1. Applicant's Name:

a. Application Date: 7 October 2023

b. Date Received: 16 October 2023

c. Counsel: None

2. REQUEST, ISSUES, BOARD TYPE, AND DECISION:

a. Applicant's Requests and Issues: The current characterization of service for the period under review is general (under honorable conditions). The applicant requests an upgrade to honorable.

The applicant seeks relief contending, in effect, the applicant was a loyal soldier but had issues adapting.

b. Board Type and Decision: In a records review conducted on 12 August 2024, and by a 5-0 vote, the board determined the discharge is inequitable based on the applicant's Adjustment Disorder, MDD, and PTSD mitigating the applicant's basis for separation – multiple failure to report, failure to obey a lawful order and disrespected an NCO. Therefore, the board voted to grant relief in the form of an upgrade of the characterization of service to honorable and change to the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN. The voted not to change the reentry code.

Please see Section 9 of this document for more detail regarding the Board's decision.

(Board member names available upon request)

3. DISCHARGE DETAILS:

- **a.** Reason / Authority / Codes / Characterization: Pattern of Misconduct / AR 635-200, Paragraph 14-12b / JKA / RE-3 / General (Under Honorable Conditions)
 - **b. Date of Discharge:** 4 December 2012
 - c. Separation Facts:
 - (1) Date of Notification of Intent to Separate: 15 October 2012
- **(2) Basis for Separation:** The applicant was informed of the following reasons: The applicant failed to report on diver's occasions; disrespected a noncommissioned officer on divers' occasions and failed to obey on or about 24 October 2011.
 - **(3) Recommended Characterization:** General (Under Honorable Conditions)
 - (4) Legal Consultation Date: 30 October 2012
 - (5) Administrative Separation Board: NA

- (6) Separation Decision Date / Characterization: 13 November 2012 / General (Under Honorable Conditions)
- 4. SERVICE DETAILS:
 - a. Date / Period of Enlistment: 23 August 2010 / 3 years, 17 weeks
 - b. Age at Enlistment / Education / GT Score: 18 / HS Graduate / 106
- c. Highest Grade Achieved / MOS / Total Service: E-3 / 19K10, M1 Armor Crewman / 2 years, 3 months, 12 days
 - d. Prior Service / Characterizations: None
 - e. Overseas Service / Combat Service: None
 - f. Awards and Decorations: NDSM, GWOTSM, ASR
 - g. Performance Ratings: NA
 - h. Disciplinary Action(s) / Evidentiary Record:
 - (a) The applicant was counseled on multiple occasions for various forms of misconduct.
 - **(b)** DA Form 268 (Report to Suspend Favorable Personnel Actions (FLAG), 5 June 2012, reflects a FLAG was initiated against the applicant due to elimination and APFT failure, effective 5 June 2012.
 - **(c)** Mental Status Evaluation (MSE), 10 July 2012, reflects the applicant could understand and participate in administrative proceedings. The applicant was diagnosed with adjustment disorder with depressed mood. The applicant had a negative screen for post-traumatic stress disorder (PTSD) and Mild Traumatic Brain Injury (TBI).
 - i. Lost Time / Mode of Return: None
 - j. Behavioral Health Condition(s):
 - (1) Applicant provided: None
 - (2) AMHRR Listed: See MSE as described in item 4h(c)
- 5. APPLICANT-PROVIDED EVIDENCE: DD Form 149
- **6. Post Service Accomplishments:** None submitted with the application.
- 7. STATUTORY, REGULATORY AND POLICY REFERENCE(S):
- **a.** Section 1553, Title 10, United States Code (Review of Discharge or Dismissal) provides for the creation, composition, and scope of review conducted by a Discharge Review Board(s) within established governing standards. As amended by Sections 521 and 525 of the National Defense Authorization Act for Fiscal Year 2020, 10 USC 1553 provides specific guidance to the Military Boards for Correction of Military/Naval

Records and Discharge Review Boards when considering discharge upgrade requests by Veterans claiming Post Traumatic Stress Disorder (PTSD), Traumatic Brain Injury (TBI), sexual trauma, intimate partner violence (IPV), or spousal abuse, as a basis for discharge review. The amended guidance provides that Boards will include, as a voting board member, a physician trained in mental health disorders, a clinical psychologist, or a psychiatrist when the discharge upgrade claim asserts a mental health condition, including PTSD, TBI, sexual trauma, IPV, or spousal abuse, as a basis for the discharge. Further, the guidance provides that Military Boards for Correction of Military/Naval Records and Discharge Review Boards will develop and provide specialized training specific to sexual trauma, IPV, spousal abuse, as well as the various responses of individuals to trauma.

- **b.** Multiple Department of Defense Policy Guidance Memoranda published between 2014 and 2018. The documents are commonly referred to by the signatory authorities' last names (2014 Secretary of Defense Guidance [Hagel memo], 2016 Acting Principal Deputy Under Secretary of Defense for Personnel and Readiness [Carson memo], 2017 Official Performing the Duties of the Under Secretary of Defense for Personnel and Readiness [Kurta memo], and 2018 Under Secretary of Defense for Personnel and Readiness [Wilkie memo].
- (1) Individually and collectively, these documents provide further clarification to the Military Discharge Review Boards and Boards for Correction of Military/Naval Records when considering requests by Veterans for modification of their discharge due to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Liberal consideration will be given to Veterans petitioning for discharge relief when the application for relief is based in whole or in part on matters relating to mental health conditions, including PTSD; TBI; sexual assault; or sexual harassment. Special consideration will be given to Department of Veterans Affairs (VA) determinations that document a mental health condition, including PTSD; TBI; or sexual assault/harassment potentially contributed to the circumstances resulting in a less than honorable discharge characterization. Special consideration will also be given in cases where a civilian provider confers diagnoses of a mental health condition, including PTSD; TBI; or sexual assault/harassment if the case records contain narratives supporting symptomatology at the time of service or when any other evidence which may reasonably indicate that a mental health condition, including PTSD; TBI; or sexual assault/harassment existed at the time of discharge might have mitigated the misconduct that caused a discharge of lesser characterization.
- determined to have existed at the time of discharge will be considered to have existed at the time of discharge. In cases in which a mental health condition, including PTSD; TBI; or sexual assault/harassment may be reasonably determined to have existed at the time of discharge, those conditions will be considered potential mitigating factors in the misconduct that caused the characterization of service in question. All Boards will exercise caution in weighing evidence of mitigation in cases in which serious misconduct precipitated a discharge with a less than Honorable characterization of service. Potentially mitigating evidence of the existence of undiagnosed combat related PTSD, PTSD-related conditions due to TBI or sexual assault/harassment as causative factors in the misconduct resulting in discharge will be carefully weighed against the severity of the misconduct. PTSD is not a likely cause of premeditated misconduct. Caution shall be exercised in weighing evidence of mitigation in all cases of misconduct by carefully considering the likely causal relationship of symptoms to the misconduct.

- **c.** Army Regulation 15-180 (Army Discharge Review Board), dated 25 September 2019, sets forth the policies and procedures under which the Army Discharge Review Board is authorized to review the character, reason, and authority of any Servicemember discharged from active military service within 15 years of the Servicemember's date of discharge. Additionally, it prescribes actions and composition of the Army Discharge Review Board under Public Law 95-126; Section 1553, Title 10 United States Code; and Department of Defense Directive 1332.41 and Instruction 1332.28.
- **d.** Army Regulation 635-200 provides the basic authority for the separation of enlisted personnel.
- (1) Chapter 3, Section II provides the authorized types of characterization of service or description of separation.
- (2) Paragraph 3-7a states an Honorable discharge is a separation with honor and is appropriate when the quality of the Soldier's service generally has met the standards of acceptable conduct and performance of duty for Army personnel or is otherwise so meritorious that any other characterization would be clearly inappropriate.
- (3) Paragraph 3-7b states a General discharge is a separation from the Army under honorable conditions and is issued to a Soldier whose military record is satisfactory but not sufficiently meritorious to warrant an honorable discharge.
- (4) Chapter 14 establishes policy and prescribes procedures for separating members for misconduct. Specific categories include minor disciplinary infractions, a pattern of misconduct, and commission of a serious offense, to include abuse of illegal drugs, convictions by civil authorities and desertion or being absent without leave. Action will be taken to separate a member for misconduct when it is clearly established that rehabilitation is impractical or unlikely to succeed.
- (5) Paragraph 14-3 prescribes a discharge under other than honorable conditions is normally appropriate for a Soldier discharged under this chapter. However, the separation authority may direct a general discharge if such is merited by the Soldier's overall record.
- (6) Paragraph 14-12b, addresses a pattern of misconduct consisting of either discreditable involvement with civilian or military authorities or discreditable conduct and conduct prejudicial to good order and discipline including conduct violating the accepted standards of personal conduct found in the Uniform Code of Military Justice, Army Regulations, the civilian law and time-honored customs and traditions of the Army.
- **8. Summary of Fact(s):** The Army Discharge Review Board considers applications for upgrade as instructed by Department of Defense Instruction 1332.28.

The applicant requests an upgrade to honorable. The applicant's Army Military Human Resources Record (AMHRR), the issues, and documents submitted with the application were carefully reviewed.

The applicant's DD Form 214 (Certificate of Release or Discharge from Active Duty) reflects the applicant was discharged under the provisions of AR 635-200, paragraph 14-12b, by reason of Pattern of Misconduct, with a characterization of service of General (Under Honorable Conditions).

The applicant contends the applicant was a loyal soldier but had issues adapting. The applicant's AMHRR reflects the applicant underwent a MSE on 10 July 2012, which reflects applicant could understand and participate in administrative proceedings. The applicant was diagnosed with adjustment disorder with depressed mood. The applicant had a negative screen for PTSD and Mild TBI. The Board will consider the applicant's service accomplishments and the quality of service according to the DODI 1332.28.

9. BOARD DISCUSSION AND DETERMINATION:

- **a.** As directed by the 2017 memo signed by A.M. Kurta, the board considered the following factors:
- (1) Did the applicant have a condition or experience that may excuse or mitigate the discharge? **Yes.** The Board's Medical Advisor, a voting member, reviewed the applicant's DOD and VA health records, applicant's statement, and/or civilian provider documentation and found that the applicant has the following potentially mitigating diagnoses: Adjustment Disorder, MDD, PTSD.
- (2) Did the condition exist, or experience occur during military service? **Yes.** Adjustment Disorder and basis for PTSD diagnosis was in-service physical, verbal, and emotional abuse by NCOs.
- (3) Does the condition or experience actually excuse or mitigate the discharge? Yes. The Board's Medical Advisor applied liberal consideration and opined that the VA's diagnosis of PTSD based on in-service trauma is mitigating. Specifically, PTSD symptoms can include avoidance and difficulty with authority which could have presented as FTRs, disrespect, and disobeying.
- (4) Does the condition or experience outweigh the discharge? Yes. The board concurred with the opinion of the Board's Medical Advisor, a voting member. As a result, the ADRB applied liberal consideration and found that the applicant's Adjustment Disorder, MMD, and PTSD outweighed the applicant's pattern of misconduct (multiple failure to report, failure to obey a lawful order and disrespect towards an NCO) basis of separation.
- **b.** Response to Contention(s): The applicant contends the applicant was a loyal soldier but had issues adapting. The board considered this contention during proceedings, but ultimately did not address the contention due to an upgrade being granted based on the applicant's Adjustment Disorder, MDD, PTSD outweigh the applicant's basis for separation pattern of misconduct (multiple failure to report, failure to obey a lawful order and disrespect towards an NCO).
- **c.** The board determined the discharge is inequitable based on the applicant's inservice diagnosis of Adjustment Disorder, MDD, and post service connection for PTSD mitigating the applicant's pattern of misconduct (multiple failure to report, failure to obey a lawful order and disrespect toward an NCO). Therefore, the board voted to grant relief in the form of an upgrade of the characterization of service to honorable and changed the separation authority to AR 635-200, paragraph 14-12a, the narrative reason for separation to Misconduct (Minor Infractions), with a corresponding separation code of JKN. The board voted not to change the reentry code. However, the applicant may request a personal appearance hearing to address further issues before the board. The applicant is responsible for satisfying the burden of proof and providing documents or

other evidence sufficient to support the applicant's contention(s) that the discharge was improper or inequitable.

d. Rationale for Decision:

- (1) The board voted to change the applicant's characterization of service to Honorable because the applicant's Adjustment DO, MDD and PTSD mitigated the basis for separation pattern of misconduct (multiple failure to report, failure to obey a lawful order and disrespect towards an NCO). Thus, the prior characterization is no longer appropriate.
- (2) The board voted to change the narrative reason to Misconduct (Minor Infractions) under the same rationale, thus the reason for discharge is no longer appropriate. The SPD code associated with the new reason for discharge is JKN.
- (3) The RE code will not change, as the current code is consistent with the procedural and substantive requirements of the regulation.

10. BOARD ACTION DIRECTED:

- a. Issue a New DD-214 / Separation Order: Yes
- b. Change Characterization to: Honorable
- c. Change Reason / SPD code to: Misconduct (Minor Infractions)/JKN
- d. Change RE Code to: No Change
- e. Change Authority to: AR 635-200, paragraph 14-12a

Authenticating Official:



AWOL – Absent Without Leave AMHRR – Army Military Human Resource Record BCD – Bad Conduct Discharge BH – Behavioral Health CG – Company Grade Article 15 CID – Criminal Investigation

Division ELS – Entry Level Status FG – Field Grade Article 15 GD - General Discharge

HS – High School

HD – Honorable Discharge IADT – Initial Active Duty Training

MP – Military Police MST – Military Sexual Trauma

N/A – Not applicable NCO – Noncommissioned Officer

NIF – Not in File NOS – Not Otherwise Specified OAD – Ordered to Active Duty OBH (I) – Other Behavioral Health (Issues) OMPF – Official Military

Personnel File
PTSD – Post-Traumatic Stress

Disorder
RE – Re-entry
SCM – Summary Court Martial

SPCM – Special Court Martial

SPD – Separation Program Designator

TBI – Traumatic Brain Injury UNC – Uncharacterized Discharge UOTHC – Under Other Than

Honorable Conditions
VA – Department of Veterans
Affairs