenary separation authority is exercised sparingly and seldom delegated. Ordinarily, it is used when no other provision of this regulation applies, and early separation is clearly in the Army's best interest. Separations under this paragraph are effective only if

approved in writing by the Secretary of the Army or the Secretary's approved designee as announced in updated memoranda. Secretarial separation authority is normally exercised on a case-by-case basis. If Secretarial Authority is granted normally correct the record to show the following:

Separation Authority: Army Regulation 635-200, Chapter 15

Separation Code: JKA

• Reenlistment Code: RE1

Narrative Reason for Separation: Secretarial Plenary Authority

• Character of Service: Honorable

- **(4)** Army Regulation 635-5-1 (Separation Program Designator (SPD) Codes) provides the specific authorities (regulatory or directive), reasons for separating Soldiers from active duty, and the SPD codes to be entered on the DD Form 214. It identifies the SPD code of "KFS" as the appropriate code to assign enlisted Soldiers who are discharged under the provisions of Army Regulation 635-200, Chapter 10, In Lieu Trial by Court-Martial.
- (5) Manual for Courts-Martial, United States, states military law consists of the statutes governing the military establishment and regulations issued there under, the constitutional powers of the President and regulations issued thereunder, and the inherent authority of military commanders. Military law includes jurisdiction exercised by courts-martial and the jurisdiction exercised by commanders with respect to nonjudicial punishment. The purpose of military law is to promote justice, to assist in maintaining good orders and discipline in the Armed Forces.
- (6) Title 38, U.S. Code, Sections 1110 and 1131, permits the VA to award compensation for a medical condition which was incurred in or aggravated by active military service. The VA, however, is not required by law to determine medical unfitness for further military service. The VA, in accordance with its own policies and regulations, awards compensation solely on the basis that a medical condition exists and that said medical condition reduces or impairs the social or industrial adaptability of the individual concerned. Consequently, due to the two concepts involved, an individual's medical condition, although not considered medically unfitting for military service at the time of processing for separation, discharge, or retirement, may be sufficient to qualify the individual for VA benefits based on an evaluation by the agency.
- **8. SUMMARY OF FACT(S):** The Army Discharge Review Board considers applications for upgrade as instructed by Department of Defense Instruction 1332.28.
- **a.** The applicant requests an upgrade to general. The applicant's DD Form 214 authenticated by their signature indicates they received an under other than honorable conditions (UOTHC) discharge, this discharge is normally appropriate for a soldier who voluntary requests discharge in lieu of trial by courts-martial, CH 10.
- **b**. A review of the records provides at some point the applicant was charged with violating Article(s) of the UCMJ and they voluntarily requested to be discharged ILO trial by court-martial. Administrative irregularity occurred in the proper retention of records, specifically the AMHRR is void the applicant's complete separation packet, the charge sheet and the applicant's voluntary discharge request. Due to the lack of evidence, we are unable to provide all the specific facts and circumstances surrounding the applicant's court martial proceedings and their voluntary discharge request.

- **c.** A review of the available evidence provides the applicant enlisted in the Army at the age of 21, they advanced to rank of Sergeant, and deployed to Iraq. A DD Form 214 indicates the applicant had 5 years, 2 months, and 17 days of lost time. The applicant was separated under the provisions of AR 635-200, Chapter 10, in lieu of trial by court-martial, with an Under Other than Honorable Conditions characterization of service on 30 September 2019.
- **d.** Army Regulation 635-200 states a Chapter 10 is a voluntary discharge request in-lieu of trial by court martial. A discharge under other than honorable conditions normally is appropriate for a soldier who is discharged in lieu of trial by court-martial. However, the separation authority may direct a general discharge if such is merited by the soldier's overall record during the current enlistment. For soldiers who have completed entry-level status, characterization of service as honorable is not authorized unless the soldier's record is otherwise so meritorious that any other characterization clearly would be improper.
- **e.** Published Department of Defense guidance indicates that the guidance is not intended to interfere or impede on the Board's statutory independence. The Board will determine the relative weight of the action that led to the discharge and whether it supports relief or not. In reaching its determination, the Board shall consider the applicant's petition, available records and/or submitted documents in support of the petition.

#### 9. BOARD DISCUSSION AND DETERMINATION:

- **a.** As directed by the 2017 memo signed by A.M. Kurta, the board considered the following factors:
- (1) Did the applicant have a condition or experience that may excuse or mitigate the discharge? **Yes**. The Board's Medical Advisor, a voting member, reviewed the applicant's DOD and VA health records, applicant's statement, and/or civilian provider documentation and found that the applicant has the following potentially-mitigating diagnoses/experiences: PTSD.
- **(2)** Did the condition exist, or experience occur during military service? **Yes.** The Board's Medical Advisor found PTSD diagnosis was made during active service. VA service connection for PTSD establishes nexus with active service.
- (3) Does the condition or experience actually excuse or mitigate the discharge? **Yes.** The Board's Medical Advisor applied liberal consideration and opined that the applicant has a mitigating BH condition, PTSD. As there is an association between PTSD and avoidant behavior, there is a nexus between PTSD and their period of AWOL.
- (4) Does the condition or experience outweigh the discharge? Yes. Based on liberally considering all the evidence before the Board, the ADRB determined that the condition (PTSD) outweighed the offense of AWOL.
  - **b.** Prior Decisions Cited: None.
  - **c.** Response to Contention(s):
- (1) The applicant contends that going AWOL was unbecoming of a non-commissioned officer and soldier. Their life positively changed since they decided to leave the Army, they have never been arrested or investigated for any crime while they were AWOL and they stopped drinking in 2016. They turned themselves in to military control peacefully, received mental health assistance and were diagnosed with PTSD and TBI. They completed AMIOP on 8 August 2019.

The Board considered this contention and determined that it was valid due to the applicant's Post Traumatic Stress Disorder outweighing the applicant's AWOL offense. Therefore, a discharge upgrade is warranted.

- **d.** The Board determined the discharge is inequitable based on the circumstances surrounding the discharge, PTSD diagnosis, mitigated the applicant's AWOL offense. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed the separation authority to AR 635-200, paragraph 14- 12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN. The Board voted not to change the RE code 4, as the code is consistent with the procedural and substantive requirements of the regulation.
  - e. Rationale for Decision:
- (1) The Board voted to upgrade the applicant's characterization of service to Honorable because the applicant's Post Traumatic Stress Disorder outweighed the applicant's AWOL offense. Thus, the prior characterization is no longer appropriate.
- (2) The Board voted to change the reason for discharge to Misconduct (Minor Infractions) under the same pretexts, therefore the reason for discharge is no longer appropriate. The SPD code associated with the new reason for discharge is JKN.
- (3) The RE code will not change, as the current code is consistent with the procedural and substantive requirements of the regulation.

#### 10. BOARD ACTION DIRECTED:

a. Issue a New DD-214 / Separation Order: Yes

**b.** Change Characterization to: Honorable

c. Change Reason / SPD code to: Misconduct (Minor Infractions)/JKN

d. Change RE Code to: No Change

e. Change Authority to: AR 635-200

### **Authenticating Official:**



AWOL – Absent Without Leave AMHRR – Army Military Human Resource Record

BCD – Bad Conduct Discharge BH – Behavioral Health CG – Company Grade Article 15

CID – Criminal Investigation Division ELS – Entry Level Status FG – Field Grade Article 15 GD – General Discharge HS – High School

HD – Honorable Discharge IADT – Initial Active Duty Training MP – Military Police

MST – Military Sexual Trauma N/A – Not applicable NCO – Noncommissioned Officer NIF – Not in File

NOS – Not Otherwise Specified

OAD – Ordered to Active Duty OBH (I) – Other Behavioral Health (Issues)

OMPF – Official Military Personnel File PTSD – Post-Traumatic Stress

Disorder
RE – Re-entry

SCM – Summary Court Martial SPCM – Special Court Martial SPD – Separation Program Designator

TBI – Traumatic Brain Injury UNC – Uncharacterized Discharge UOTHC – Under Other Than Honorable Conditions

VA – Department of Veterans Affairs