DEPARTMENT OF HOMELAND SECURITY BOARD FOR CORRECTION OF MILITARY RECORDS

Application for the Correction of the Coast Guard Record of:

BCMR Docket No. 2010-040

FINAL DECISION

This is a proceeding under the provisions of section 1552 of title 10 and section 425 of title 14 of the United States Code. The Chair docketed the case after receiving the applicant's completed application on November 23, 2009, and assigned it to staff member J. Andrews to prepare the decision for the Board as required by 33 C.F.R. § 52.61(c).

This final decision, dated August 26, 2010, is approved and signed by the three duly appointed members who were designated to serve as the Board in this case.

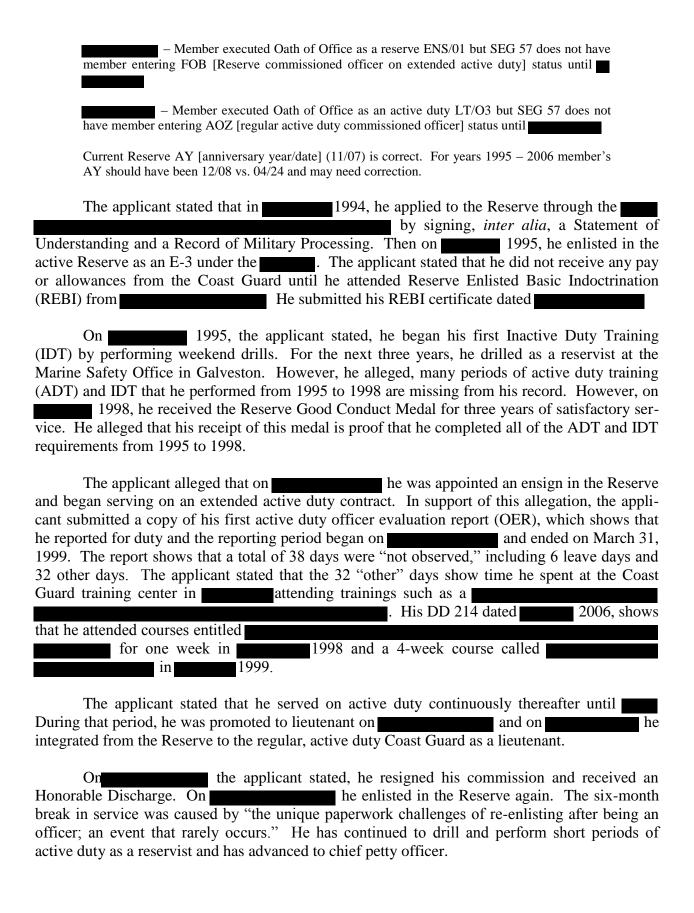
APPLICANT'S REQUEST AND ALLEGATIONS

The applicant asked the Board to correct his record so that he may be credited with the correct number of Reserve retirement points. He stated that the Coast Guard recently completed a Statement of Creditable Service (SOCS) for him and that there are several discrepancies between the SOCS and his paper records. In support of his allegation, the applicant submitted an email he received from a Human Resources Assistant (Military) at the Pay and Personnel Center, who stated that he found the following discrepancies between JUMPS (the Coast Guard's pay database) and documents in the applicant's record:

Member signed agreement that specifically states member was a USCG Reservist with a 08 year obligation. This would set the DIEMS [Date of Initial Entry to Military Service] date.
 DD-4 and SEG 57 has member entering the USCGR as HEZ [an enlisted member of the Selected Reserve (SELRES)] but 1st IDT/ADT [drills or active duty training] according to SEG 01 was not performed until 21 OCT 1995 which would be the member's Initial Pay Base Date. The period between 24 APR 1995 and 20 OCT 1995 would not be creditable toward AD

[active duty] or PEBD [pay entry base date].

¹ Reservists are required to earn at least 50 points in each "anniversary year" of their service for that year to count as a satisfactory year for retirement purposes. 10 U.S.C. § 12732.



SUMMARY OF THE RECORD

On the applicant and his recruiter signed a "Statement of Understand-
ing: Coast Guard Program" (SOU). The first paragraph of the SOU states that it "will become an Annex to the Enlistment/Reenlistment Document: Armed Forces of the United States
(DD-4)." The applicant acknowledged the following in the SOU:
1 I am enlisting in the Coast Guard Reserve and that I am incurring a military service obligation of eight (8) years.
2. I am a high school graduate and currently enrolled in
scheduled to complete Reserve Enlisted Basic Indoctrination (REBI) on in commence
for the Fall Semester on . I certify that I intend to continue my education and that my
education prohibits my enlistment in a program that requires 30 consecutive weeks of Initial
Active Duty for Training.
3. I will be required to participate satisfactorily in the Selected Reserve for six (6) years
4. I will be assigned to a Coast Guard Reserve Unit (CGRU) upon release for REBI.
While completing my bachelor's degree, I will perform drills at a and be
ineligible for promotion Satisfactory participation includes [c]ompetent performance in at
least 48 scheduled drills and at least twelve (12) days Active Duty for Training (ADT) each year
from the date of my enlistment. If authorized by the district commander, I may complete not less
than 30 days ADT each year as a substitute for the 48 drills and 12 days ADT.
8. I understand that successful completion of all participation and pre-
commissioning requirements, and graduation from an approved will result in
my commissioning as ensign (O-1) United States Coast Guard Reserve
9. I understand that one of the benefits of is eligibility for concurrent participa-
tion in the program offered by the program. I
understand that if I participate in the and voluntarily resign from I may
be subjected to involuntary active duty not to exceed two (2) years.
13. This statement of understanding is a part of my Enlistment Contract. Any and all
promises have been made to me in writing and are included in this contract.
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On the applicant and his recruiter signed a "Record of Military Proc-
essing" with his personal data. Block 20 shows that he was enlisting on and that
his pay entry date and active duty service date would be
On the applicant enlisted in the Coast Guard Reserve. The enlistment
contract lists the SOU as an annex to the contract.
On the applicant received a certificate for completing the REBI
Training Program course at the Coast Guard training center in Cape
May, New Jersey.
On awarded the applicant
a Reserve Good Conduct Medal.
On the applicant signed an Acceptance and Oath of Office to accept an
appointment as an ensign in the Reserve.

On the applicant signed an extended active duty contract requiring him to serve on active duty from that date through
A drill point statement dated , in the applicant's record shows that in his anniversary year ending on , he earned 19 drill points, 15 membership points, and no points for ADT. It shows that he earned 12 points for ADT in AY 1997, 36 points for ADT in AY 1998, and more than 50 points in every year from AY 1997 through AY 2002.
On the applicant signed an Acceptance and Oath of Office to accept an appointment to lieutenant in the regular active duty Coast Guard.
On the applicant was issued a DD 214 discharge certificate indicating that he had been on continuous active duty since (blocks 12.a. and 12.b.), and had no prior active service or inactive service (blocks 12.d. and 12.e.). This DD 214 lists as one of his awards a "First CG Reserve Good Conduct Medal for period ending and a course in the completed, inter alia, a course in the co
On, the applicant enlisted in the Reserve for six years as a first class petty officer (/E-6). A Record of Military Processing prepared upon this enlistment shows his active duty service date and his pay entry date as
On the applicant was issued a DD 214 covering 20 days of active duty he had completed since pursuant to a Reserve mobilization for The DD 214 states erroneously in block 2, however, that he was a member of the regular Coast Guard, but block 13 properly shows that he received a "First Coast Guard Reserve Good Conduct medal for period ending It shows in blocks 12.d. and 12.e. that he had completed 7 years, 10 months, and 28 days of prior active service and 4 years, 1 month, and 19 days of prior inactive service.
On the Pay and Personnel Center prepared a Statement of Creditable Service for the applicant, which shows the following:
 The date his military obligation was incurred is His adjusted pay base date is His adjusted active duty base date is From
 From, he was a non-drilling enlisted member in the SELRES. From, he was a drilling enlisted member of the SELRES. From, he completed 12 days of ADT. From he was a drilling enlisted member of the SELRES. From he completed 14 days of ADT.
 From, he was a drilling enlisted member of the SELRES. From, he performed 22 days of ADT. From, he was a drilling enlisted member of the SELRES.

•	From	, he was a drilling officer in the SELRES.
•	From	, he was a Reserve officer on extended active duty.
•	From	he was a regular active duty
	officer bu	at also in the Reserve.
•	From	, he was a drilling enlisted member of the SELRES.
•	From	, he completed 13 days of ADT.
•	From	he was a drilling enlisted member of the SELRES.
•	From	, he completed 12 days of ADT.
•	From	, he was a drilling enlisted member of the SELRES.
•	From	he was mobilized onto active duty for 20 days.
•	From	he was a drilling enlisted member of the SELRES.

VIEWS OF THE COAST GUARD

On April 15, 2010, the Judge Advocate General (JAG) of the Coast Guard submitted an advisory opinion in which he adopted the findings and analysis of the case in a memorandum by the Personnel Service Center (PSC) and recommended that the Board grant relief. The PSC stated that relief should be granted because the five discrepancies listed in the email from the PPC have been reviewed and approved by the Reserve Personnel Management branch.

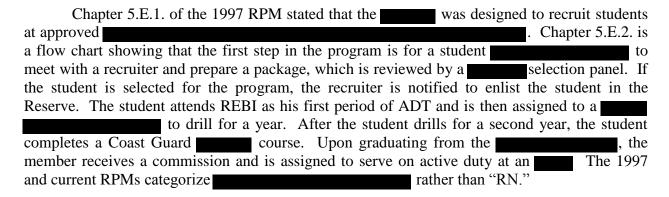
APPLICANT'S RESPONSE TO THE VIEWS OF THE COAST GUARD

On April 26, 2010, the Chair sent the applicant a copy of the JAG's advisory opinion and invited him to respond within 30 days. No response was received. However, in response to inquiries from the BCMR staff in August 2010, the applicant submitted copies of his original enlistment contract and other documents that were not in the record forwarded by the JAG.

APPLICABLE LAWS

The was in effect prior to the issuance of the Re	serve Policy Manual (RPM) in
but is not mentioned in the 1995 Reserve Administration	and Training Manual (RAT-
MAN). However, paragraphs 7.J.5.a.(4) and (5) of the 1995	stated that
	who enlisted in the
Reserve in the "RN" training program and could receive a direct	commission as an officer after
three years of training in the	9 of the RATMAN stated that
"[p]ersonnel enlisting in the RQ/RN program who do not have p	rior Coast Guard service must
complete the Reserve Enlisted Basic Indoctrination (REBI) con	urse during their first year of
enlistment." Under COMDTINST 1131.23,	were eligible for direct com-
missions in the Reserve upon graduating from a	and acquiring a

Chapter 15-H-4 of the 1994 RATMAN provides that prior service members who possess skills that will qualify them for immediate advancement to E-4 are in the "RX" program and "do not attend IADT; instead, they shall be ordered home awaiting orders until a quota has been obtained to the Reserve Enlisted Basic Indoctrination Course (REBI). After they successfully complete REBI, they are to report to their assigned Reserve units."



Chapters 2.A.3. and 2.A.4. of the RPM state that reservists earn 1 point for each 4-hour drill attended and 2 points for two or more 4-hour drills attended in one calendar day. Chapter 8.C.3.a.2. states that reservists receive 1 point for each day of active duty the perform.

According to Article 12.C.16.a.5. of the Personnel Manual, a member's DIEMS is "the earliest date they joined a Uniformed Service either through the Delayed Entry Program, a Service academy, their enlistment, induction or appointment. Service Academy cadets and persons in the Delayed Entry Program are considered 'members of the Uniformed Service' while they serve in such status." Chapter 7.D. of the Pay and Personnel Procedures Manual states that DIEMS is "the date someone first became a member of a Uniformed Service and pertains to the earliest date of enlistment, induction, or appointment in a regular or reserve component of an armed force as a commissioned officer, warrant officer, or enlisted member. Breaks in service shall not affect the date someone first became a member. Cadets and midshipmen of the Academies, cadets of the Reserve Officer Training Corps, and members of the Delayed Entry Program (DEP) are considered to have become members for the purposes of these provisions."

Enclosure (1-1) to the RATMAN defines "anniversary year" for those who enter the Reserve after June 30, 1949, as extending "from the date of entry or reentry to the day preceding the anniversary of entry or reentry." Appendix A of the 1997 RPM defines "anniversary date" as "the date the member entered into active service or into active status in a Reserve component." Under Chapter 1.C. of the RPM, all members of the SELRES and the IRR are in an active status.

Chapter 2.A. of the Pay Manual, COMDTINST M7220.29B, states that creditable service for pay purposes includes "all periods of active duty inactive service ... in any Regular or Reserve component." However, Chapter 2.B.4.a. states that since January 1, 1985, "time served as a member of a Reserve component under a delayed entry program prior to entry or active duty or ADT" is not creditable for pay purposes. A "delayed entry program" is one in which the recruit enlists in the Reserve but does not immediately begin his initial active duty training period. 10 U.S.C. § 513.

Chapter 5.A.3.a.(8) of the Medals and Awards Manual states that to receive a Reserve Good Conduct Medal between January 1, 1980, and June 3, 1997, a reservist was required to have accumulated three consecutive anniversary years of good conduct in which the member performed 12 days of ADT and 90% of 48 scheduled IDT drills (90% of 48 = 43). To receive

the medal between June 4, 1997, and October 24, 2002, a reservist was required to have accumulated three consecutive anniversary years of good conduct in which the reservist earned at least 50 retirement points per year. Chapter 5.A.3.a.(2) states that "[m]embers whose good conduct period of service spans years with disparate eligibility standards must apply the standard in place at the beginning of their anniversary year. Creditable time earned under a previous good conduct standard will be combined with creditable time earned under the new standard during the same period of service."

Chapter 1.A. of COMDTINST M1900.4D, the manual for preparing DD 214s, states that DD 214s are issued "to members who change their military status among active duty, reserve, or retired components or are separated/discharged from the Coast Guard to civilian status." Chapter 1.B.10. states that to warrant preparation of a DD 214 for a reservist, the reservist must have served on continuous active duty for at least 90 days.

Chapter 1.D.2.a. of COMDTINST M1900.4D states that "[a]ll entries [on the DD 214], unless specified otherwise (i.e., blocks 7a, 7b), are for the current period of active duty only from date of entry as shown in block 12a through the date of separation as shown in block 12b. Chapter 1.E. of COMDTINST M1900.4D provides the following instructions for completing block 12:

Block 12a. Date Entered Active Duty This Period. Enter the date of entry on active duty.

<u>Block 12b. Separation Date This Period</u>. Enter the effective date of release/discharge. For personnel being retired, enter the last day of active duty in this block and enter the effective date of retirement in block 18, Remarks. ...

<u>Block 12c. Net Active Service This Period</u>. Enter the years, months, and days of service creditable for basic pay purposes for the period from date entered active duty this period (block 12a) through date of separation (block 12b). ...

<u>Block 12d. Total Prior Active Service</u>. Enter the years, months, and days of service creditable for basic pay for all active service prior to the date entered in block 12a. ...

<u>Block 12e. Total Prior Inactive Service</u>. Enter the years, months, and days of service creditable for basic pay for inactive service completed prior to the date entered in block 12a. Active Duty Training computation must be subtracted from the total prior inactive service computation, since the ADT computation is cited as part of block 12d.

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<u>Block 12h. Effective Date of Pay Grade</u>. Enter the year, month, and day as follows:

- 1. Enlisted Personnel. Date of advancement.
- 2. Officers. Date of rank, as distinguished from the date of appointment.

FINDINGS AND CONCLUSIONS

The Board makes the following findings and conclusions on the basis of the applicant's military record and submissions, the Coast Guard's submissions, and applicable law:

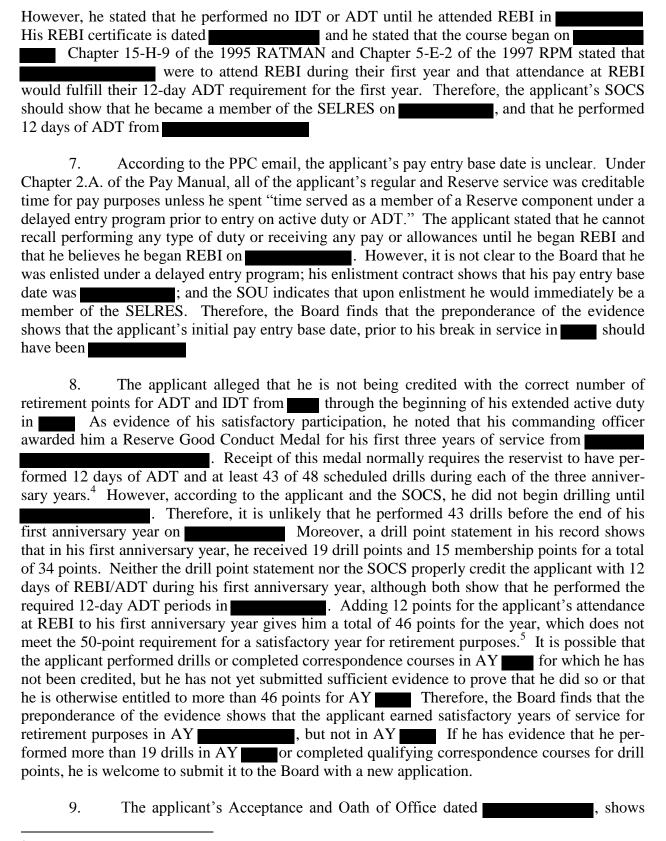
1. The Board has jurisdiction concerning this matter pursuant to 10 U.S.C. § 1552. The application was timely because it was filed within three years of when the applicant knew or should have known of the errors in his record.

2. The applicant alleged that various dates marking changes in his status are erroneous in his record and that he is not being credited with the correct number of Reserve retirement points. The Board begins its analysis in every case by presuming that the disputed information in the applicant's military record is correct as it appears in his record, and the applicant bears the burden of proving by a preponderance of the evidence that the disputed information is erroneous or unjust.² This applicant's record, however, contains so many obvious and significant errors and inconsistencies that the question before the Board is not whether there are errors, but how to clean up his record.

3. The applicant's SOCS shows that he was in the IRR from
. This entry was apparently made because of the SOU dated
However, the text of the SOU shows that it was premised on the applicant enlisting in
the Reserve, and the applicant did not actually enlist until . Therefore, he cannot
have been a member of any branch of the Reserve prior to that date. The SOU was an
annex to the applicant's enlistment contract and had no force or effect until the
applicant actually enlisted. As the rules for the in the 1997 RPM show,
who wanted to become Coast Guard officers had to complete application
packages, which were reviewed by a selection panel before the recruiter was notified
that he could enlist the student. The SOU and the Record of Military Processing dated
were parts of the applicant's application package and did not
actually obligate him or the Coast Guard in any way prior to his enlistment. Therefore, the
applicant's SOCS should be revised to show no service prior to
4. The PPC alleged that should be the applicant's DIEMS
because of the SOU. However, the applicant enlisted on and there is no
evidence that he was ever a cadet at a Service academy or in the Reserve Officer Training Corps
(ROTC), and he had not enlisted prior to April 24, 1995, under a delayed entry program. ³ There-
fore, given the definitions of DIEMS in Article 12.C.16.a.5. of the Personnel Manual and Chap-
ter 7.D. of the Pay and Personnel Procedures Manual, the Board finds that the applicant's
DIEMS is the day he first enlisted,
The DDC alleged that seemed about the applicant's Deserve applyoners
5. The PPC alleged that should be the applicant's Reserve anniversary date from 1995 through 2006 because of the SOU dated However,
the 1995 RATMAN defines an "anniversary year" as extending "from the date of entry or reen-
try to the day preceding the anniversary of entry or reentry" and the 1997 RPM states that a
reservist's anniversary date is "the date the member entered into active service or into active
status in a Reserve component." Therefore, the Board finds that the applicant's anniversary date
prior to his integration into the regular Coast Guard was the date he first enlisted
in the Reserve,
6. The applicant enlisted in the Reserve on , and under the SOU
agreed to serve at least the first 6 years of his 8-year military service obligation in the SELRES.

² 33 C.F.R. § 52.24(b).

Under a "delayed entry program," the recruit, often a student, enlists in the Reserve while still in school or otherwise occupied and begins active service when he is available to serve on active duty or active duty for training.

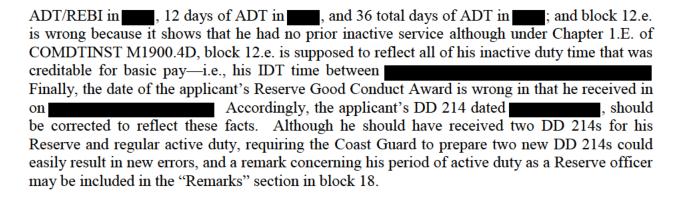


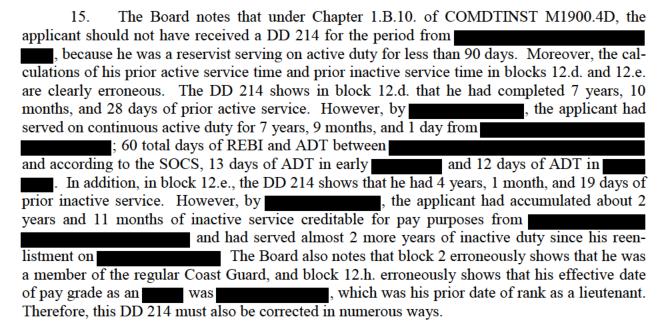
⁴ Medals and Awards Manual, Chap. 5.A.3.a.(8).

⁵ 10 U.S.C. § 12732.

that he was commissioned an ensign in the Reserve on that date. His SOCS properly reflects this date of rank.

10. The applicant alleged that he began serving on extended active duty (EAD) on
(the date of his commissioning). The SOCS shows that he began serving on EAD on . However, the email from the PPC states that "SEG 57" in the Coast
Guard database shows that he began serving on EAD on The applicant's
Guard database shows that he began serving on EAD on The applicant's OER, which was prepared by his chain of command at the for
the evaluation period ending on , shows that the applicant reported for active
duty at the unit on The 32 days of "not observed" time shown on the OER are
fully accounted for by the training courses that he took in and and and, as
shown on his DD 214. Unlike the SOCS, which was prepared in 2009, and the database, which
has changed since, the OER was prepared just a few months after the applicant began
active duty and was prepared by his chain of command, who presumably knew what date he
began active duty. Therefore, the Board finds that the preponderance of the evidence shows that
he began serving on continuous active duty on .
· ———
11. Reservists receive one point for each day of active duty. Therefore, while he was
serving on continuous active duty from , the applicant
also accumulated at least 50 points in his anniversary years ending on
although he did not remain in the Reserve that entire anniver-
sary year. Therefore, those years were also satisfactory for retirement purposes.
12. The applicant's Acceptance and Oath of Office dated shows that
he was commissioned a lieutenant in the regular Coast Guard on that date. His SOCS properly
reflects this date of rank, but apparently "SEG 57" in the database is erroneous in that it shows
he was commissioned on Therefore, the database should be corrected to show
that he was integrated into the regular Coast Guard on
13. The applicant's SOCS shows that he remained in the "USCGR" from
but was also in "AOZ" status—i.e., a regular active duty commissioned
officer. Because he accepted his commission in the regular Coast Guard on and
remained in the regular Coast Guard until his discharge on the SOCS should be
corrected to show that he was in the "USCG" during this period.
14. The applicant's DD 214 dated contains many errors. First, it
shows that he had served on continuous active duty as a member of the regular Coast Guard
since In fact, he had served on continuous active duty only since
and from that date until , he was a Reserve officer serving on extended active
duty. Therefore, the date of entry in block 12.a. is erroneous, and under Chapter 1.A. of
COMDTINST M1900.4D, the applicant should have received two separate DD 214s, one cov-
ering his Reserve active duty from , and a second covering his
regular active duty from Moreover, block 12.c. is wrong
because it shows that he had 11 years and 22 days of continuous active duty, when the period
from , is 7 years, 9 months, and 1 day; block 12.d. is
wrong because it shows that he had no prior active service, whereas he had performed 12 days of





16. Accordingly, relief should be granted by correcting the applicant's record in accordance with the above findings. Moreover, should these corrections result in a net debt owed by the Coast Guard to the applicant, he should receive that money, and should these corrections result in a net debt owed by the applicant to the Coast Guard, that debt shall be waived and canceled pursuant to Chapter 11.F. of the Pay Manual because any such erroneous payments clearly occurred through administrative error and there is no indication of fraud, misrepresentation, fault, or lack of good faith on the part of the applicant.⁶

cancellation of an indebtedness to the U.S. Government which resulted from erroneous payments of pay and allowances made to or on behalf of the member or former member. ... 10 USC 2774 gives the Secretary of Department of Homeland Security authority to effect waiver of claims for erroneous payments of pay and allowances ... when collection of the claim would be against equity and good conscience, and not in the best interest of the United States." Subparagraph 5 f. states that collection of erroneous payments is against equity and good conscience when "the erroneous payment occurred through administrative error and ... there is no indication of fraud, misrepresentation, fault, or lack of good faith on the part of the member or any other person having an interest in obtaining waiver of the claim. Any significant, unexplained increase in pay and allowances which would require a reasonable person

to inquire concerning the correctness of the pay or allowances, ordinarily would preclude a waiver when the member

⁶ Chapter 11.F. of the Pay Manual states that a member or former member may submit a written request "for the

fails to bring the matter to the attention of appropriate officials."

ORDER

1.	He originally enlisted in the Reserve on and he was not a member of the Reserve and had no military obligation of any sort prior to that date. DIEMS and his pay entry base date, as well as the start of his first anniversary year (AY) as a reservist and thus his anniversary date until he was integrated into the regular Coast Guard on
2.	He attended REBI from and these 12 days counted as his ADT for his anniversary year ending on a counted as his ADT for his anniversary year ending on a counted at least 46 total points in AY and He also performed 12 days of ADT in AY and 36 total days of ADT in AY and thus earned satisfactory years for retirement purposes in AY pursuant to 10 U.S.C. § 12732.
3.	He was commissioned an ensign in the Reserve on and served on continuous active duty as a Reserve officer from and thus also earned satisfactory years for retirement purposes in AY
4.	He was promoted to lieutenant on and accepted a commission in the regular active duty Coast Guard as a lieutenant on He served as a commissioned officer in the regular active duty Coast Guard from until his discharge on Following a break in service, he reenlisted in the Reserve as an
sha act	Furthermore, the Coast Guard shall correct the dates and times in block 12 of his DD 214 ed to reflect his continuous active duty from and to be consistent with the above four paragraphs of facts. In addition, the Coast Guard all add a remark to block 18, "Remarks," to show that he was a Reserve officer on continuous ive duty from and shall correct the date of his receipt of Reserve Good Conduct Medal to
dat	The Coast Guard shall correct the DD 214 dated by making the es and times in block 12 consistent with the facts above, including his date of pay grade as an and by changing block 2 to show that he is a member of the Reserve (USCGR).
	The Coast Guard shall also correct his Reserve point statement to be consistent with the

[ORDER CONTINUES ON NEXT PAGE]

facts above.

Finally, the Coast Guard shall remove the SOCS dated _____, from his record and prepare a new SOCS for him consistent with the facts listed above.

If these corrections result in a net debt owed by the Coast Guard to the applicant, the Coast Guard shall pay him that amount, and if these corrections result in a net debt owed by the applicant to the Coast Guard, that debt shall be deemed waived and canceled pursuant to Chapter 11.F. of the Pay Manual.

