

DEPARTMENT OF THE NAVY

BOARD FOR CORRECTION OF NAVAL RECORDS 701 S. COURTHOUSE ROAD, SUITE 1001 ARLINGTON, VA 22204-2490

> Docket No. 5819-23 Ref: Signature Date

From: Chairman, Board for Correction of Naval Records

To: Secretary of the Navy

Subj: REVIEW OF NAVAL RECORD OF

Ref:

- (a) 10 U.S.C. § 1552
- (b) MCO 1900.16, Separation and Retirement Manual (Short Title: MARCORSEPMAN), 15 February 2019
- (c) Rule for Courts-Martial 306
- (d) USD (P&R) Memo, "Guidance to Military Discharge Review Boards and Boards for Correction of Military / Naval Records Regarding Equity, Injustice, or Clemency Determinations," 25 July 2018

Encl: (1) DD Form 149

- (2) SECDEF Memo, subj: Mandatory Coronavirus Disease 2019 Vaccination of Department of Defense Service Members, 24 August 2021
- (3) ALNAV 062/21, subj: 2021-2022 Department of the Navy Mandatory COVID-19 Vaccination Policy, dtg 302126Z AUG 21
- (4) MARADMIN 462/21, subj: Mandatory COVID-19 Vaccination of Marine Corps Active and Reserve Components, dtg 011400Z SEP 21
- (5) NAVMC 118(11), Administrative Remarks, 5 October 2021
- (6) MARADMIN 533/21, subj: Supplemental Guidance to Mandatory COVID-19 Vaccination of Marine Corps Active and Reserve Components, dtg 061806Z OCT 21
- (7) MARADMIN 612/21, subj: Supplemental Guidance (2) to Mandatory COVID-19 Vaccination of Marine Corps Active and Reserve Components, dtg 222100Z OCT 21
- (8) NAVMC 118(11), Administrative Remarks, 22 November 2021
- (9) SECDEF Memo, subj: Rescission of August 24, 2021 and November 30, 2021 Coronavirus Disease 2019 Vaccination Requirements for Members of the Armed Forces, 10 January 2023
- (10) MARADMIN 025/23, subj: Rescission of COVID-19 Vaccination Requirement, dtg 181130Z JAN 23
- (11) ALNAV 009/23, subj: Rescission of COVID-19 Vaccination Requirement for Members of the Armed Forces, dtg 201839Z JAN 23
- (12) MARADMIN 109/23, subj: Update to Mandatory COVID-19 Vaccination Requirement of Marine Corps Active and Reserve Components, dtg 272000Z FEB 23
- 1. Pursuant to the provisions of reference (a), Subject, hereinafter referred to as Petitioner, filed enclosure (1) with the Board for Correction of Naval Records, hereinafter referred to as the

Board, requesting removal of the adverse information entered into his record due to his refusal to comply with the former COVID-19 vaccination mandate.

- 2. The Board reviewed Petitioner's allegations of error or injustice on 3 November 2023 and, pursuant to its regulations, determined the corrective action indicated below should be taken on Petitioner's naval record in the interests of justice. Documentary material considered by the Board included the enclosures, relevant portions of Petitioner's naval records, and applicable statutes, regulations, and policies.
- 3. Having reviewed all of the evidence of record pertaining to Petitioner's allegations of error or injustice, the Board found as follows:
- a. Before applying to this Board, Petitioner exhausted all administrative remedies available under existing law and regulations within the Department of the Navy (DON).
- b. By memorandum dated 24 August 2021, the Secretary of Defense (SECDEF) mandated that all members of the Armed Forces under Department of Defense authority be fully vaccinated against the COVID-19 virus with a vaccine receiving full licensure from the Food and Drug Administration (FDA). Accordingly, he directed the Service Secretaries to immediately begin full vaccination of all Service members of their respective services. See enclosure (2).
- c. On 30 August 2021, the Secretary of the Navy (SECNAV) implemented the SECDEF's directive referenced in paragraph 3b above in ALNAV 062/21, ordering all DON active duty Service Members who were not already vaccinated or exempted to be fully vaccinated within 90 days, and all Reserve Component Service Members to be fully vaccinated within 120 days with an FDA-approved COVID-19 vaccination. In issuing this directive, SECNAV made the following statement:

The order to obtain full vaccination is a lawful order, and failure to comply is punishable as a violation of a lawful order under Article 92, Uniform Code of Military Justice, and may result in punitive or adverse administrative action or both. The Chief of Naval Operations and Commandant of the Marine Corps have authority to exercise the full range of administrative and disciplinary actions to hold non-exempt Service Members appropriately accountable. This may include, but is not limited to, removal of qualification for advancement, promotions, reenlistment, or continuation, consistent with existing regulations, or otherwise considering vaccination status in personnel actions as appropriate.

See enclosure (3).

d. On 1 September 2021, the Marine Corps published MARADMIN 462/21 to implement the COVID-19 vaccination mandates referenced in paragraphs 3b and 3c above. Specifically, MARADMIN 462/21 directed all Marine Corps Active and Reserve Component Service

¹ A Service member was considered fully vaccinated two weeks after completing the second dose of a two-dose COVID-19 vaccine, or two weeks after receiving a single dose of a one-dose vaccine.

Members to be fully vaccinated against COVID-19, unless medically or administratively exempt. All non-exempt Active Component Marines were to achieve full vaccination no later than 28 November 2021, while all non-exempt Reserve Component Marines were to achieve full vaccination no later than 28 December 2021. MARADMIN 462/21 further specified that this mandate "constitutes a lawful general order and any violations of these provisions is punishable as a violation of Article 92 of [the Uniform Code of Military Justice (UCMJ)]." It further provided that initial disposition authority for cases arising from refusal of this order is withheld to the general court-martial convening authority level, "except that administrative counseling pursuant to paragraph 6105 of [reference (b)] may be used at the special court-martial convening authority level." See enclosure (4).

- e. On 5 October 2021, Petitioner was administratively counseled in writing regarding the order issued by the Commandant of the Marine Corps in MARADMIN 462/21 to be fully vaccinated against COVID-19 no later than 28 November 2021. This counseling statement informed Petitioner that this mandate constituted a lawful general order, and that failure to comply was punishable under Article 92, UCMJ. Petitioner acknowledged that he read and understood both ALNAV 062/21 and MARADMIN 462/21. See enclosure (5).
- f. On 7 October 2021, the Marine Corps published MARADMIN 533/21 to supplement the guidance of MARADMIN 462/21 referenced in paragraph 3d above. This message specified that "[i]n order to meet Commandant-directed deadlines as stated in [MARADMIN 462/21], all Active Component Service Members must receive their first dose of Pfizer-BioNTech/COMIRNATY vaccine no later than 24 October 2021 and all Reserve Component Service Members must receive their first dose no later than 24 November 2021." It further specified that all Active Component Marines must receive their second dose no later than 14 November 2021, while all Reserve Component Marines must receive their second dose no later than 14 December 2021. The message also provided guidance for recording vaccine refusals in the Medical Readiness Reporting System, and advised that adverse administrative or judicial proceedings may be initiated in accordance with the authorities delineated in MARADMIN 462/21 when a Marine has refused to take the vaccine, and that "[t]here is no requirement to delay action until the deadlines established in [MARADMIN 462/21]." See enclosure (6).
- g. On 23 October 2021, the Marine Corps published MARADMIN 612/21 to further supplement the guidance of MARADMIN 462/21 referenced in paragraph 3d above. This message provided guidance that "Marines refusing the COVID-19 vaccination, absent an approved administrative or medical exemption, religious accommodation, or pending appeal shall be processed for administrative separation." It further clarified that, in most cases, Marines will be ordered to begin the vaccination process before the deadlines established in MARADMIN 462/21, and that a Marine is considered to have "refused the vaccine" when they do not have an approved administrative or medical exemption, religious accommodation, or pending appeal, and they (1) received and willfully disobeyed a lawful order from a superior commissioned officer to be vaccinated against COVID-19; or (2) they are not or will not be fully vaccinated by the deadline established in MARADMIN 462/21. Finally, this message

3

² This date was 90 days from issuance of ALNAV 062/21 (see paragraph 3c above).

³ This date was 120 days from issuance of ALNAV 062/21 (see paragraph 3c above).

established restrictions upon the assignments available to, ability to reenlist or execute assignment for, authority to promote, and separation benefits available to Marines who have refused the vaccine, and authorized the temporary reassignment of such unvaccinated Marines based upon operational readiness or mission requirements. See enclosure (7).

- h. On 22 November 2021, Petitioner was administratively counseled in writing for failing to comply with the order to receive a COVID-19 vaccination and was advised to become fully vaccinated by 2 December 2021. He was informed that failure to take corrective action may result in judicial or adverse administrative action. Petitioner elected not to submit a statement in rebuttal to this administrative counseling. See enclosure (8).
- i. On 23 December 2022, the James M. Inhofe National Defense Authorization Act (NDAA) for Fiscal Year (FY) 2023 was enacted. Section 525 of the FY 2023 NDAA directed the SECDEF to rescind the vaccination mandate referenced in paragraph 3b above. See enclosure (9).
- j. By memorandum dated 10 January 2023, the SECDEF rescinded the vaccine mandate referenced in paragraph 3b above in accordance with the FY 2023 NDAA. He also directed the military departments to update the records of individuals currently serving in the Armed Forces who sought an accommodation to the vaccine mandate on religious, administrative, or medical grounds "to remove any adverse actions solely associated with denials of such requests, including letters of reprimand." No such directive was included for those Service Members who did not seek an accommodation to the vaccine mandate on religious, administrative, or medical grounds. See enclosure (9).
- k. On 18 January 2023, the Marine Corps published MARADMIN 025/23, rescinding MARADMINs 462/21, 533/21, 612/12, and other MARADMINs related to the former vaccination mandate, and directing the immediate suspension of any new adverse administrative actions associated with refusal of the COVID-19 vaccine. See enclosure (10).
- 1. On 20 January 2023, the SECNAV published ALNAV 009/23, cancelling ALNAV 062/21. See enclosure (11).
- m. On 28 February 2023, the Marine Corps published MARADMIN 109/23 to provide further guidance regarding the rescission of the former vaccine mandate. Amongst the guidance provided was that the Deputy Commandant for Manpower and Reserve Affairs (DC M&RA) would "direct an audit to search for adverse information in the [official military personnel files (OMPF)] of all currently serving members who requested religious accommodations to the COVID-19 vaccine mandate (emphasis added)," and that such adverse matters would be removed as necessary in accordance with the SECDEF's guidance referenced in paragraph 3k above. MARADMIN 109/23 also provided that "Marines who submitted requests for a medical or administrative exemption may submit written requests to the DC M&RA, through their chain of command, requesting removal of adverse material from their OMPF," and that "[s]eparated Marines may petition the [Board] to request removal of adverse matters." No provisions were discussed, however, for adverse matters pertaining to refusal of the COVID-19 vaccine mandate

in the records of currently serving Marines who did not request a religious accommodation or an exemption for medical or administrative reasons. See enclosure (12).

n. Petitioner asserts that relief is warranted simply because MARADMIN 109/23 provides that the Marine Corps will no longer require Marines to receive the COVID-19 vaccine as a matter of service policy. See enclosure (1).

MAJORITY CONCLUSION:

Upon careful review and consideration of all of the evidence of record, the Majority of the Board determined that equitable relief is warranted in the interests of justice.

The Majority found that the "Page 11" Administrative Remarks dated 5 October 2021 was not derogatory information, as it merely documented Petitioner's acknowledgment of a lawful order without suggesting that he was not in compliance. Accordingly, the Majority did not consider this document to be relevant to Petitioner's claim for relief.

The Majority found no error in the issuance and filing of the "Page 11" Administrative Remarks dated 22 November 2021, which documented Petitioner's failure to comply with the COVID-19 vaccination mandate contained within MARADMIN 462/21. The COVID-19 vaccination mandate was a lawful order, so the refusal to comply constituted a violation of Article 92, Uniform Code of Military Justice (UCMJ). Per reference (c), administrative counseling is an appropriate disposition for such misconduct. Applying the presumption of regularity, the Majority presumed the counseling statements were issued by a special court-martial convening authority, as required by MARADMIN 462/21. There does not appear to be any controversy regarding whether Petitioner actually violated the order, as he does not claim to have complied, elected not to make any statement to the contrary when offered the opportunity to do so, and his record does not indicate that he sought accommodation or an exemption on religious, medical, or administrative grounds. As Petitioner was offered the opportunity to respond to this adverse information, there was no procedural error in its filing in his OMPF.

Despite finding no error in the issuance and filing of the 22 November 2021 "Page 11" Administrative Remarks, the Majority found that equitable relief is warranted in the interests of justice. Reference (d) provides that the Board must consider "changes in policy, whereby a Service member under the same circumstances today would reasonably be expected to receive a more favorable outcome than the applicant received" in determining whether to grant relief on the basis of an injustice. It also directs the Board to consider uniformity and unfair disparities in punishment as a basis for relief. Given the change in the law, a Marine would not receive the same type of adverse information in his record for refusing to take the COVID-19 vaccine today that Petitioner received in 2021. Additionally, the Majority found a disparity in treatment for Petitioner relative to other Marines who also refused the vaccine mandate but sought an accommodation or exemption. The latter category of Marines can expect any adverse information resulting from their refusal of the COVID-19 vaccine to be removed from their

_

⁴ Although reference (d) applies primarily in the context of discharge upgrade cases, it specifically states that its guidance "applies to any other corrections ... which may be warranted on equity or relief from injustice grounds."

record, regardless of the validity of their accommodation or exemption request. The Majority found that this disparity in treatment was worthy of favorable consideration. Finally, and most importantly, the Majority found no evidence of any misconduct in Petitioner's record other than that related to his refusal to comply with the COVID-19 vaccination mandate. That he remains a Marine today suggests that Petitioner's command believed him to be a good Marine worth retaining, despite his violation of the vaccination mandate. Accordingly, the Majority believed that the interests of justice, and the best interests of the Marine Corps, warranted granting Petitioner a "clean slate" so that his career progression is not hindered by the adverse information in his record.

MAJORITY RECOMMENDATION:

In view of the above, the Majority of the Board recommends that the following corrective action be taken on Petitioner's naval record in the interests of justice.

That the "Page 11" Administrative Remarks dated 22 November 2021 be removed from Petitioner's naval record.

That Petitioner's naval record be scrubbed for any other material or entries referencing his refusal to abide by the former COVID-19 vaccination mandate, and that any such materials or entries be removed. This includes, but is not limited to, all information systems or database entries that may reference or indicate Petitioner's refusal to abide by the vaccination mandate.

MINORITY CONCLUSION:

Upon careful review and consideration of all the evidence, the Minority of the Board found insufficient evidence of any error or injustice warranting relief.

The Minority concurred with the Majority conclusion that there was no error in the issuance and/or filing of the "Page 11" Administrative Remarks dated 22 November 2021 in Petitioner's naval record.

The Minority did not, however, agree with the Majority conclusion that equitable relief is warranted in the interests of justice. A conscious decision to violate a lawful order is a violation of the UCMJ, and such violations have consequences in the Marine Corps. It is also contrary to good order and discipline in the Marine Corps, which necessitates appropriate action to maintain unit effectiveness and to deter future such breaches. The Minority found the "Page 11" counseling statements at issue in this case to be a reasonable, appropriate, and proportionate disposition for this type of misconduct. While acknowledging that reference (d) requires the Board to consider the change in policy when determining whether to grant relief on the basis of an injustice, the Minority did not analyze this factor in the same way as the Majority. Specifically, while the Majority focused on the act of refusing the COVID-19 vaccination mandate in particular, the Minority considered the actual UCMJ violation at issue. A Marine refusing to be vaccinated for COVID-19 today would expect a more favorable outcome today only because the vaccine mandate is no longer in effect. However, a Marine who violates a lawful order today would expect to receive the same, if not worse, consequences as did

Subj: REVIEW OF NAVAL RECORD OF

Petitioner, depending upon the circumstances of the violation. As such, the Minority did not find the change in the law to be a legitimate basis for equitable relief. The Minority also did not agree with the Majority's disparate treatment analysis, as those Marines who refused the COVID-19 vaccination but requested accommodation or exemption on religious, medical, or administrative grounds are not similarly situated to those who did not. Per MARADMIN 612/21, "[a] Marine is not considered to have 'refused the vaccine' until final adjudication of any administrative or medical exemption, religious accommodation, or pending appeal," so the latter group whose accommodation or exemption requests were not finalized did not violate a lawful order. There is a distinct difference between seeking an approval of an accommodation or exemption to a lawful order, and an outright refusal of the order. Based upon these considerations, the Minority simply found no injustice in the proper and reasonable disposition and documentation of an undisputed violation of Article 92, UCMJ.

MINORITY RECOMMENDATION

In view of the above, the Minority of the Board recommends that no corrective action be taken on Petitioner's naval record.

- 4. It is certified that a quorum was present at the Board's review and deliberations, and that the foregoing is a true and complete record of the Board's proceedings in the above titled matter.
- 5. The foregoing action of the Board is submitted for your review and action.



Subj: REVIEW OF NAVAL RECORD OF

ASSISTANT GENERAL COUNSEL (MANPOWER AND RESERVE AFFAIRS) DECISION:

- <u>X</u> MAJORITY Recommendation Approved (Full Relief I concur with the Majority conclusion and therefore direct the relief recommended by the Majority above.)
- ___ MINORITY Recommendation Approved (Deny Relief I concur with the Minority conclusion and therefore direct that no corrective action be taken on Petitioner's naval record.)

