# 1. Applicant's Name:

- a. Application Date: 10 January 2023
- b. Date Received: 17 January 2023
- c. Counsel: None
- 2. REQUEST, ISSUES, BOARD TYPE, AND DECISION:

# a. Applicant's Requests and Issues:

(1) The current characterization of service for the period under review is an under than honorable conditions. The applicant requests reconsideration of their characterization of service.

(2) The applicant seeks relief stating they made a lot of mistakes when they were younger, and they have learned from their mistakes. Based on their mistake they feel the punishment has served its purpose and they would like the Board to reconsider their character of service.

**b.** Board Type and Decision: In a records review conducted on 31 January 2024, and by a 5-0 vote, the Board denied the request upon finding the separation was both proper and equitable.

Please see Section 9 of this document for more detail regarding the Board's decision. Board member names available upon request.

## 3. DISCHARGE DETAILS:

a. Reason / Authority / Codes / Characterization: In Lieu of Trial by Court-Martial / Army Regulation 635-200, Chapter 10 / KFS / RE-4 / Under Other Than Honorable Conditions

- b. Date of Discharge: 20 February 2013
- c. Separation Facts:
  - (1) Date and Charges Preferred (DD Form 458, Charge Sheet): NIF
  - (2) Legal Consultation Date: NIF
  - (3) Basis for Separation: NIF
  - (4) Recommended Characterization: NIF
  - (5) Separation Decision Date / Characterization: NIF
- 4. SERVICE DETAILS:
  - a. Date / Period of Enlistment: 24 January 2009 / NIF
  - b. Age at Enlistment / Education / GT Score: 25 / HS Graduate / 87

**c.** Highest Grade Achieved / MOS / Total Service: E-5 / 88M20, Motor Transport Operator / 6 years, 3 months, 27 days.

 d. Prior Service / Characterizations: ARNG, 25 April 2003 – 23 October 2006, HD IADT, 30 September 2003 – 6 February 2004, HD (Concurrent) AD, 7 June 2004 – 21 August 2005, HD (Concurrent)

e. Overseas Service / Combat Service: Germany, SWA / Iraq (11 May 2007 – 12 July 2008, 29 October 2009 – 18 October 2010)

f. Awards and Decorations: ICM-3CS, ARCOM-3, AAM, AGCM-2, NDSM, GWTEM, GWTSM, NCOPDR, ASR, OSR-3, AFRM

g. Performance Ratings: 1 September 2008 – 30 June 2009 / Fully Capable

1 July 2009 – 30 June 2010 / Fully Capable

1 July 2010 – 14 February 2011 / Fully Capable

15 February 2011 – 14 February 2012 / Among The Best

**h.** Disciplinary Action(s) / Evidentiary Record: A DD Form 214 (Certificate of Release or Discharge from Active Duty) reflects the applicant was discharged on 20 February 2013. The DD Form 214 shows in –

- item 4a (Grade, Rate or Rank) Private
- item 4b (Pay Grade) E-1
- item 12c (Net Active Service This Period) 6 years 3 months, 27 days
- item 12i (Effective Date of Pay Grade) 13 February 2013
- item 18 (Remarks)
  - Continuous Honorable Active Service 20061024 20090123
  - Member has completed first full term of service
- item 24 (Character of Service) Under Other Than Honorable Conditions
- item 26 (Separation Code) KFS [In Lieu of Trial by Court-Martial]
- item 27 (Reentry Code) 4
- item 28 (Narrative Reason for Separation) In Lieu of Trial by Court-Martial
- i. Lost Time / Mode of Return: None

# j. Behavioral Health Condition(s): None

(1) Applicant provided: Department of Veterans Affairs (VA) disability rating decision, dated 14 September 2012, reflecting the applicant disability for Post-Traumatic Stress Disorder (PTSD) with alcohol use disorder was increased 100-percent.

(2) AMHRR Listed: None

- 5. APPLICANT-PROVIDED EVIDENCE: None submitted in support of their petition.
  - DD Form 293 (Application for the Review of Discharge from the Armed Forces of the United States), with attached letter
  - five 3rd Party Statements, attesting to the applicant's character

- two VA letters and a Rating Decision, reflecting the applicant's 100-percent disability rating for PTSD, with alcohol use disorder
- 6. POST SERVICE ACCOMPLISHMENTS: None submitted with the application.

#### 7. STATUTORY, REGULATORY AND POLICY REFERENCE(S):

**a.** Title 10, U.S. Code, Section 1553, (Review of Discharge or Dismissal) provides for the creation, composition, and scope of review conducted by a Discharge Review Board(s) within established governing standards. As amended by Sections 521 and 525 of the National Defense Authorization Act for Fiscal Year 2020, Title 10, U.S. Code, Section 1553 provides specific guidance to the Military Boards for Correction of Military/Naval Records and Discharge Review Boards when considering discharge upgrade requests by Veterans claiming Post Traumatic Stress Disorder (PTSD), Traumatic Brain Injury (TBI), sexual trauma, intimate partner violence (IPV), or spousal abuse, as a basis for discharge review. The amended guidance provides that Boards will include, as a voting board member, a physician trained in mental health disorders, a clinical psychologist, or a psychiatrist when the discharge upgrade claim asserts a mental health condition, including PTSD, TBI, sexual trauma, IPV, or spousal abuse, as a basis for the discharge. Further, the guidance provides that Military Boards for Correction of Military/Naval Records and Discharge Review Boards will develop and provide specialized training specific to sexual trauma, IPV, spousal abuse, as well as the various responses of individuals to trauma.

**b.** Multiple Department of Defense (DoD) Policy Guidance Memoranda published between 2014 and 2018. The documents are commonly referred to by the signatory authorities' last names (2014 Secretary of Defense Guidance [Hagel memo], 2016 Acting Principal Deputy Under Secretary of Defense for Personnel and Readiness [Carson memo], 2017 Official Performing the Duties of the Under Secretary of Defense for Personnel and Readiness [Kurta memo], and 2018 Under Secretary of Defense for Personnel and Readiness [Wilkie memo].

(1) Individually and collectively, these documents provide further clarification to the Military Discharge Review Boards and Boards for Correction of Military/Naval Records when considering requests by Veterans for modification of their discharge due to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Liberal consideration will be given to Veterans petitioning for discharge relief when the application for relief is based in whole or in part on matters relating to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Special consideration will be given to Department of Veterans Affairs (VA) determinations that document a mental health condition, including PTSD; TBI; or sexual assault/harassment potentially contributed to the circumstances resulting in a less than honorable discharge characterization. Special consideration will also be given in cases where a civilian provider confers diagnoses of a mental health condition, including PTSD; TBI; or sexual assault/harassment if the case records contain narratives supporting symptomatology at the time of service or when any other evidence which may reasonably indicate that a mental health condition, including PTSD; TBI; or sexual assault/harassment existed at the time of discharge might have mitigated the misconduct that caused a discharge of lesser characterization.

(2) Conditions documented in the service record that can reasonably be determined to have existed at the time of discharge will be considered to have existed at the time of discharge. In cases in which a mental health condition, including PTSD; TBI; or sexual assault/harassment may be reasonably determined to have existed at the time of discharge, those conditions will be considered potential mitigating factors in the misconduct that caused the characterization of service in question. All Boards will exercise caution in weighing evidence of mitigation in cases in which serious misconduct precipitated a discharge with a less than Honorable

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characterization of service. Potentially mitigating evidence of the existence of undiagnosed combat related PTSD, PTSD-related conditions due to TBI or sexual assault/harassment as causative factors in the misconduct resulting in discharge will be carefully weighed against the severity of the misconduct. PTSD is not a likely cause of premeditated misconduct. Caution shall be exercised in weighing evidence of mitigation in all cases of misconduct by carefully considering the likely causal relationship of symptoms to the misconduct.

**c.** Army Regulation 15-180 (Army Discharge Review Board), dated 25 September 2019, sets forth the policies and procedures under which the Army Discharge Review Board is authorized to review the character, reason, and authority of any Servicemember discharged from active military service within 15 years of the Servicemember's date of discharge. Additionally, it prescribes actions and composition of the Army Discharge Review Board under Public Law 95-126; Section 1553, Title 10 United States Code; and Department of Defense Directive 1332.41 and Instruction 1332.28.

**d.** Army Regulation 635-200 (Active Duty Enlisted Administrative Separations), 6 September 2011, set policies, standards, and procedures to ensure the readiness and competency of the force while providing for the orderly administrative separation of Soldiers for a variety of reasons. Readiness is promoted by maintaining high standards of conduct and performance.

(1) An Honorable discharge is a separation with honor and is appropriate when the quality of the Soldier's service generally has met the standards of acceptable conduct and performance of duty for Army personnel or is otherwise so meritorious that any other characterization would be clearly inappropriate.

(2) A General discharge is a separation from the Army under honorable conditions and is issued to a Soldier whose military record is satisfactory but not sufficiently meritorious to warrant an honorable discharge.

(3) An Under other-than-honorable-conditions discharge is an administrative separation from the Service under conditions other than honorable and it may be issued for misconduct, fraudulent entry, security reasons, or in lieu of trial by court martial based on certain circumstances or patterns of behavior or acts or omissions that constitute a significant departure from the conduct expected of Soldiers in the Army.

(4) A separation will be described as entry-level with service uncharacterized if processing is initiated while a Soldier is in entry-level status, except when: (1) Characterization under other than honorable conditions is authorized under the reason for separation and is warranted by the circumstances of the case. (2) The Soldier has less than 181 days of continuous active military service, has completed Initial Entry Training (IET), has been awarded a Military Occupational Specialty (MOS), and has reported for duty at a follow-on unit of assignment.

(5) Chapter 10 (Discharge in Lieu of Trial by Court-Martial) stated a Soldier who has committed an offense or offenses, the punishment for which under the Uniform Code of Military Justice and the Manual or Courts-Martial, 2012, includes a bad conduct or dishonorable discharge, may submit a request for discharge in lieu of trial by court-martial. The Soldier's written request will include an acknowledgment that he/she understands the elements of the offense(s) charged and is guilty of the charge(s) or of a lesser included offense(s) therein contained which also authorizes the imposition of a punitive discharge.

(6) Paragraph 10-8 (Types of Discharge, Characterization of Service) stated a discharge under other than honorable conditions normally is appropriate for a Soldier who is discharged in lieu of trial by court-martial. However, the separation authority may direct a general discharge if such is merited by the Soldier's overall record during the current enlistment. For Soldiers who have completed entry-level status, characterization of service as honorable is not authorized unless the Soldier's record is otherwise so meritorious that any other characterization clearly would be improper. When characterization of service will be uncharacterized.

(7) Chapter 15 (Secretarial Plenary Authority), currently in effect, provides explicitly for separation under the prerogative of the Secretary of the Army. Secretarial plenary separation authority is exercised sparingly and seldom delegated. Ordinarily, it is used when no other provision of this regulation applies, and early separation is clearly in the Army's best interest. Separations under this paragraph are effective only if approved in writing by the Secretary of the Army or the Secretary's approved designee as announced in updated memoranda. Secretarial separation authority is normally exercised on a case-by-case basis.

**e.** Army Regulation 635-5-1 (Separation Program Designator (SPD) Codes) provides the specific authorities (regulatory or directive), reasons for separating Soldiers from active duty, and the SPD codes to be entered on the DD Form 214. It identifies the SPD code of "KFS" as the appropriate code to assign enlisted Soldiers who are discharged under the provisions of Army Regulation 635-200, Chapter 10, In Lieu of Trial by Court-Martial.

**f.** Army Regulation 601-210 (Regular Army, and Reserve Components Enlistment Program) governs eligibility criteria, policies, and procedures for enlistment and processing of persons into the Regular Army, the U.S. Army Reserve, and Army National Guard for enlistment per Department of Defense Instructions 1304.26. It also prescribes the appointment, reassignment, management, and mobilization of Reserve Officers' Training Corps cadets under the Simultaneous Membership Program. Chapter 4 provides the criteria and procedures for waiverable and nonwaiverable separations. Table 3-1, defines reentry eligibility (RE) codes:

(1) RE-1 Applies to: Person completing his or her term of active service who is considered qualified to reenter the U.S. Army. Eligibility: Qualified for enlistment if all other criteria are met.

(2) RE-3 Applies to: Person who is not considered fully qualified for reentry or continuous service at time of separation, but disqualification is waiverable. Eligibility: Ineligible unless a waiver is granted.

(3) RE-4 Applies to: Person separated from last period of service with a nonwaiverable disqualification. This includes anyone with a DA imposed bar to reenlistment in effect at time of separation or separated for any reason (except length of service retirement) with 18 or more years active Federal service. Eligibility: Ineligible for enlistment.

**g.** Manual for Courts-Martial (2012 Edition), United States, states military law consists of the statutes governing the military establishment and regulations issued thereunder, the constitutional powers of the President and regulations issued thereunder, and the inherent authority of military commanders. Military law includes jurisdiction exercised by courts-martial and the jurisdiction exercised by commanders with respect to nonjudicial punishment. The purpose of military law is to promote justice, to assist in maintaining good orders and discipline in the Armed Forces. Article 86 (AWOL) states in subparagraph being absence without leave for more than 30 days, the maximum punishment consists of a dishonorable discharge, forfeiture of

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all pay and allowances, and confinement for 18 months.

#### 8. SUMMARY OF FACT(S):

a. The Army Discharge Review Board considers applications for upgrade as instructed by Department of Defense Instruction 1332.28.

**b.** A review of the available evidence provides an administrative irregularity in the proper retention of records, specifically the AMHRR is void of the case files for approved separation. Due to the lack of evidence the specific facts and circumstances surrounding the misconduct to be discharged, under the provisions of Army Regulation 635-200, paragraph 14-12b are unknown. Notwithstanding the absence of records, the DD Form 214, provides the applicant was discharged with a character of service of under other than honorable conditions, in lieu of trial by court-martial. They completed 6 years, 3 months, and 27 days of net active service this period and completed their first full term of service; however, their reenlistment document, dated 24 January 2009, is not in evidence showing their contractual service obligation.

c. Army Regulation 635-200 states a Chapter 10 is a voluntary discharge request in-lieu of trial by court-martial. A discharge under other than honorable conditions normally is appropriate for a Soldier who is discharged in lieu of trial by court-martial. However, the separation authority may direct a general discharge if such is merited by the Soldier's overall record during the current enlistment. For Soldiers who have completed entry-level status, characterization of service as honorable is not authorized unless the Soldier's record is otherwise so meritorious that any other characterization clearly would be improper.

d. The applicant's Army Military Human Resource Record contains no documentation of a diagnosis of PTSD during the applicant's term of service. The applicant provided a VA Rating Decision reflecting diagnosis of PTSD with alcohol use disorder, increased to 100-percent, effective 24 May 2022.

e. Published Department of Defense guidance indicates that the guidance is not intended to interfere or impede on the Board's statutory independence. The Board will determine the relative weight of the action that led to the discharge and whether it supports relief or not. In reaching its determination, the Board shall consider the applicant's petition, available records and/or submitted documents in support of the petition.

#### 9. BOARD DISCUSSION AND DETERMINATION:

**a.** As directed by the 2017 memo signed by **because** the board considered the following factors:

(1) Did the applicant have a condition or experience that may excuse or mitigate the discharge? Yes. The Board's Medical Advisor, a voting member, reviewed the applicant's DOD and VA health records, applicant's statement, and/or civilian provider documentation and found that the applicant has the following potentially mitigating diagnoses: The applicant was diagnosed in-service with Adjustment Disorder with a 2005 note indicating prior PTSD diagnosis due to deployment. Post-service, the applicant is 100% service connected for combat related PTSD.

(2) Did the condition exist, or experience occur during military service? Yes. The applicant was diagnosed in-service with Adjustment Disorder with a 2005 note indicating prior PTSD diagnosis due to deployment. He was an offender of IPV in-service.

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(3) Does the condition or experience actually excuse or mitigate the discharge? No. The Board's Medical Advisor applied liberal consideration and opined that the applicant engaged in multiple steps over time with clear goals not suggestive of a trauma reaction which is immediate, short-term, and without conscious goal.

(4) Does the condition or experience outweigh the discharge? **No.** After applying liberal consideration to the evidence, including the Board Medical Advisor opine, the Board determined that the available evidence did not support a conclusion that the applicant's Adjustment Disorder and PTSD outweighed the medically unmitigated Breaking and Entering; Assault; and Stalking with Intimate Partner Violence (IPV) events.

**b.** Response to Contention(s):

(1) The applicant contends stating they made a lot of mistakes when they were younger, and they have learned from their mistakes. The Board considered this contention and determined that the applicant's youth and immaturity did not outweigh the seriousness of the applicant's Breaking and Entering; Assault; and Stalking with Intimate Partner Violence (IPV) events.

(2) The applicant contends stating based on their mistake they feel the punishment has served its purpose and they would like the Board to reconsider their character of service. The Board considered this contention and determined that time since the incidents and discharge do not outweigh the misconduct based on the seriousness of the applicant's offense of Breaking and Entering; Assault; and Stalking with Intimate Partner Violence (IPV) events.

**c.** The Board determined that the discharge is, at this time, proper and equitable, in light of the current evidence of record. However, the applicant may request a personal appearance hearing to address the issues before the Board. The applicant is responsible for satisfying the burden of proof and providing documents or other evidence sufficient to support the applicant's contention(s) that the discharge was improper or inequitable.

**d.** Rationale for Decision:

(1) The Board voted not to change the applicant's characterization of service because, despite applying liberal consideration to all the evidence before the Board, the applicant's Adjustment Disorder and Post Traumatic Stress Disorder did not outweigh the applicant's offenses of Breaking and Entering; Assault; and Stalking with Intimate Partner Violence (IPV) events. The discharge was consistent with the procedural and substantive requirements of the regulation, was within the discretion of the separation authority, and the applicant was provided full administrative due process. Therefore, the applicant's Under Other Than Honorable Conditions discharge was proper and equitable as the applicant's conduct fell below that level of satisfactory service warranting a General discharge or meritorious service warranted for an upgrade to Honorable discharge.

(2) The Board voted not to change the applicant's reason for discharge or accompanying SPD code under the same pretexts, as the reason the applicant was discharged was both proper and equitable.

(3) The RE code will not change, as the current code is consistent with the procedural and substantive requirements of the regulation.

#### **10. BOARD ACTION DIRECTED:**

- a. Issue a New DD-214 / Separation Order: No
- b. Change Characterization to: No Change
- c. Change Reason / SPD Code to: No Change
- d. Change RE Code to: No Change
- e. Change Authority to: No Change

2/8/2024



Presiding Officer, COL, U.S. ARMY Army Discharge Review Board

Legend: AWOL – Absent Without Leave AMHRR – Army Military Human Resource Record BCD – Bad Conduct Discharge BH – Behavioral Health CG – Company Grade Article 15 CID - Criminal Investigation Division ELS – Entry Level Status FG – Field Grade Article 15

GD - General Discharge HS – High School HD – Honorable Discharge IADT - Initial Active Duty Training MP – Military Police MST – Military Sexual Trauma N/A - Not applicable NCO – Noncommissioned Officer NIF - Not in File NOS - Not Otherwise Specified

OAD - Ordered to Active Duty OBH (I) - Other Behavioral Health (Issues) OMPF – Official Military Personnel File PTSD – Post-Traumatic Stress Disorder RE - Re-entry SCM - Summary Court Martial SPCM – Special Court Martial

SPD - Separation Program Designator TBI – Traumatic Brain Injury UNC - Uncharacterized Discharge UOTHC – Under Other Than Honorable Conditions VA – Department of Veterans Affairs