DEPARTMENT OF HOMELAND SECURITY BOARD FOR CORRECTION OF MILITARY RECORDS

Application for Correction of the Coast Guard Record of:

BCMR Docket No. 2012-190

FINAL DECISION

This is a proceeding under the provisions of section 1552 of title 10 and section 425 of title 14 of the United States Code. The Chair docketed the case upon receipt of the applicant's completed application on July 11, 2012, and assigned it to staff member to prepare the decision for the Board as required by 33 C.F.R. § 52.61(c).

This final decision, dated April 25, 2013, is approved and signed by the three duly appointed members who were designated to serve as the Board in this case.

APPLICANT'S REQUEST AND ALLEGATIONS

The applicant, a from his record an officer evaluation report (OER) covering his service as the Engineer Officer of an from July 3, 2009, through July 31, 2010, or to correct the OER to reflect the quality of service he actually provided during the reporting period, and to promote him directly from from the disputed OER contains two above-standard marks of 5,¹ eight standard marks of 4, four below-standard marks of 3, and four poor marks of 2 for the various performance dimensions, a mark in the third spot on the comparison scale,² and a recommendation strongly against promotion.

The applicant alleged that he received no counseling during the reporting period for the disputed OER and that the low marks and negative comments were unexpected. He believes that they were an act of reprisal by his supervisor for an incident that occurred on August 20, 2010,

¹ Coast Guard officers are evaluated in 18 performance dimensions, such as "Teamwork" and "Judgment," on a scale of 1 (worst) to 7 (best). A "standard" mark of 4 in a performance dimension means that the officer's performance met the expected high standards of all Coast Guard officers for that category as described on the OER form. Personnel Manual, Art.

² On an OER comparison scale, the reporting officer assigns a mark by comparing the reported-on officer to all other officers of the same grade whom the reporting officer has known throughout his or her career. Although the marks on the scale are not numbered, there are 7 possible marks, which range from a low of "unsatisfactory" for a mark in the first spot on the scale to a high of "a distinguished officer" for a mark in the seventh spot. A mark in the third, fourth (middle), or fifth spot on the scale denotes the officer as "one of the many competent professionals who form the majority of this grade."

after the reporting period sector ore the OER was completed. He provide the sector of the sector o
The applicant stated that soon after he reported for duty on the
began raising issues about safety and scheduling with here (CO). On May 27, 2010, he sent an email to
the District in the reporting his concerns. On August 4, 2010, after the reporting period violation when 3
crewmembers g to an unannounced ch ule. He
been example and claiming that since reporting to the cutter, the applicant since reporting to the cutter, and schedule inconsisten-
cies common to an operational unit." Then the command reference of the pursuant to COMDTINST M5370.1, and the pursuant to COMDTINST M5370.1, and the pursuant to COMDTINST M5370.1 and t
him with "Occupational Impairment: PTSD symptoms." However, the negative OER he received in late September 201 Construction and not been formally counseled about his performance, and there is no evidence supporting the low marks he was assigned by the XO and CO. The applicant noted that, Construction placed on light duty for Construction on January 11, 2011, another doctor cleared him as being fit for unrestricted duty because he had no symptoms of PTSD.
about his behavior while aboard the cutter. It is a matter of reprisal for the objections h
the mental health referral. The applicant argued that
"[b]ecause there is no record of counseling and the formation of the logical conclusions that can be drawn: Either the Commanding Officer of the [cutter] jeopardized the safety of the encurber allowing the applicant] to remain on board for 13 months and the cutter's August 24, 2010 mental health referral was reprised for this pojection to the decision to change the [cutter's] training schedule." The applicant alleged that the command violated COMDTPUB P6520.1 by referring him for a mental health examination in reprisal for raising objections to schedule changes due to his safety concerns.
The approximate comments in the OER at the provide inconsistent. One states that he "demonstrated support for crew concerns" while others claim that he showed "no respect for shipmates," "displayed unprofessional behavior and attitude," and was "unsupportive of team decisions made through collaboration and consensus." The applicant alloged that any objections to scheduling he voiced "were always motivated by the concerns of the crew. More- over, several commendations he received during the reporting period are not highlighted in the

p. 2

OER. The applicant alleger and XO arbitrarily lowered his marks and	
ensure 1	
The applicant noted that he has been promoted regularly through	
and, except for the disputed OER, his military personn	at
but for the local of the second of the secon	s-
puted OER experience applicant alleged that but for the disputed OER, he would have	ve
election board th	at
convened in	
evidence that he was and	so
should selection with Article 14.A.7.b. of the Personn	el
Manual The four level we have the Board to promote him to	
In support of his allegations, the applicant submitted many documents and the	Ń
have be	e-
ments from crewmembers:	
 A lieutenant junior grade who served as a Deck Watch Officer aboard the cutter fro 	
June 2008 to June 2010	
expertise and mentorship His open door policy and commitment to his shipmat	
ensured that the needs of the personne department as well as the department of the personne department as well as the department of the personne department as well as the department of the person of	
cared for." On numerous occasions, the applicant frequently "adamantly stood up for the	
health and well-being of the crew due to high levels of fatigue. This commitment and	
leadership no doubt prevented significant bod	us
platform during in adverse weather conditions."	
• A chief petty officer who worked	ne
010 stated that he worked with the applicant during drills and safet	
s he maintained composure, act	ed,
sought out further discussions with and a sought out further discussions with	u,
a stand patty officer who worked in the Operation and the outter from	
 Independent of the second secon	
drill training. "Each evolution was thoughtfully planned and policies were alway	-
stressed [The applicant] treated all members with respect and when tough decision	
were made he were were made he were were were made he were were were were were were were	
ing a de explain why and if he couldn't he would be a state of the couldn't he would be a state of the couldn't he would be a state of the could be a	
ing a dealer, in the could the could the work in the	
• A food service specialist, first class (FS1) wrote in an undated statement that he h	00
• A food service specialist, first class (FST) wrote in an undated statement that he is known the applicant for two years and has never known him to be untruthful or untrus	
worthy. He stated that the applicant "was always willing to work or the applicant finance main and the stated that the applicant the state of the st	
sure the needs of the crew were cared for [He] did an excellent job of making ne	

crewmembers feel welcome [and] was a terrific mentor [who] was able to train all pernel in a way that everyone could understand."

The applicant served on active duty as an enlisted member from and from September 1991 until June 1, 2004, when he more than the second served and the second served and the second served serv
Commenda
From
marks and 5 more receiver the source of this work, he receiver the source of the sourc
From June 2006 to July 2009, the applicant served as the Served as the Served With Seven direct subordinates in the Served Marks of 6 and 7 ; some marks of 4 and 5 for a few per formance dimensions, such as Terrestore sional Presence, and Health and Well-Being; marks in the sixth spot on the comparison scale; and very strong recommendations for promotion. He was promoted to Served on June 1, 2008, and recommendation third Achievement Medal for this tour of duty.
On July 3, 2009, the applicant reported to the serve as the with twenty discussion of the serve as the applicant and others on the cutter's Guard Meritorious Team Guard Meritorious Team Commendation for their "extraordinary from Augus 29, 2009. The citation for the award notes that while conducting
and demonstrated "the utmost in professional competence and commitment to safety."
On May 27, 2010, the applicant sent an email and Great Guard attorney requesting advice. He wrote the following:
I need your advice. I know what I show you can hurt a person, but this unit needs a command they can depend on the state of the
Today is the day I was taking about last time I talked to you. This is the timeme for today that made me
Yesterday morning there was a department head meeting because the XO want[ed] to get u/w [underway]. Bosn' and myself said no because the schedule had us in [port] and the crew was expecting to be in [port]. This isn't a small boat that just gets u/w because one p good idea.

Also yesterday (later), there was a department head meeting to discuss today's schedule, it was planned that the ship would get u/w early (0700) and return at 0900. This plan was set and when I left about 1400 it was still scheduled as planned.

Today I came in by 0600 and OPS told me that the XO talked to him later yesterday about staying out later and we can leave all the people that need to see the doctor (flight surgeon) behind and we will most likely be out later, as long as the Capt'n can see the doctor too. I waited for someone (command) to let me know about the change. At 0630 I went to the XO stateroom to talk about the change, he has someone in there so I went to the CO. I told her I have a non-rate with a dentist apt at 1000, are we going to be back in time. The schedule was back at 0900 and I wasn't informed about the change. She said we will be back around 0900.

Well it's 0900 and we are at the [XXXX] entrance and it takes 20 minutes just to get back, not even mooring. Now I brought my non-rate and now she will miss her 1000 appt. Dentist apps are hard to get along with med. apps.

I'm writing this because the morale on boat is low and there are a lot of people, including myself, that feel "unsafe" with this command (XO and CO).

I know this is a big issue, and I have enclosed my records of events that made me come up to this decision.

I just got a phone call from the bridge, they contacted the station to come out and get the non-rate for her dentist apt. How about the rest of the crew that thought we were mooring at 0900.

Can you give me some advice, I truly need it.

On June 30, 2010, the CO sent an email about technical problems that were delaying the cutter's deployment to the **She** noted that **'final** and [chief petty officer] have done a tremendous amount of work in expediting **She** noted that **'final** has been the driving force in working with the commercial companies to make **She** noted that **'final**, but the delay in communications and support errors has once again had a negative impact on our operational readiness."

On July 8, 2010, an officer sent an email to the CO stating that the

and concluding, "Sounds like you are lucky to have him." The CO replied that "the Canadians are very lucky I have him or [cutter] wouldn't be going on this mission." She cc'ed her email to the applicant.

Disputed OER

The supervisor's signature block on the disputed OER, covering the applicant's service from July 3, 2009, through July 31, 2010, indicates that the XO signed it on August 11, 2010, but the date on the digital signature is unreadable. The XO assigned the applicant low marks of two and three in the performance dimensions "Adaptability," "Speaking & Listening," "Looking Out for Others," "Teamwork," and "Workplace Climate." He supported these low marks with the following comments:

• <u>Adaptability</u>: "Failed to adapt to new leadership; exhibited highly impatient & disrespectful reaction to last minute Cmd decision to transport **content** to homeport. Outspoken critic who openly advocated in front of crew against many CG initiatives incl modernization & repeal of don't ask, don't tell policy."

- <u>Speaking & Listening</u>: "Irritable communicator; unapproachable & argumentative during mundane conversations; held defensive posture & rambled neg thoughts. Prone to frequent inappropriate & offensive outbursts directed at superiors & subord[inate]s based upon hasty conclusions & incomplete info; poor listener."
- Looking Out for Others: "Demonstrated support for crew concerns; gently notified mbr of sudden family death; assisted w/e-leave travel & CGMA loan while u/w; kept mbr stable & productive upon rtn. Unsupportive during mbr's hospitalization & follow-on family advocacy needs; marginalized mbr & propagated intolerant shipboard atmosphere; forced Cmd to step in to provide basic needs."
- <u>Teamwork and Workplace Climate</u>: "Unsupportive of team decisions made thru collaboration & consensus; often exhibited public disagreement & sewed discord after the fact; unwilling to provide constructive input to better meet crew needs by adjusting or improving established processes. Questioned Cmd experience/decisions w/out transparency or informing chain."

The reporting officer's signature block on the disputed OER indicates that the CO signed it on August 15, 2010, but the date on the digital signature is unreadable. The CO concurred with the XO's portion of the OER, assigned the applicant low marks in the performance dimensions "Responsibility," "Professional Presence," and "Health and Well-Being," as well as two standard marks of 4, and assigned him a mark in the third spot on the comparison scale, the lowest of three marks all denoting "one of the many competent professionals who form the majority of this grade." The CO's portion of the OER includes the following negative comments:

- "... Despite competency, displayed unprofessional behavior & attitude yelling at mbrs, using derogatory terms thru-out cutter, neg. infecting crew. No unified support; displayed poor ldrship & no ownership, passed dept info w/ blame focused on Cmd."
- "Although demonstrates clear mastery of the technical aspects of job, needs work on comms skills both up & down chain; avoided supervisor, did not keep informed of daily mundane/routine responsibilities; intentionally kept technical info from cmd; harsh, demeaning tone & inflection leave room for interpretation based on delivery; no adherence w/ Core Value & Cmd philosophy of respect for shipmates. Spoke to crew as separate voice from Cmd, focused blame on CG modernization w/ neg impact on crew morale. Maintained weight standards, but did not dedicate time to manage personal health/stress."
- "Under no circumstances should [the applicant] be recommended for promotion to
 ROO was critical to making improvements throughout the ship, and the ship, and the ship, and the ship are should be applied by the ship are s

continued efforts to verbally counsel about intolerable interactions, ROO failed to make substantial progress. Improved constructive comms, ldrshp & pos. engagement w/others, particularly in non-married areas, will be required for favorable Cmd endorsement."

The OER Reviewer, who was Chief of the District's

signed the disputed OER on September 27, 2010, and forwarded it to the Personnel Service Center.

Mental Health Evaluation

On August 24, 2010, the applicant's CO notified him that he was being referred for a non-emergency mental health examination. The CO stated that the applicant's "intimidating, disruptive, disrespectful behaviors and actions as documented below clearly violate [COMDT-INST M5370.1] and are disruptive to unit security, the provision of a safe work environment for the entire crew, and the good order and discipline of the [cutter], which I will not tolerate. As such I direct you to immediately depart the unit and proceed to [a shore unit] to await further evaluation and treatment." The CO also wrote about six incidents that occurred from April 26th through August 24th in which the applicant allegedly became infuriated and yelled and cussed at superiors or subordinates because of annoyances or minor, unplanned changes in the schedule and operations. None of the six incidents involved the applicant voicing safety concerns. She noted that the applicant had admitted that he needed to get help or medication for his "frustration and temper" so that he would not be "so cranky and upset all the time," that he could not respect the XO, and that he felt that the "future of the Coast Guard is going down." The CO noted that the applicant had had "three back to back afloat tours" and needed to work ashore for a while or retire. She advised the applicant of his rights with respect to the mental health referral.

On August 31, 2010, the applicant underwent a mental health evaluation with a psychologist. He told her that when the cutter was in "there was an incident where he became enraged with his command and was yelling and swearing at his superior officers. ... He stated that he was unsure why he became so angry ... he has been experiencing excessive irritability and anger for the past two years. He described that xxxxx years ago he was on a ship as and that never happens."³ However, the applicant reported that he had suffered "stress-related symptoms since that time, including ongoing difficulty sleeping, hypervigilance, heightened startle, irritability, excessive anger, intrusive memories and anxiety." The had occurred in the fog, and the fogginess had made him anxious and hypervigilant during his cutter's latest voyage. Someof there was another incident in which the same ship had almost rolled over time after on its side, and The applicant reported that "he has had concerns about the fact that these incidents have occurred in the last xxxxx years when the rest of his career was incident free. He expressed safety concerns. Furthermore, since 1999 until the present, he was underway all but 9 months of that

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time. He has not taken	expressed feel	ing 'burned out.'"	The second	
cluded response to several incidents in mended that he have six months of lin psychiatric evaluation to determine if n	nited duty, weel		hips."	She recom-
On the Area	a Commander a	warded the entire cu		itorious Unit February 10,
2011, the app		20		reordary 10,
awards oth		his "significant Awar March although they did n	22, 2011, ot meet th	the cutter's e criteria for
On December 16, 2010, a doc mand reported that the been the first boat the patient demons patient does not have a mental doctor stated that the applicant was fi "continued suitability" for service. He "interpersonal difficulties within comm	e applicant's in trated maladapt it for duty and e diagno generat	app ive behaviors. In lig rate t that the command c	ght of this hat he is ould decid	unfit." The de about his
applicant with "occupational problem	ite no	t having met criteria	a." He di hout restri	ctions.
Others, Teamwork, and Workplace Cli and motivate members during training. that he may highly respected by both recognition for mativating the crew du and Well-Being, the applicant stated th helped many of the crew on weight co to the comment that h	imat He noted that the command ring TSTA train hat he had parti- bat he had parti- control by "offer to be the coast to better [the control be helpful and nonmendations ar- wed that his rain	and an analysis of that he ning. Regarding his p cipated in the cutter' ng diets." The appli Guard Core Values outdated progra- utter]," men- d useful in many othe nd awards he had re ting chain's assessme	ted to imp told him i e had rece performan s "DC Ol cant stron , stating the br officer er ways. T ceived for put of his	rove morale in Lune 2010 eived special ace in Health ympics" and gly objected hat he "gave ter, "volun- s and enlist- he applicant r his perfor- performance

Decision of the PRK	RB	
OER to the Personne that recommendation application had repeate		
evidence suff		41 4 4
application performance of the second	hance during the operation of the operat	e report that the sapparently "uncharacteristic of him" ER was not sapparently ss accurate. The PRRB stated
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period of repor played no role i	t, which ended on 31 July 2010	This confrontation was after the disputed OER's The Comparing to the that the confrontation the Board believes the rating chain completed
cation with his marks assigned the second second leadership petencies. The	chain of command, but finds n were retaliatory in nature. Of not Board, that the rating chain recog	te, it is evident, even from Applicant's own mized higher and the second difference of the secon
Declaration of the S	annervisior_x0 of the	

The XO stated that he would not respond to the applicant's *aa nominem* attacks on my intentions and alleged conduct" but focus on the disputed OER.

The XO stated that he assigned the applicant a low mark for "Adaptic for the set of his "persistent inflexibility and resistance to the [cutter's] Command philosophy." The applicant "exhibited repeated and acrimonious resistance to nearly all command efforts to foster greater teamwork and synergy. If not directly vocalizing against the command, often in a very vulgar

and disrespectful manner denigrated the command to the crew	w a
effectiv	
· · · · · · · · · · · · · · · · · · ·	2010, upon learning
that in addition to offloading four buoys, they had picked up one to tak	
worth	nand
applicant lie CO's stateroom "absolutely infuriated by the	
	e buoy with them
would not de	
ing unscheduled evolution	
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Manufacture w mark for "Speaking & Listenite XO state	ed that it is accurate
because the applicant "frequently because the alightest provide the provide the slightest provide the slightes	
ity and anger at the slightest provocation. His communication skills epitor workplate the slightest provocation with merest suggestic	on or tasking that he
did not agree with was to explode. He also very frequently misin	
ansmitted and would lose his temper fo	
clearly in violation of Workplace Violence and Threatening Behavior, CO	
(series) and became such an iss	
to him for fear of his wrath. He exhibited this demeanor and directed it at	all levels within the
chain of command including the CO, XO, other partment Heads, Chiefs	
This was especially troubling when it involved junior members E5 & belo	ow who often would
actually show physical distress (e.g. trembling) before speaking to him." T	
July 7, 2010, the applicant was heard in his stateroc	
then came to the second and screamed loud	
throughout the O-2 Deck that the XQ	
XO followed the applicant back to his sta	/hy he
needed ail.	
November 8, 2009, the command loss	prod that the abjef's
wife could not drive and had special med the They had two	
lived in a very isolated place. The command engaged the District's various	employe
services but also assigned duty drivers and used Government in biolog to sup	
the chief was released from the hospital on November 27, 2009. The appli	
agreed with the command's solution and very clearly stated early on that the	he unit should not be
helping the member to this degree. He strongly felt (and expressed to the	
the member's life awa	
his own proble came to a near on February 18, 2010,	
the chief off the contract of the CO. T	· •
chief had not adequately informed him of all of his medical appointments a	
that the applicant was still unfit for sea duty. The XO stated, "Instead of p	
the E7's situation to gain compliance and care for the member, [the application has been by simply kicking the member off the ship?" With	
his hands of the problem by simply kicking the member off the ship." We this by the CO and XO, the applicant "became very angry and rashly sub-	
ch the command endorsed and forwarded, but the applicant subse	



you have an along with the ones you already control ops and deck.

genergies with an even of the termination of termination of the termination of the termination of terminatis of termination of termination of termination of t

The XO noted that sometimes when the command asked the shipboard leadership for input before a final decision was made on some issue, instead of collaborating and providing input, the applicant failed to initiate dialogue to improve the decision and instead "would 'back door the new implemented solution, peaking holes in it, verbally criticizing it and/or directly modifying it to suit his needs. ... [The applicant consistently failed to realize that as a department head and command member his responsionity was to support command decisions, even if they were unpopular, and especially those arrived at through a team effort." The XO noted that arrive the effect of the applicant foundation for the effect of the effect of the effect of the method asked for his input, the applicant state, "I don't even know why we're "[t]his unsupportive response was typical bein "pplicant] and demonstrated his complete rejection of all shipboard initiatives and programs that the CO and I strove to

This relative also demonstrated his unwillingness to **additional** ability for his people and offer construction of the unit."

Regarding the low mark for "Workplace Climate" in the disputed OER, the XO stated that during the reporting period, the approximation of the streme oversensitivity to tasking, perceived procedular crors and schedule inconsistencies common to an operational unit. [His] reaction to these structures annost always took the inappropriate form of excessive, overbearing and extreme anger and mood swings that were oftentimes irrational, inconsistent and extremely vulgar and offensive. ... [He] frequently engaged in bullying, verbal abuse/attacks and physical intimidation both up and down the chain of command. Though a good harmony. [His] conduct was disloyal, dangerous and ran directly counter to good order, discipline and the coust of and offensive." The XO noted that during a Damage Control Training Team

debrief in the spring of 20 and suddenly should at an E-5, "Why determined	
shock."	
On August 18 to 20, 2010, the XO stated, the curreveille aboard who had to be rowed to shore in walk to a new comparison with a flight south. When later that evening, another ship of the new comparison of the new compari	fered the
the normal time the officer of the Day, and an analysis, where the officer of the Day, and an analysis, where the officer of the Day, and an analysis, where the officer of the Day, and an analysis, where the officer of the Day, and an analysis, where the officer of the Day, and an analysis, where the officer of the Day, and an analysis, where the officer of the Day, and an analysis, where the officer of the Day, and an analysis, where the officer of the Day, and an analysis, where the officer of the Day, and an analysis, where the officer of the Day, and an analysis, where the officer of the Day, and an analysis, where the officer of the the problem of the schedule change the night before, he has a the applicant before, he has a the applicant of the schedule change the night before, he has a test of the XO's stateroom] in a very agitated manner and shouted at the test of the XO's stateroom] in a very agitated manner and shouted at the test of test of the test of test	' In the Although "entered room in her state- h an ass-
The XO stated that on August 24, 2010, the applicant "stormed" into the XO's st furious and with fists clenched. His behavior so "shock and the state of the stat	oked the [himself]
Declaration of the Reporting Officer, CO	

The CO disagreed with the applicant's claim that the prever counseled about his per-ce deficiencies. She stated that there "were several counseling sessions" in the CO's formance defici cabin or the XO's or applicant's own staterooms. The CO stated that she often went to the applicant's stateroom "to follow up on tasking that was not completed or to address issues and conthat were never communicated to me." The CO cerns I had about the stated that the unications skins were poor, and "he n lirect superaimed that she "provided fe [the applicant] every visor [the XO] time I saw there was a deficiency in his performance, recognized his tremendous technical specialty contributions, and when his effort improved." The CO alleged that she "considered and balanced [the applicant's] enormous technical proficiency, leadership, personal and professional qualities to provide the most accurate evaluation." The CO stated that she considered the following matters in drafting the disputed OER:

- In 2009, she saw a second etty officer physically shaking as he wa door later that day, receive e sa eled him about his response, which "was not setting the proper example as the De ever, the applicant's "upset, angry, and growly that de those two mandatory s] lack of support for c ent with t addressed throughout the performance nand In September 2009, the applicant lost his footing and "fell into the eding, she asked him to go to the Station clinic or local emergency room. When he objecter, the XO to send out a mishap report, w disagreed with. The CO stated that this incident "was an early example of [the applicant's] stubborn ast Guard's and District['s] behavior and inability to incident reporting guidelines." The CO stated that after an annual health assessment, the applicant was concerned about his weight and seemed irritated and "even more stressed than normal." He told her that to relieve stress, he was trying to leave work earner, one out, and stop drinking a bottle with his wife every compared to told him that "his mood changes were definitely noticeable by the rest I tone and quick temper expressed in a very loud manner h him cut and culty of losing weight and asked him what diet he and his wife were of department neads in November 2009 about he mily's difficulties while the chief was a provide applicant said the family should take care of themselves and "suck it up." The chief's wife's brother had rece , and their daughter had had to go to the **second second** high fever. The applicant "was command actions to support [the chief's] family and expressed that I was babying the [chief]." The CO believes that the applicant expressed his point of view and anger about her decision with other crewmembers. In February 2010, when the chief did not show up at an Officer s can and the CO asked why he was massing, the appreant informed her that he had kicked the chief off the boat without consulting her or the XO although he did not have the authority to do so. She later learned that he had done so while yelling and using expletives. As she and the XO were discussing the matter in a passageway later, the applicant ap
 - he was going to put in his retirement letter. He had frequently threatened to retire, and she advised him to go home and that they would discuss it later. However, he refused and typed and gave her his retirement letter. She endorsed it because she thought it

incorporated into his evaluation."

	would be best bot
	change his mind. When not, not, ter to the Personnel Command. Then the applicant showed up to try to retrieve steamed and asked for leave the entire following that the life has been been been been been been been bee
	one of the cutter's
	storag
	told about the problem in to bring her the paperwork, he insisted in the bring her the paperwork, he insisted deadline, but on April 2 nd in the shed. She then told him to have the material removed by the time the cutter got underway on April ill 15 th . The CO cited this incident as an example of it taking "constant follow-up to ensure [the another example of failed communication of the letail to meet a deadline, and inability to convey a positive unit image working with local Coast Guard units to fix discrepancies
•	On April 26, 2010, when the applicant and that the cutter had loaded and the loaded are the the load
	personality that was unable to toler the second sec
•	During TSTA training in June 2010, when asked which of the crew should be recognized for their contributions, the CO and the XO immediately recommended the applicant "because he leave the training of the crew with a lack of Chief Petty Officer Training in the applicant's disagreements and graphene hown during the probability of the applicant's disagreements and graphene hown during the assessment." The CO stated that they were encouraged to pick someone else because it was not usual to recognize a member of the command cadre, but they picked the appli- cant and he received [recognition] This example demonstrated the bulk of the MO and [CO] felt [the applicant] made a contribution to the success of [the cutter] and it was

• On June 16, 2010, _____h supervisor told her that the District ha

their communications were not better; and why she had asked him to

idea what he was talking He	embers of the crew
and told her that the applicant was co	
in April. After her supervisor left, the	applicante had
	I time but did not want to delay him from going e would "give her until Saturday" to discuss their
	her he "had been
holding	
	told him the fire about
imp	her why she did not yell at the chief
	the buoy in Appendix hy she did not have the cutter
moored by 9:00 a.m. so	could get to her dental appointment; why

She answered his questions. They agreed that their relationship was "not bad" and "very p

• In June 2010, an officer control of the subordinates called her and complained about the applicant's verbal abuse of one of his subordinates. The CO counseled the applicant in his stateroom about his "unsupptable behavior noted beyon the verbal abuse of the applicant applicant applicant.

• In October 2009 and June 2010, the applicant is a set of the Coast modernization. The CO counseled him in his stateroom that he did not have the set of the coast is personal feelings in from

Area's or District's job to arrange for the applicant kept cussing a distribution of the applicant "once again got very frustrated over a process he did not understand" because the logistics for the second formula the XO instead of the second formula the was confirming to the second formula.

• When the Command Master Chief (CMC) was about to arrive for an annual visit, the applicant told her that he thought a DMC as coming to observe him. The applicant told her that he was going to be nice and not do anything harman of that badly" to her while the CMC as on octate. She told him that, to the containy, one and the XO felt that the applicant was studying them and hoping to focus blame on one of them to get them removed. Then the applicant told her "out of the blue" that the crew was afraid to call her or the XO, but he could not provide her with details. Thereafter the maximum for the temperature of the studying them and mood changes" and "felt [she] was walking on eggshells, catering to [his] wishes and complaints."



actions and explosive behavior there was no way he was not aware of his performance deficiencies or that they would not be reflected in his evaluation." There were "**Mathematical Boost** es of great concern" that occurred after the reporting period, but she did not consider those incidents when she prepared the OER. After his violent outburst on August 20, 2010, she decided to there e has from the ship "for the safety, welfare, good order, and discipline of the unit." The

CO thought he "was	a description of the second	shipmates, and his trust ar	
her removed from cor	nmand. S umme hea n he applicant was seeking adv		l from a Navy
The	er the applicant left t	the cutter on August 24, 2010,	, "many of the became noted
discrepant rel			
	deficiencies, inclu	ding	ld have
	rformance during the repo	disputed OER is factuall rting personalities "behavior and om a commissioned military	d performance
• · · · · · · · · · · · · · · · · · · ·	personal, and professional ski		
e OE	R Reviewer		
	ce "came up several times"	in his discussions with the C him as a "highly competent	stated that the CO during the and a

"high performer" when he was in a good mood. However, the applicant increasingly became upset over "minor, easily solvable issues or incidents and sometimes threatened to put in his retirement letter." The CO would meet with the applicant increasingly became oncern with the applicant of retirement, frequent irritable mood swings, and unprofessional outbursts."

he applicant contacted a District officer and "expressed contempt a

e applicant in June to discuss these issues applicant's explanations "were rambling a t overall it was apparent to me his intent was to connect a series of minor or ordinary incidents in an overall effort to car the ability of [the CO] to command while at the same buyingly to appear loyal." The reviewer then met with the VO and the command chief and first class petty officers to discuss the issues the applicant had raised. The reviewer determined that the cutter "was operating safely but [the applicant] was creating [a] corrosive atmosphere because of his unprofessional and het with the CO, they discussed options including undermining behavior relieving the an ties and finding a replacement for the but the CO applicant was a very good was not ready although his disruptive behavior had to stop. She planned to counsel him and would base her decision on his willingness to improve. The reviewer told the applicant "the problem could be solved through improved communication and some professional good will" and the applicant acroad to do a better job of communicating and supporting the CO and XO. Later the CO told him that she had counseled the applicant and they had agreed on ways to improve communications. During the she reported that the applicant's "performance was good and communications were

still strained but improve
precipit
operating in low visibility trying d a days from the near-
est port For [the applicant] to say that he was shocked by the content
not credible."
The the Coast Guard normally pays deference to those "with long,
re experts in their
field. I believ
in the work place. He ble per-
sonal point to under the good order and disci-
pline of the state
in question accurately reflects [him and an
Non-Se
ving the cutter, the applicant was assigned to be ment team for
patrol boats. On his OER dated July 31, 2011, he received twelve marks of 6 and six marks of 5
in the various performance dimension and the comparison scale as "one
of the many competent professionals who form the majority of this grade, and a strong recom-
mendation for promotion "with peers." On higher dated July 31, 2012, the application being
primarily marks of 6 and 7 in the various performance dimensions, a mark in the fifth spot on the
comparison scale, and a very strong recommendation for promotion. On February 14, 2013, the
applicant received another Commendation Medal for this
The applicant was not selected and the selection boards that con-
vened in 2011 and 2012. Although he was a solution of the second se
found and so was not selected for promotion. Having twice failed of se
OF THE COAST GUARD
On January 30, 2013, the Judge Advocate General (JAG) of the Coast Guard submitted

On January 30, 2013, the Judge Advocate General (JAG) of the Coast Guard memory an adviance opinion in which he recommended that the **Recordship** with applicant's request. In so doing, he adopted the findings and analysis provided in a memorandum signed by Commander, Personnel Service Center (PSC). PSC submitted the following declarations to support its claims:

PSC Memorandum

PSC statistics are precedent of the second precedent of the second precedent of the second precedent attributes the low marks and negative comments in the OER to an argument he had with his supervisor on August 20, 2010, the OER itself shows that both the XO and the CO had signed the OER before that date.

PSC alleged that the applicant's command acted correctly in referring the applicant for a **multi** the evaluation and noted that the referral and evaluation occurred after the XO and CO

had completed the OER a and a information, such as the refe	rral and
evaluation were reviewed by the sele	
PSC stated that, contrary to the applicant's clain fied" for the interview of the second state of the seco	
chain in a polication regulations, and he here prove or comments are not accurate, fathered and a strain of the rating c performance during the reporting period. PSC argued that the applica-	hain's observations of his
APPLICANT'S RES. On March 6, 2013, the applicant response the views of the cant stated that the advisory opinion ignores his most significant arg OER "was not a fair reflection of the service provided during the rational durin	gument, which is that the ng period and lacked evi- mendations and ts about inconsistent com-
decisic motion.	
applicant stated that there is apparently no stated attended atte	dable electronic signature, nain signed it on the deter cast by the lack of formal, August 20, 2010, the CO that the CO was not rec- mental health refer- ued that the Board should oplicant" in his attempt to

APPLICABLE REGULATIONS

Officer Evaluation Regulations

states that COs "must under their command. days before the end of	" A Coast Guard o	officer is supposed to		to all officers
forward 10.A.2.d.2.		ys after the end prepare and forward th		eriod. Article
any evaluation area. Per informally (e.g., throu receive understood, it is the R	dinate receives a erformance gh on-the-spot com	iments). Regardless of receive receive receives responsibility to im	eedback. Performated elated to their p ally (e.g., during a f the forum, entropy ived. If the feedba	erformance in conference) or
Article 10.A.4. the "[p]ersonal militar Manual, COMDTINS states that "[t]he Rep Officer's normal rating cates, citations, comm Repor but shall not attach the	y decorations issued T M1650.25 (series orted-on Officer, an g chain, may submit tents or other reliab	in accordance with ()" description of the reporting and other officers or o to the Supervisor or	ng period. Artic officials outside the Reporting Officer	ls and Awards .c.3.b. e Reported-on letters, certifi- nance of duty.
	c.4. provides the foll	lowing instructions for	r supervisors comp	oleting
and qualities obse dimensions, the S dimensions, the S dimensions, the S dimensions, the S dimensions, the S officers and not to best describes the Supervisor fills in d. In the dimension deviates from a fo Supervisors, and c e. Comments show	upervisor shall carefully to the level of perform officer's perform the same officer in a pro- Reported-on Officer's p the property to simple of the property of the pro- the pro- the pro- line of the same officer in a pro- the pro- line of the same officer in a pro- the pro- the pro- line of the same officer in a pro- line of the same of the pro- line of the same of the pro- the pro- line of the same of the pro- line of the pro- the pro- the pro- line of the same of the pro- line of the same of the pro- line of the same of the pro- the pro-the pro- the pro-the pro- the pro-the pro-the	the reporting period. Ther y read the standards and c mance described mance and qualities again revious reporting period. A performance and qualities of the form in ink. h evalu ti n a, the Super officer's performance and l draw on his or her observulated during the reporting istent with the numerical of	The Supervise The Supervise St the standards—not After determining whice during the marking per- ervisor snan menual con- ad a standard reach menual con- reach menual con- reach menual con- reach menual con- reach menual con- reach menual con- standard reach menual con- reach menual c	ormance on OH sor shall to other ch block riod, the minnents nark that econdary
tify specific streng paint a succinct pi	gths and weaknesses in placture of the officer's per	performance. Comments r rformance and qualities wh rked on the performance	nust be sufficiently sp hich compares reasona	bly with

Mere repetition or	paration in the standards i	is not sufficient narrative	justification
a A mark of four	represents the expected standard	•	voific porform
ance observations	must be included when an officeeded this high level of perform	icer has been assigned a	
Arti	f the Personnel Ma		ne comparison scale in an exporting
Officer's rank			
	fill of the memory late	tag that	
	1. of the manual stat performan		
		submit wit	hin 21 days of receiving a
copy of a validated OF	ER from the Personnel Co		
1.			ain may attach
their own responsive co	omments to the OER Reply	у.	
Mental Health Referra	ıls		
Command dire	cted mental health evaluat	ions are governed by	Chapter 5 C of the Coast
	al in effect in 2010, COM		-
	member of the unit has a		aluation, the CO
	to under		
	ida to both the		
	uation. Chapter 5.		
for a n	luation as a reprisal for ma	aking or preparing a l	awful communicat
		-	
CWO Selection Boards	s		
±	of COMDTINST 1410.2 1		"shall not be provided to

Under Articles 5.B.3.j. and 14.A.7. of the Personnel Manual, the Commandant determines the number of **second second second promoted** to CWO4, and CWO4 selection boards make their selecting **second second second**

FINDINGS AND CONCLUSIONS



The Board makes the following findings and conclusions on the basis of the applicant's military record and submissions, the Coast Guard's submission, and applicable law:

1. The Board has jurisdiction over this matter pursuant to 10 U.S.C. § 1552. The application was timely filed within three years of the date the disputed OER was entered in the applicant's military record.⁴

2. The applicant alleged that the disputed OER is erroneous and unjust and a product of retaliation by his rating chain for a report he made to the District in April 2010 and for incidents that occurred after the end of the reporting period. In considering allegations of error and injustice, the Board begins its analysis in every case by presuming that the disputed information in an applicant's military record is correct as it appears in his record, and the applicant bears the burden of proving by a preponderance of the evidence that the disputed information is erroneous or unjust.⁵ Absent evidence to the contrary, the Board presumes that Coast Guard officials and other Government employees have carried out their duties "correctly, lawfully, and in good faith."⁶ When challenging an OER, an applicant cannot "merely allege or prove that an [OER] seems inaccurate, incomplete or subjective in some sense," but must prove that the disputed OER was adversely affected by a "misstatement of significant hard fact," factors "which had no business being in the rating process," or a clear and prejudicial violation of a statute or regulation.⁷

3. The applicant alleged that the marks and comments in the disputed OER are factually erroneous. He submitted statements from four crewmembers whose view of his leadership and communications differs greatly from the view of his rating chain members. These statements do not persuade the Board that the rating chain's assessment of the applicant's performance is erroneous, however. The OER itself and the XO's and CO's declarations provide numerous, detailed examples of incidents of behavior that support the lower numerical ratings assigned by the rating chain for the performance dimensions Adaptability, Speaking and Listening, Looking Out for Others, Teamwork, Workplace Climate, Responsibility, Professional Presence, and Health and Well-Being.

4. The applicant alleged that the disputed OER erroneously and unjustly fails to note significant accomplishments and awards he received during the rating period. The record does not show that the applicant received any personal awards during the reporting period that are listed in Chapter 2 of the Medals and Awards Manual and so could be attached to the OER by reference in block 2 pursuant to Article 10.A.4.c.3.a.1. of the Personnel Manual. The record shows that the CO awarded the applicant and others a Meritorious Team Commendation for their "extraordinary

2009, but in accordance with Article 10.A.4.c.3.b., the applicant's contributions to are described in detail in block 3 of the disputed OER, as was his leadership during the CART/TSTA training in June 2010, for which he was later recognized as "Top Trainer." Con-

⁴ 10 U.S.C. § 1552(b).

⁵ 33 C.F.R. § 52.24(b).

⁶ Arens v. United States, 969 F.2d 1034, 1037 (Fed. Cir. 1992); Sanders v. United States, 594 F.2d 804, 813 (Ct. Cl. 1979).

⁷ Hary v. United States, 618 F.2d 704, 708 (Ct. Cl. 1980), cited in Lindsay v. United States, 295 F.3d 1252, 1259 (Fed. Cir. 2002).

tributions he made to the second are also mentioned in the OER. Given
in the c
for each performance dimension oard oard CO deliberately or
unjustly omitted any significant accomplishments of the applicant in the design of the second se
ER is unjust because his rating chain failed to
counsel him the second se
le Personnel Man
ual does not
to prove by a preponde
adequation formation and a second
6. The applicant alleges to the disputed OER violate the Person
nel Manual because they are inconsistent with the marks and commentation
10.A.4. include supporting comments for the
numerical marks providing examples showing how the member
standards for each performance dime
"[c]omments should amplify and be consistent with the numerical evaluations identify
strengths and weaknesses in personal and a succinc
picture of the officer's performance and qualities which compares reasonably with the picture
defined by the standards" The Board finds and he OER comments meet these meets meets
Although the applicant alleged that the comment that he "[d]emonstrated support for crew
concerns" contradicts other comments that he showed "no respect for shipmates," "displayed
unprofessional behavior and attitude," and was "unsupersonal states and state
substantial contradictions in these comments.
7. The applicant alleged that leave a second evaluation of the second e
ating here a second in the OER based on and in retaliation for conduct that occurred on
sumes the applicant's continued presence on the cutter for
the subsequent reporting period; and the report the OER are amply supported by
comments on behavior that occurred during the reporting period. The Board finds that the could
cant has field to prove by a preponderance of the evidence big rating chain violated Article
10.A.4.f.11. in preserving the disputed OER.

The applicant alleged that his rating chain prepared a poor OER for him in retalia-8. tion for a report of safe 1 legedly made to the District in April 2010. The y of the report of to uniculate any significant of the pses during applicant failed the reporting p to the District. The District who came aboard to discuss the report with the applicant has stated in a sworn declaration that the applicant's report of his alleged safety concerns was "rambling and contradictory but overall it was apparent to me his intent was to connect a series of minor or ordinary incidents in an overall effort to cast doubt on the ability of [the CO] to command." The District officer, who was the OLK reviewer and the CO's own supervisor, determined that the cutter was operating safely. In fact, the District officer with the rating chain's assessment that the applicant was intentionally sowing discord and trying to undermine the command, and there is no evidence that the applicant's report had

any adverse impact on the XO or CO. Therefore, the Board finds that he has not proved by a preponderance of the evidence that the disputed OER was retaliatory.

9. The Board finds that the applicant has failed to prove by a preponderance of the evidence that the disputed OER was adversely affected by a "misstatement of significant hard fact," factors "which had no business being in the rating process," or a clear and prejudicial violation of a statute or regulation.⁸ The Board agrees with the PRRB that the applicant has failed to prove the existence of an error or injustice in the disputed OER.

10. The applicant alleged that he was not selected for promotion because the selection boards somehow knew that he had been referred for a mental health evaluation and that referral was retaliatory, erroneous, and unjust. However, in light of the applicant's demonstrations of an increasingly explosive temper in 2010, the Board finds that his CO did not abuse her discretion under Chapter 5.C.3. of the Medical Manual by referring him for a mental health evaluation. Moreover, paragraph 7.a. of COMDTINST 1410.2 clearly states that selection boards are not provided the candidates' medical records, and the applicant has not shown the Board any reason to suspect that this rule was not followed in his case. Although the applicant claims that his nonselections for promotion prove that the selection boards must have seen his medical records, the Board finds that the disputed OER itself provided ample grounds for the selection boards to conclude that the applicant, although best qualified for The Board finds that the applicant has not proved by a preponderance of promotion to the evidence that his record was prejudiced by any error or injustice when it was reviewed by the selection boards that did not select him for promotion. Therefore, the Board will not promote the applicant or remove his non-selections for promotion from his record.⁹

11. The applicant made numerous allegations with respect to the actions and attitudes of his XO and CO. Those allegations not specifically addressed above are considered to be without merit and/or not dispositive of the case.¹⁰

12. Accordingly, the applicant's request should be denied.

[ORDER AND SIGNATURES APPEAR ON NEXT PAGE]

⁸ Hary v. United States, 618 F.2d 704, 708 (Ct. Cl. 1980), cited in Lindsay v. United States, 295 F.3d 1252, 1259 (Fed. Cir. 2002).

⁹ Engels v. United States, 678 F.2d 173, 176 (Ct. Cl. 1982).

¹⁰ See Frizelle v. Slater, 111 F.3d 172, 177 (D.C. Cir. 1997) (noting that the Board need not address arguments that "appear frivolous on their face and could [not] affect the Board's ultimate disposition").

ORDER

The application of x USCG, for correction of his military record is denied.

