- 1. Applicant's Name:
 - a. Application Date: 30 December 2020
 - b. Date Received: 7 January 2021
 - c. Counsel: None
- 2. REQUEST, ISSUES, BOARD TYPE, AND DECISION:

a. Applicant's Requests and Issues: The current characterization of service for the period under review is under honorable conditions (general). The applicant requests an upgrade to honorable and a narrative reason change.

b. The applicant states, in effect that after deployment their life changed in ways that they did not anticipate, and in ways that many of their peers or superiors could not understand. They have since been diagnosed with PTSD and have completed various treatments. In 2013 they testified in court against another service member, that was subsequently convicted for murder. That experience and the horrible deployment led to them leaving the army sooner than they anticipated with a discharge they are ashamed of. They do not think their isolated event should have warranted a discharge or the unfavorable general characterization of service.

c. When they got back from deployment they were not in a good environment, they felt distant in their new unit and was facing daily troubles and was unable to tell their new leadership about their reoccurring delusions and fears of deploying again with new people that they did not trust. They had combat trauma and was mourning the loss of close friends that were killed during deployment, they witnessed several other soldiers from their platoon come back from deployment with life changing injuries from many of the missions they were a part of. They did not believe it was possible for them to be alive after returning from deployment and it was difficult for them to make sense of living in a non-combat area.

d. While coping with the deployment trauma, negative attention arouse from an upcoming trial that they served as a witness on. A few people were concerned with their lingering guilt for the civilian's deaths, and the court martial proceeding against another solider for murder. Their PTSD was undiagnosed and untreated, they resorted to drinking alcohol in effort to self-medicate and was heavily punished for doing so. They want help restoring honor in their military service.

e. Board Type and Decision: In a records review conducted on 08 December 2023, and by a 5-0 vote, the Board determined the discharge is inequitable based on the applicant's length, quality, combat services and in-service diagnosis of Anxiety DO NOS; Depression; Adjustment DO with anxiety and severe PTSD. The applicant's severe PTSD condition (100 percent service connection by the VA and housebound), which caused the misconduct, further mitigates all of the misconduct. Therefore, the Board voted to grant relief in the form of an upgrade of the characterization of service to Honorable and changed the separation authority to AR 635-200, Chapter 15, and the narrative reason for separation to Secretarial Authority, with a corresponding separation code to JFF. The Board determined the RE code was proper and equitable and voted not to change it.

3. DISCHARGE DETAILS:

a. Reason / Authority / Codes / Characterization: Misconduct (Serious Offense) / AR 635-200, CH 14-12c / JKQ / RE-3 / Under Honorable Conditions (General).

b. Date of Discharge: 31 July 2013

- c. Separation Facts:
 - (1) Date of Notification of Intent to Separate: 26 June 2013

(2) Basis for Separation: wrongfully consumed alcohol while under the legal age of 21 years old.

(3) **Recommended Characterization:** General (Under Honorable Conditions)

(4) Legal Consultation Date: NIF

(5) Administrative Separation Board: N/A

(6) Separation Decision Date / Characterization: 11 July 2013 / General (Under Honorable Conditions)

- 4. SERVICE DETAILS:
 - a. Date / Period of Enlistment: 11 July 2011 / 4 years, 21 weeks
 - b. Age at Enlistment / Education / GT Score: 18 / NIF / 114

c. Highest Grade Achieved / MOS / Total Service: NIF / 13F1P Fire Support Specialist / 2 years, 20 days

d. Prior Service / Characterizations: None

e. Overseas Service / Combat Service: None / Afghanistan; 24 February 2012 – 7 September 2012

- f. Awards and Decorations: ARCOM, ACM-CS, CAB, NDSM, GWTSM, ASR, NM,
- g. Performance Ratings: N/A
- h. Disciplinary Action(s) / Evidentiary Record:

(1) An Enlistment/ Reenlistment Document provides the applicant enlisted in the United States Army Reserve at the rank of (E-1) with an active duty obligation of 4 years and 21 weeks on 14 October 2010.

(2) On 26 June 2013 the applicant's immediate commander notified them of their intent to separate them for wrongfully consuming alcohol while under the legal age of 21, under the provisions of AR 635-200, Chapter 14-12c (Serious Offense) with a general (under honorable conditions) recommended characterization of service. On 2 July 2013 the applicant acknowledged the commander's notification and basis for separation, their available rights, to include the right to consult with counsel prior to submitting their election of rights.

• The commanders report provides the applicant consumed alcohol on 2 May 2013 while under the legal age of 21 years old

- They received a NJP for failing to go to their place of duty, and for consuming alcohol; they received a reduction in rank to private (E-1) and forfeiture of \$758 pay for two months
- (3) On 9 July 2013, the chain of command endorsed the commander's

recommendation to separate the applicant prior to their expiration terms of service with a general (under honorable conditions). On 11 May 2013 the appropriate authority approved the separation and directed the applicant be separated with a General (under honorable conditions) characterization of service.

(4) A DD Form 214 shows on 31 July 2013 the applicant was discharged accordingly, they completed a total active service of 2 years and 20 days.

i. Lost Time / Mode of Return: none

j. Behavioral Health Condition(s):

(1) **Applicant provided:** None; they applicant states they were diagnosed PTSD however they did not provide any documentation to support their diagnosis.

(2) AMHRR Listed: None

5. APPLICANT-PROVIDED EVIDENCE: DD Form 293 (Discharge Review) application, and two additional enclosers in support of their application.

- A memorandum date 7 August 2013, that provides the applicant served as a key witness for prosecution during a court martial, they witnessed the defendant commit multiple crimes while on patrol in Afghanistan.
- A Character reference from a bestselling Author dated 29 July 2020, provides the applicant is a subject in the authors forthcoming book, they described the applicant as "extraordinary" and someone who served with great courage and dignity in the war in Afghanistan.
- 6. POST SERVICE ACCOMPLISHMENTS: None submitted in support of the application.

7. STATUTORY, REGULATORY AND POLICY REFERENCE(S):

a. Section 1553, Title 10, United States Code (Review of Discharge or Dismissal) provides for the creation, composition, and scope of review conducted by a Discharge Review Board(s) within established governing standards. As amended by Sections 521 and 525 of the National Defense Authorization Act for Fiscal Year 2020, 10 USC 1553 provides specific guidance to the Military Boards for Correction of Military/Naval Records and Discharge Review Boards when considering discharge upgrade requests by Veterans claiming Post Traumatic Stress Disorder (PTSD), Traumatic Brain Injury (TBI), sexual trauma, intimate partner violence (IPV), or spousal abuse, as a basis for discharge review. The amended guidance provides that Boards will include, as a voting board member, a physician trained in mental health disorders, a clinical psychologist, or a psychiatrist when the discharge upgrade claim asserts a mental health condition, including PTSD, TBI, sexual trauma, IPV, or spousal abuse, as a basis for the discharge provides that Military Boards for Correction of Military/Naval Records and Discharge Review Boards will develop and provide specialized training specific to sexual trauma, IPV, spousal abuse, as well as the various responses of individuals to trauma.

b. Multiple Department of Defense Policy Guidance Memoranda published between 2014 and 2018. The documents are commonly referred to by the signatory authorities' last names (2014 Secretary of Defense Guidance [Hagel memo], 2016 Acting Principal Deputy Under Secretary of Defense for Personnel and Readiness [Carson memo], 2017 Official Performing the Duties of the Under Secretary of Defense for Personnel and Readiness [Kurta memo], and 2018 Under Secretary of Defense for Personnel and Readiness [Wilkie memo].

(1) Individually and collectively, these documents provide further clarification to the Military Discharge Review Boards and Boards for Correction of Military/Naval Records when considering requests by Veterans for modification of their discharge due to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Liberal consideration will be given to Veterans petitioning for discharge relief when the application for relief is based in whole or in part on matters relating to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Special consideration will be given to Department of Veterans Affairs (VA) determinations that document a mental health condition, including PTSD; TBI; or sexual assault/harassment potentially contributed to the circumstances resulting in a less than honorable discharge characterization. Special consideration will also be given in cases where a civilian provider confers diagnoses of a mental health condition, including PTSD; TBI; or sexual assault/harassment if the case records contain narratives supporting symptomatology at the time of service or when any other evidence which may reasonably indicate that a mental health condition, including PTSD; TBI; or sexual assault/harassment existed at the time of discharge might have mitigated the misconduct that caused a discharge of lesser characterization.

(2) Conditions documented in the service record that can reasonably be determined to have existed at the time of discharge will be considered to have existed at the time of discharge. In cases in which a mental health condition, including PTSD; TBI; or sexual assault/harassment may be reasonably determined to have existed at the time of discharge, those conditions will be considered potential mitigating factors in the misconduct that caused the characterization of service in question. All Boards will exercise caution in weighing evidence of mitigation in cases in which serious misconduct precipitated a discharge with a less than Honorable characterization of service. Potentially mitigating evidence of the existence of undiagnosed combat related PTSD, PTSD-related conditions due to TBI or sexual assault/harassment as causative factors in the misconduct resulting in discharge will be carefully weighed against the severity of the misconduct. PTSD is not a likely cause of premeditated misconduct. Caution shall be exercised in weighing evidence of mitigation in all cases of misconduct by carefully considering the likely causal relationship of symptoms to the misconduct.

c. Army Regulation 15-180 (Army Discharge Review Board), dated 25 September 2019, sets forth the policies and procedures under which the Army Discharge Review Board is authorized to review the character, reason, and authority of any Servicemember discharged from active military service within 15 years of the Servicemember's date of discharge. Additionally, it prescribes actions and composition of the Army Discharge Review Board under Public Law 95-126; Section 1553, Title 10 United States Code; and Department of Defense Directive 1332.41 and Instruction 1332.28.

d. Army Regulation 635-200 provides the basic authority for the separation of enlisted personnel provides the authorized types of characterization of service or description of separation.

(1) An Honorable discharge is a separation with honor and is appropriate when the quality of the Soldier's service generally has met the standards of acceptable conduct and performance of duty for Army personnel or is otherwise so meritorious that any other characterization would be clearly inappropriate.

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(2) A General discharge is a separation from the Army under honorable conditions and is issued to a Soldier whose military record is satisfactory but not sufficiently meritorious to warrant an honorable discharge.

(3) An Under other-than-honorable-conditions discharge is an administrative separation from the Service under conditions other than honorable and it may be issued for misconduct, fraudulent entry, security reasons, or in lieu of trial by court martial based on certain circumstances or patterns of behavior or acts or omissions that constitute a significant departure from the conduct expected of Soldiers in the Army.

(4) Except as otherwise indicated in this regulation, commanders must make maximum use of counseling and rehabilitation before determining that a Soldier has no potential for further useful service and, therefore, should be separated. In this regard, commanders will ensure that adequate counseling and rehabilitative measures are taken before initiating separation proceedings for the following reasons. Rehabilitative requirements are not required for individuals separated under Chapter 14-12c.

- Involuntary separation due to parenthood
- Personality disorder
- Other designated physical or mental conditions
- Entry-level performance and conduct
- Unsatisfactory performance
- Minor disciplinary infractions or a pattern of misconduct
- Failure to meet body fat standards

(5) Chapter 14 establishes policy and prescribes procedures for separating members for misconduct. Specific categories include minor disciplinary infractions, a pattern of misconduct, and commission of a serious offense, to include abuse of illegal drugs, convictions by civil authorities and desertion or being absent without leave. Action will be taken to separate a member for misconduct when it is clearly established that rehabilitation is impractical or unlikely to succeed. A discharge under other than honorable conditions is normally appropriate for a Soldier discharged under this chapter. However, the separation authority may direct a general discharge if such is merited by the Soldier's overall record. A soldier subject to this discharge under this regulation will be considered and processed for discharge even though he/she has filed an appeal or has stated his/her intention to do so. Paragraph 14-12c, states a Soldier is subject to action per this section for commission of a serious military or civilian offense, if the specific circumstances of the offense warrant separation and a punitive discharge is, or would be, authorized for the same or a closely related offense under the Manual for Courts-Martial.

(6) Chapter 15 provides explicitly for separation under the prerogative of the Secretary of the Army. Secretarial plenary separation authority is exercised sparingly and seldom delegated. Ordinarily, it is used when no other provision of this regulation applies, and early separation is clearly in the Army's best interest. Separations under this paragraph are effective only if approved in writing by the Secretary of the Army or the Secretary's approved designee as announced in updated memoranda. Secretarial separation authority is normally exercised on a case-by-case basis.

e. Army Regulation 600-85 (Army Substance Abuse Program (ASAP)) governs the program and identifies Army policy on alcohol and other drug abuse, and responsibilities. The ASAP is a command program that emphasizes readiness and personal responsibility. It provides the ultimate decision regarding separation or retention of abusers is the responsibility of the Soldier's chain of command. Abuse of alcohol or the use of illicit drugs by military

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personnel is inconsistent with Army values and the standards of performance, discipline, and readiness necessary to accomplish the Army's missions. Individuals who do not self-refer for treatment and are subsequently identified as positive for controlled substances for which they do not have a valid prescription may be considered in violation of the UCMJ for drug misuse/abuse.

f. Army Regulation 635-5-1 (Separation Program Designator (SPD) Codes) provides the specific authorities (regulatory or directive), reasons for separating Soldiers from active duty, and the SPD codes to be entered on the DD Form 214. It identifies the SPD code of "JKQ" as the appropriate code to assign enlisted Soldiers who are discharged under the provisions of Army Regulation 635-200, Chapter 14, paragraph 12c, misconduct (serious offense).

g. Army Regulation 601-210, Regular Army and Reserve Components Enlistment Program, governs eligibility criteria, policies, and procedures for enlistment and processing of persons into the Regular Army, the U.S. Army Reserve, and Army National Guard for enlistment per DODI 1304.26. It also prescribes the appointment, reassignment, management, and mobilization of Reserve Officers' Training Corps cadets under the Simultaneous Membership Program. Chapter 4 provides the criteria and procedures for waiverable and nonwaiverable separations. Table 3-1, defines reentry eligibility (RE) codes:

- RE-1 Applies to: Person completing his or her term of active service who is considered qualified to reenter the U.S. Army. Eligibility: Qualified for enlistment if all other criteria are met
- RE-3 Applies to: Person who is not considered fully qualified for reentry or continuous service at time of separation, but disqualification is waiverable. Eligibility: Ineligible unless a waiver is granted
- RE-4 Applies to: Person separated from last period of service with a nonwaiverable disqualification. This includes anyone with a DA imposed bar to reenlistment in effect at time of separation or separated for any reason (except length of service retirement) with 18 or more years active Federal service. Eligibility: Ineligible for enlistment

8. SUMMARY OF FACT(S): The Army Discharge Review Board considers applications for upgrade as instructed by Department of Defense Instruction 1332.28.

a. The applicant requests an upgrade to honorable. The applicant's DD-214 provides the applicant received a General (under honorable conditions) characterization of service, rather than an under other than honorable conditions (UOTCH) discharge which is normally considered appropriate for a soldier discharged for serious misconduct.

b. Based on the available evidence the applicant enlisted in the army at the age 18 and deployed to Afghanistan 7 months after they entered active duty. They received a non-judicial punishment for drinking alcohol while under the legal age of 21 and was processed for administrative separation.

c. Review of the record provides administrative irregularity in the proper retention of official records, specifically, the AMHRR is void of documents to support is the applicant consulted with or waived legal counsel. A medical and Mental health separation examination was required and the AMHRR is void of those examinations. Notwithstanding the missing evidence, the record provides the applicant was notified of the intent to separate them under the provisions of Army Regulation 635-200, Chapter 14-12c, they acknowledged receipt of the separation notice and a

properly constituted DD Form 214 shows the applicant was separated under the provisions of Army Regulation 635-200 with a general (under honorable conditions) characterization of service.

d. Chapter 14 establishes policy and prescribes procedures for members being separated for misconduct. Specific categories include minor disciplinary infractions, a pattern of misconduct, commission of a serious offense and convictions by civil authorities. Action will be taken to separate a member for misconduct when it is clearly established that rehabilitation is impracticable or is unlikely to succeed. A discharge under other than honorable conditions is normally appropriate for a soldier discharged under this chapter. However, the separation authority may direct a general discharge if such is merited by the soldier's overall record.

e. Published Department of Defense guidance indicates that the guidance is not intended to interfere or impede on the Board's statutory independence. The Board will determine the relative weight of the action that led to the discharge and whether it supports relief or not. In reaching its determination, the Board shall consider the applicant's petition, available records and/or submitted documents in support of the petition.

9. BOARD DISCUSSION AND DETERMINATION:

a. As directed by the 2017 memo signed by A.M. Kurta, the board considered the following factors:

(1) Did the applicant have a condition or experience that may excuse or mitigate the discharge? **Yes.** The Board's Medical Advisor, a voting member, reviewed the applicant's DOD and VA health records, applicant's statement, and/or civilian provider documentation and found that the applicant has the following potentially mitigating diagnoses/experiences: Anxiety DO NOS; Depression; Adjustment DO with anxiety; PTSD (100%SC).

(2) Did the condition exist, or experience occur during military service? **Yes.** The Board's Medical Advisor found that Anxiety DO NOS; Depression; Adjustment DO with anxiety occurred were diagnosed during active service. VA service connection for PTSD establishes it began during active duty.

(3) Does the condition or experience actually excuse or mitigate the discharge? **Yes.** The Board's Medical Advisor applied liberal consideration and opined that the applicant has a mitigating BH condition, severe PTSD [Note-the diagnoses of Depression and Anxiety DO NOS are subsumed under the diagnosis of PTSD], which caused the misconduct for which the applicant was charged. As there is an association between PTSD and use of alcohol to self-medicate symptoms, there is a nexus between the applicant's diagnosis of PTSD and his offense of underage drinking.

(4) Does the condition or experience outweigh the discharge? **Yes.** The Board concurred with the opinion of the Board's Medical Advisor, a voting member. As a result, the ADRB applied liberal consideration and found that the applicant's Adjustment Disorder, Anxiety Disorder, severe PTSD and homebound, outweighed the applicant's misconduct - failure to report to place of duty and wrongfully consumed alcohol while under the legal age of 21 years old basis of separation.

b. Response to Contention(s):

(1) The applicant requests an upgrade to honorable and a narrative reason change. The Board considered this contention and determined that the applicant's characterization of service and narrative reason for separation code warranted an upgrade based on the applicant's length,

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quality, combat services and severe PTSD outweighing the applicant's misconduct - failure to report to place of duty and wrongfully consumed alcohol while under the legal age of 21 years old basis of separation.

(2) The applicant contends after deployment their life changed and they were diagnosed with PTSD after being discharged. The Board considered this contention during proceedings, but ultimately did not address the contention due to an upgrade being granted based on the information outlined above in 9a (4) and 9b (1).

c. The Board determined the discharge is inequitable based on the applicant's PTSD mitigating the applicant's misconduct. Therefore, the board voted to grant relief in the form of an upgrade of the characterization of service to honorable and changed the separation authority to AR 635-200, Chapter 15, and the narrative reason for separation to Secretarial Authority, with a corresponding separation code to JFF.

d. Rationale for Decision:

(1) The Board voted to change the applicant's characterization of service to Honorable because the applicant's PTSD outweighed the applicant's misconduct - failure to report to place of duty and wrongfully consumed alcohol while under the legal age of 21 years old basis of separation. Thus, the prior characterization is no longer appropriate.

(2) The Board voted to change the reason for discharge to Secretarial Authority under the same pretexts, thus the reason for discharge is no longer appropriate. The SPD code associated with the new reason for discharge is JFF.

(3) The RE code will not change, as the current code is consistent with the procedural and substantive requirements of the regulation.

10. BOARD ACTION DIRECTED:

- a. Issue a New DD-214 / Separation Order: Yes
- b. Change Characterization to: Honorable
- c. Change Reason / SPD code to: Secretarial Authority/JFF
- d. Change RE Code to: No Change
- e. Change Authority to: AR 635-200, Chapter 15

Authenticating Official:



Legend: AWOL – Absent Without Leave AMHRR – Army Military Human Resource Record BCD – Bad Conduct Discharge BH – Behavioral Health CG – Company Grade Article 15 CID – Criminal Investigation Division ELS – Entry Level Status FG – Field Grade Article 15

GD – General Discharge HS – High School HD – Honorable Discharge IADT – Initial Active Duty Training MP – Military Police MST – Military Sexual Trauma N/A – Not applicable NCO – Noncommissioned Officer NIF – Not in File

NOS – Not Otherwise Specified

OAD – Ordered to Active Duty OBH (I) – Other Behavioral Health (Issues) OMPF – Official Military Personnel File PTSD – Post-Traumatic Stress Disorder RE – Re-entry SCM – Summary Court Martial SPCM – Special Court Martial SPD – Separation Program Designator TBI – Traumatic Brain Injury UNC – Uncharacterized Discharge UOTHC – Under Other Than Honorable Conditions VA – Department of Veterans Affairs